

## Lloyd's OCO Regional Requirements Matrix

√ This symbol indicates the Compliance documents that are applicable for the Coverholder's domicile

### UK, Europe/EEA including Guernsey, the Isle of Man, Switzerland & Monaco:

	Financials	Professional Indemnity	Business Continuity Plan	Financial Crime policy	Conflicts of Interest policy	Complaints Handling	Data Protection policy	IT Security	Succession Plan	Cyber Security (if available)
Andorra	√	√	√	√					√ *	√ *
Austria	√	√	√	√	√	√	√		√ *	√ *
Belgium	√	√	√	√	√	√	√		√ *	√ *
Cyprus	√	√	√	√	√				√ *	√ *
Czech Republic	√	√	√	√	√				√ *	√ *
Denmark	√	√	√	√	√	√	√		√ *	√ *
Finland	√	√	√	√	√	√	√		√ *	√ *

France	√	√	√	√	√	√	√		√ *	√ *
Germany	√	√	√	√	√	√	√		√ *	√ *
Gibraltar	√	√	√	√		√	√		√ *	√ *
Greece	√	√	√	√	√				√ *	√ *
Guernsey	√	√	√	√	√	√	√	√	√ *	√ *
Iceland	√	√	√	√	√	√			√ *	√ *
Isle of Man	√	√	√	√	√	√	√	√	√ *	√ *
Italy	√	√	√	√		√	√		√ *	√ *
Liechtenstein	√	√	√	√	√	√	√		√ *	√ *
Lithuania	√	√	√	√	√	√	√		√ *	√ *
Malta	√	√	√	√	√	√	√		√ *	√ *
Monaco	√	√	√	√		√	√		√ *	√ *
Netherlands	√	√	√	√	√	√	√		√ *	√ *
Norway	√	√	√	√	√	√	√		√ *	√ *
Poland	√	√	√	√	√	√	√		√ *	√ *
Portugal	√	√	√	√		√ *	√		√ *	√ *
Republic of Ireland	√	√	√	√	√	√	√		√ *	√ *
San Marino	√	√	√	√					√ *	√ *

<b>Slovakia</b>	√	√	√	√	√	√	√		√	√
<b>Spain</b>	√	√	√	√	√	√ *	√		√ *	√ *
<b>Sweden</b>	√	√	√	√	√	√	√		√ *	√ *
<b>Switzerland</b>	√	√	√	√		√	√		√ *	√ *
<b>United Kingdom</b>	√	√	√	√	√	√	√	√	√ *	√ *

## USA, South America, Caribbean and Canada:

	Financials	Professional Indemnity	Business Continuity Plan	Financial Crime policy	Conflicts of Interest policy	Complaints Handling	Data Protection policy	IT Security	Succession Plan	Cyber Security (if available)
Argentina	√	√	√	√					√ *	√ *
Bahamas	√	√	√	√					√ *	√ *
Bermuda	√	√	√	√					√ *	√ *
British Virgin Islands	√	√	√	√					√ *	√ *
Canada* (see below note)	√	√	√	√		√			√ *	√ *
Cayman Islands	√	√	√	√					√ *	√ *
Chile	√	√	√	√					√ *	√ *
Dominica	√	√	√	√					√ *	√ *
Ecuador	√	√	√	√					√ *	√ *
Grenada	√	√	√	√					√	√
Jamaica	√	√	√	√					√ *	√ *
Mexico	√	√	√	√					√ *	√ *
Saint Vincent and the	√	√	√	√					√ *	√ *

<b>Grenadines</b>										
<b>Trinidad And Tobago</b>	√	√	√	√					√ *	√ *
<b>U.S.A</b>	√	√	√	√					√ *	√ *
<b>Virgin Islands US</b>	√	√	√	√					√ *	√ *

## Africa:

	Financials	Professional Indemnity	Business Continuity Plan	Financial Crime policy	Conflicts of Interest policy	Complaints Handling	Data Protection policy	IT Security	Succession Plan	Cyber Security (if available)
Mauritius	√	√	√	√					√ *	√ *
South Africa	√	√	√	√	√	√	√	√	√	√ *

## Middle East:

	Financials	Professional Indemnity	Business Continuity Plan	Financial Crime policy	Conflicts of Interest policy	Complaints Handling	Data Protection policy	IT Security	Succession Plan	Cyber Security (if available)
Bahrain	√	√	√	√					√ *	√ *
Israel	√	√	√	√					√ *	√ *
Jordan	√	√	√	√					√ *	√ *
United Arab Emirates (Dubai (DIFC))	√	√	√	√					√ *	√ *

## **Asia & Pacific:**

	Financials	Professional Indemnity	Business Continuity Plan	Financial Crime policy	Conflicts of Interest policy	Complaints Handling	Data Protection policy	IT Security	Succession Plan	Cyber Security (if available)
Australia	√	√	√	√	√	√	√	√	√	√ *
China	√	√	√	√					√ *	√ *
French Polynesia	√	√	√	√					√ *	√ *
Hong Kong SAR	√	√	√	√					√ *	√ *
New Zealand	√	√	√	√					√ *	√ *
Singapore	√	√	√	√					√ *	√ *

## **\*Please note:**

### **Canada:**

Declaration page confirmation is required to Lloyd's via email

*"Declaration page is compliant with the regulatory requirements in Canada and as referenced in Lloyd's Underwriters LSW1548D"*

### **Portugal & Spain:**

Complaints Handling is not mandatory – if the policy is available, please provide to Lloyd's.

### **Cyber Security policy and Succession Plan:**

Both policies are not mandatory. Please provide a Cyber Security policy if available. A succession plan will be required *only if* there are less than 2 staff at the Coverholder or if any Key Staff Dependencies