# EDI technical specification

**USM**

**Lloyd's Underwriters Signing Message V9.2**
**09 January 2023**

# USE OF THE MANUAL

**Enquiries concerning the Manual should be addressed to:**

DXC Service Desk by email to service.centre@dxc.com or call 0870 380 0830

**This manual has been compiled for the guidance of the London Market Brokers and Ins-sure Services bureaux and DXC staff. DXC technology will accept no liability in respect of any inaccuracy containedherein**

Update - January 2023: This technical specification was released in January 2023 and replaces the version dated December 2021. The following changes have been made:

| Section Number/Name | Change | Reason for Change |
|---|---|---|
| Title Page, Use of the Manual | Has been updated to remove any references to Xchanging and has been replaced with those for the DXC Service Desk<br><br>Formatting has been applied for consistency with technical specifications published by the London Market Joint Ventures in Q1 2023. | For clarity and consistency in presentation of information in technical specifications. |
| Record of amendments | Brought forward to show in the Use of the Manual section. | For clarity and consistency in presentation of information in technical specifications. |

| USM Version No. | Date Issued | Amendment Summary |
|---|---|---|
| 9.2 | 21/12/2020 | Changes for Brexit Part VII – to add new Trust Fund Code values. Fields affected:<br>R2.1-99 Detail Section – Free Text, Line U - Fixed Format |

DXC Technology 2022

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# CONTENTS

# 1.0 INTRODUCTION

## 1.1 Availability

The Underwriters' Signing Message (USM) is available for 'users' via the Xchanging Distribution Hub (XDH).

## 1.2 Information Provided

The message output system detailed in this prospectus will provide users with insurance and accounting details of transactions processed through XIS, XCS and LORS.

## 1.3 Nominated Users

Any syndicate may elect to receive its signing advices in the form of an USM, to be processed ultimately by a nominated user. A user may process USM for many syndicates, but the request for the transmission of an USM must be generated by the syndicate. A user can be defined as the data processing department of the underwriting agency or a computer bureau, i.e. whoever actually processes the syndicate's data. Where the user is a bureau, several syndicates may use the bureau, so the bureau may receive data for all those syndicates in one transmission.

Options relating to the structure and content of data advised in the USM are available to users and individual syndicates (see section 4.0).

## 1.5 Charging Policy

XIS operational costs are recoverable under XIS charges.

For re-transmissions of data, Xchanging reserve the right to charge.

Additional, XDH, charges will be incurred if any transformation of the EDI message is carried out, e.g. to XML, or if the user requests that the data is stored in the user's mailbox for more than 30 days.

## 1.6 Use of Data Standards

### 1.6.1 Cross Market Technology Group (CMTG) Standards

The USM data fields will be output to CMTG standards wherever possible. A cross reference to the CMTG standard is made in the Segment Specification (section 7.0).

### 1.6.2 United Nations (UN)/Electronic Data Interchange for Administration, Commerce and Transport (EDIFACT) Standards

The message has been designed in accordance with UN/EDIFACT Message Design Guidelines, revised 10.6.88.

UN/EDIFACT syntax rules (syntax set A) have been adopted for the transmission of the USM.

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Where possible, use has been made of existing, approved UN/EDIFACT and LIMNET message segments.

For more details, refer to chapter 10.0 of this specification.

### 1.6.3 Lloyd's Message Segments

In those instances where use of an approved UN/EDIFACT segment is not practical, a new message segment has been constructed. These segments have been designed such that they are compatible with both CMTG and UN/EDIFACT standards. Where a suitable segment subsequently becomes available, there will be no automatic change to the message designs thus avoiding unnecessary message changes.

# 2.0 CLERICAL PROCEDURES

## 2.1 Registration

Registration forms, "Underwriters Signing Message – Syndicate Request for Advices", are available from the following team:

> CSN Applications
> Xchanging
> Walter Burke Way
> Chatham Maritime
> Chatham
> Kent  ME4 4RQ
> UK

> Email**:** SM.CSN.Applications@DXC.com

See Appendix E for an example form.

The same form should also be used when a user wishes to add further syndicates to their USM transmissions, amend their options, or amend their User ID or User Account.

## 2.2 Testing

Should a user wish to test a new message subscription or a change to an existing subscription, please contact the Client Implementation team. This will be chargeable.

Email: sm.testingengagements@dxc.com

## 2.3 Problem Reporting

## 2.3.1 Reporting Process

A user discovering a problem with the USM is requested to report it with all possible speed and detail. Speed is of the essence to ensure a minimum delay in rectifying the problem, notifying it to other users and avoidance of re-processing and/or backlogs.

Users are requested to ensure that any queries of a purely factual nature concerning XIS/XCS transactions are addressed to:

> DXC Service Desk
> Telephone:  0870 380 0830

> Email:  servicedesk@dxc.com.

Any enquiries concerning the service, or particular USM transmissions, should be directed to:

> DXC Messaging
> Telephone:  +44 2036046743

> Email:  XISProductionITMsg@dxc.com

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Any identified problem with a particular despatch, whether current or previous, will immediately be notified to affected users by the Messaging Team along with the necessary recovery procedures, where required.

Refer to paragraph 3.4 regarding the availability of re-transmissions.

### 2.3.2 Information to be Reported by the User

Support teams require at least the following information to be reported by the user:

a)    the name of the person reporting the difficulty and their call back details;

b)    the transmission affected - User Number, Message Type, Message Version and Message Reference.  If the problem is related to a specific transaction then please supply the Bureau Signing Reference and Syndicate Number.

c)    the nature of the problem;

d)    the priority of the problem in terms of the user's ability to continue processing, in accordance with the following:

| Priority | Problem Type | Consequence |
| --- | --- | --- |
| 1 | Major | Unable to continue processing |
| 2 | Moderate | Able to amend/omit 'erroneous' item(s) and continue processing |
| 3 | Minor | Processing can continue but with some inconvenience |

e)    any possible solution anticipated by the user;

f)    any evidence of the problem, e.g. computer output exception report, print of part of message received etc.

## 3.0 TRANSMISSION INFORMATION

### 3.1 Supply of Transmissions

DXC Technology supplies each user with the USM transmissions.

### 3.2 Receipt of Transmissions

Transmissions will be supplied by DXC Technology on a daily basis, to a user's electronic mailbox.  Internal procedures exist to ensure correct placement of data.  It is recommended that users utilise the controls in the message to verify that:

a)      All data has been retrieved from their mailbox for each transmission (by use of control segments).

b)      All transmissions have been received (by use of the Message Reference Number in the "UNH" segment).

USM transmissions will be sent as soon as possible following the end of day processing, but by 6am the next Business Day following processing.

Users should note that there will be occasions when the service level may not be met, due either to processing delays or large volumes.

Data will remain in a user's mailbox for 30 calendar days, when it will be automatically deleted.

Additional charges will be incurred if the user requests for the data to be retained in the user's mailbox for more than 30 days.

Additional charges will also be incurred if the user requests a re-transmission of the data after 30 days.

### 3.3 Header and Trailer Segments

Before transmission, the USM will have had the interchange and message header and trailer segments added.  These headers and trailers are to EDIFACT standards.

If the user had been provided with the USM Interbridge table, the user's application will not receive the interchange or message trailer segments.  The header segments will need to be removed by the user's software, before processing the USM data.

### 3.4 Unreadable or Lost Files

If a transmission is unreadable or lost, the user should, in the first instance, contact the DXC Service Desk, Tel:  0870 380 0830, eMail:  servicedesk@dxc.com, advising them of the user number and the message reference number in question.

Re-transmissions will be available, on request, with the same structure and content of the initial transmission. DXC will endeavour to provide the re-transmission within 24 hours of the request being received.

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### 3.5    End of Month Transmissions

The last USM for any one processing month will contain an 'end of month indicator', set to '1' in the 'BGM' (beginning of message) segment.  At all other times during the month the value of this data element will be '0' (zero).  For re-transmissions of 'end of month' USM, regardless of when they are re-transmitted, the 'end of month indicator' will have a value of '1'.

### 3.6    Processing Delays

Users can enquire on the current status by contacting DXC Service Desk, Tel:  0870 380 0830, eMail:  servicedesk@dxc.com.

### 3.7    Sender ID's, Sender Account and Message User Class

The following Sender ID's and Sender Account will be used by DXC for the purpose of transmitting the USM:

|                     |         |
|---------------------|---------|
| Sender ID's:        | LLD010  |
|                     | LLD011  |
|                     | LLD012  |
|                     | LLD018  |
|                     |         |
| Sender Account:     | GBLLD   |
|                     |         |
| Message User Class: | UWRSGN  |

It should be noted that although the sender ID as quoted in the 'UNB' segment will remain constant, the actual mailbox from which the message is sent could vary.

### 3.8    Nil Return Files

If, for any one day, no data has been processed for a user, a USM will still be transmitted.  The 'detail' section and user selected controls (see sections 4.0 and 5.0) will be omitted.

# 4.0 OPTIONS

## 4.1 Introduction

USM options are at two levels, user (the recipient of the USM transmissions) and syndicate, (the party for whom the data is supplied).

## 4.2 User Options

When forwarding the request for transmissions of the USM, either test or permanent, a user should select options in the following areas:

- 'single' or multi' syndicate signing advices

- USM controls.

These are explained further in the following sections. Preferred options should be indicated on the reverse of the "Underwriters Signing Message – Syndicate Request for Advices" form.

### 4.2.1 Single Syndicate Transactions

If this option is selected, details for one syndicate only will be advised within the detail section for one signing advice. If several syndicates represented by a user are present on one transaction, the transaction details, codes, references, narrative etc, will be repeated for each syndicate.

When advising transactions where some syndicates are using the Currency Conversion Service, a single signing will be produced. For Syndicates settling in Sterling, an advice will be produced with a normal BSR segment Version Number i.e. 01or 10 etc. For Syndicates settling in a currency other than GBP, USD or CAD the same signing will be advised with a BSR segment Version Number prefixed with an alpha character i.e. A1 will equate to 01 and B0 will equate to 10. E.g.:

199907012270001    Transaction details followed by Synd 123 settling in Sterling

199907012270001    Transaction details followed by Synd 456 settling in Sterling

1999070122700A1    Transaction details followed by Synd 789 settling in Euro

1999070122700A1    Transaction details followed by Synd 987 settling in Euro

### 4.2.2 Multi-Syndicate Transactions

If this option is selected, details for all syndicates, represented by the same user, for any one signing advice will be advised within the detail section for that signing advice. Transaction details, codes, references, narrative etc will not be repeated

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When advising transactions where some syndicates are using the Currency Conversion Service (hereafter referred to as CCS), a single signing will be produced which will be advised in two advices. The first, advising Syndicates settling in Sterling, will have a normal BSR segment Version Number i.e. 01 or 10 etc. The second, advising all Syndicates settling in a currency other than GBP, USD or CAD will have a BSR segment Version Number prefixed with an alpha character i.e. A1 will equate to 01 and B0 will equate to 10. E.g.:

199907012270001            Transaction details followed by Synd 123 + 456 settling in Sterling and using the CCS.

1999070122700A1            Transaction details followed by Synd 789 + 987 settling in EURO.

Multi-Syndicate user options are not recommended for user numbers with a combination of syndicates requesting de-linked advices and those syndicates opting out.
Example:

User 1000, multiple syndicate advising, syndicate 1 opts in to de-linking, syndicate 2 opts out. LPSO Signing 10000 10/11/2000, de-linked, containing syndicates 1 and 2. The de-linked item is advised as version 1 NEW to syndicate 1 only.

When the item becomes 'linked' the transaction detail will appear on USM user 1000 as version 3 COR. This is because it was advised to syndicate 1 when it was 'de-linked'. Syndicate 2 never having received the version 1 may wish to receive version 3 NEW not COR.

### 4.2.3  USM Controls

A user may elect to receive either one or two of the following four USM controls:

*     total debit/credit amounts, and balance amounts for VAT, WAR and CEDED to Lloyd's, for each business category and processing day controls,

    (a)    for all syndicates, i.e. at user level, <u>or</u>

    (b)    for each syndicate,

*     total debit/credit amounts, and balance amounts for VAT, WAR and CEDED to Lloyd's, for each actual payment date, within settlement currency,

    (c)    for all syndicates, i.e. at user level, <u>or</u>

    (d)    for each syndicate.x

NB    For treaty balance tabulations, options (a) and (b) will contain separate total debit/credit nett amounts for cash and/or deferred and/or reserve sections.

These "business" controls will be advised in addition to the "service" controls contained elsewhere in the message. <u>It is the user's responsibility to reconcile these control totals to the detail records and to advise of any discrepancies</u>.

### 4.3 Narrative Options

When completing the request for transmissions of the USM, either test or permanent, each syndicate has the option of selecting the amount of narrative information to be advised in the USM.

### 4.3.1 Narrative Information

Syndicates should indicate their preferred narrative option on the "Underwriters Signing Message – Syndicate Request for Advices" form.

The options are as follows:

(a)     <u>All</u> narrative information for <u>all</u> transaction types.

(b)     <u>No</u> narrative information, for <u>any</u> transaction types.

(c)     "Truncated Narrative" information for <u>all</u> transaction types.

Narrative information will be advised for all transaction types but certain narrative "lines", deemed to be unnecessary on certain transaction types, will be suppressed.

Section 4.3.3 details this option further.

(d)     <u>All</u> narrative information for <u>certain</u> transaction types only.

Narrative will be advised for premium, claim, refund & recovery, outward syndicate reinsurance and treaty balance transactions only.

Narrative for additional and return premiums (AP and RP) will be suppressed.

### 4.3.2 Correction Narrative

Correction narrative (line B) will only be advised if the selected option indicates that transaction narrative is advised for the transaction type subject to correction.

Examples:

(a)     when an AP or RP is corrected, and option (d) above has been selected, correction narrative <u>will not</u> be advised.

(b)     If option (b) has been selected, correction narrative <u>will not</u> be advised for any transactions.

NB     If a syndicate's narrative option changes between the original transaction being advised and the correction being advised, the latter option will be taken to determine whether or not the correction narrative should be advised.

### 4.3.3 Truncated Narrative

Selection of this option by a syndicate will result in the omission of certain lines from the standard, complete narrative.  The lines to be omitted for each transaction type are detailed overleaf

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| Transaction | Narrative Lines Omitted |
|---|---|
| Premium | NONE |
| AP or RP | 1, 2, 3, 4 and 5 |
| Claim, Refund or Recovery | NONE |
| Outward Syndicate R/I | 0 and 1 |
| Treaty Balance: | |
|     Statement | 1 and 2 |
|     Section | NONE |

## 4.4    Valid Combinations of Options

A user can be 'set-up' to receive either 'single syndicate' or 'multi syndicate' USM. Within either selection, the user may select any of the valid control options.

The syndicates' individual narrative options must then be applied.

If a user is registered as a 'single syndicate' user, the narrative options for the syndicates represented by that user may differ.  Alternatively, the user may wish to establish a separate user for each group of syndicates with the same narrative options.

If a user is registered as a 'multi syndicate' user, the narrative options for the syndicates represented by that user must be the same.  Should the 'multi syndicate' user receive a USM request from a new or existing syndicate which specifies a different narrative option, a further user registration (number) will be necessary.

Examples:

| USER 1 | USER 2a | USER 2b |
|---|---|---|
| Single Syndicate User | Multi Syndicate User | Multi Syndicate User |
| 1 Control or<br><br>2 Controls | 1 Control or<br><br>2 Controls | 1 Control or<br><br>2 Controls |
| Synd  AAA-NARR  a<br>        BBB    "    b<br>        CCC    "    c<br>        DDD    "    d | Synd  EEE-NARR  a<br>        FFF    "    a<br>        GGG    "    a<br>        HHH    "    a | Synd  JJJ-NARR  c<br>        KKK    "    c<br>        LLL    "    c<br>        MMM  "    c |

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# 5.0  USM STRUCTURE DIAGRAMS

### 5.1  Introduction

These diagrams conform to UN/EDIFACT message design guidelines (106.88) and diagramming techniques.

### 5.2  General

The message structure diagrams shown in this section are referenced as follows:

Columns A to Z horizontally and Levels 0 - 7, vertically.

Level 0 segments can be either mandatory (m) or conditional (c) and can only appear once in that position in the message, e.g.:

AAA_____BBB
  m1                            c1

The remaining levels show the dependence of a segment to the one immediately above it.  In addition, segments can be repeated up to the maximum number of times stated, for each single occurrence of the segment immediately above it, e.g.:

| | |
|---|---|
| CCC<br>m2 | Segment CCC will always appear once and may be followed by up to five occurrences of segment DDD.  Segment CCC could be repeated a second time and again be followed by up to five occurrences of segment DDD. |
| DDD<br>c5 | |

The same rules regarding status and number of repetitions also apply to repeating groups.

### 5.3  'Master' Detail Section Diagram (Section 5.5)

This diagram embraces <u>all</u> segments which could be advised in the USM.

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### 5.4 Breakdown of 'Master' Detail Section Diagram

The diagrams in sections 5.7 to 5.11 provide a breakdown, over varying classes of business, of the detail section of the USM.

The diagrams show the segments which could be advised for the following:

5.7 Main Business - Premium, Additional and Return Premium, Claim & Refund

5.8 Main Business - Deferred Account - Premium, Additional and Return Premium

5.9 Outward Syndicate R/I

5.10 Outward Syndicate R/I - Deferred Account

5.11 Treaty Scheme Balances

The "Mandatory or Conditional" status shown for each segment (e.g. M1) relates to the advice of that segment for the particular class of business illustrated. It is therefore likely that the status shown for a segment in the above 6 diagrams will differ from the status shown in the "master" diagram (5.6).

Details of the data elements advised for the above classes of business are shown in appendix C.

## 5.5    Header and Trailer Sections



USM STRUCTURE DIAGRAM - HEADER AND SUMMARY SECTIONS
Version 9
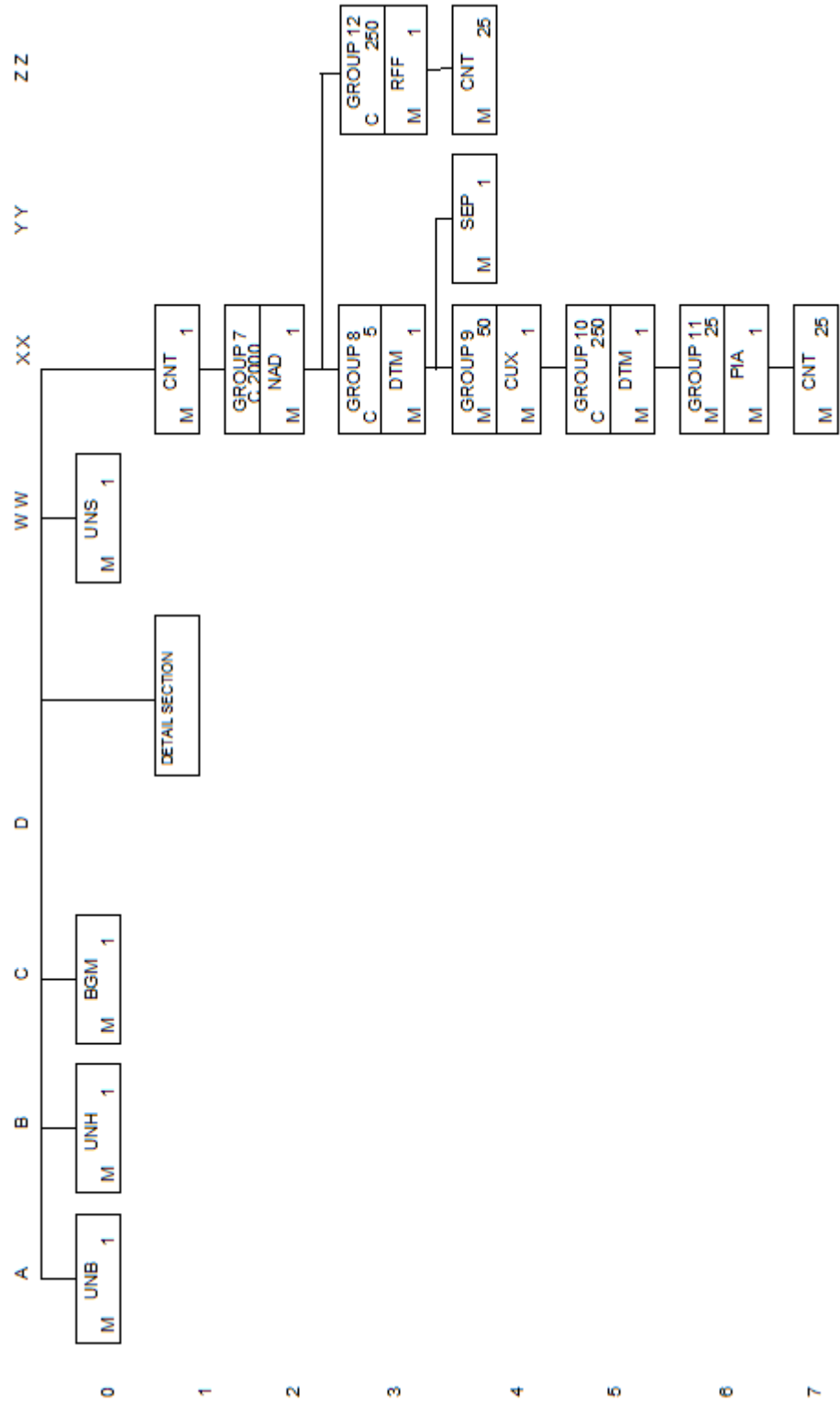
## 5.6    Detail Section - Master Diagram - All Transactions



USM STRUCTURE DIAGRAM - DETAIL SECTION
Version 9

## 5.7    Detail Section - Main Business (Premium, AP, RP, Claim & Refund)
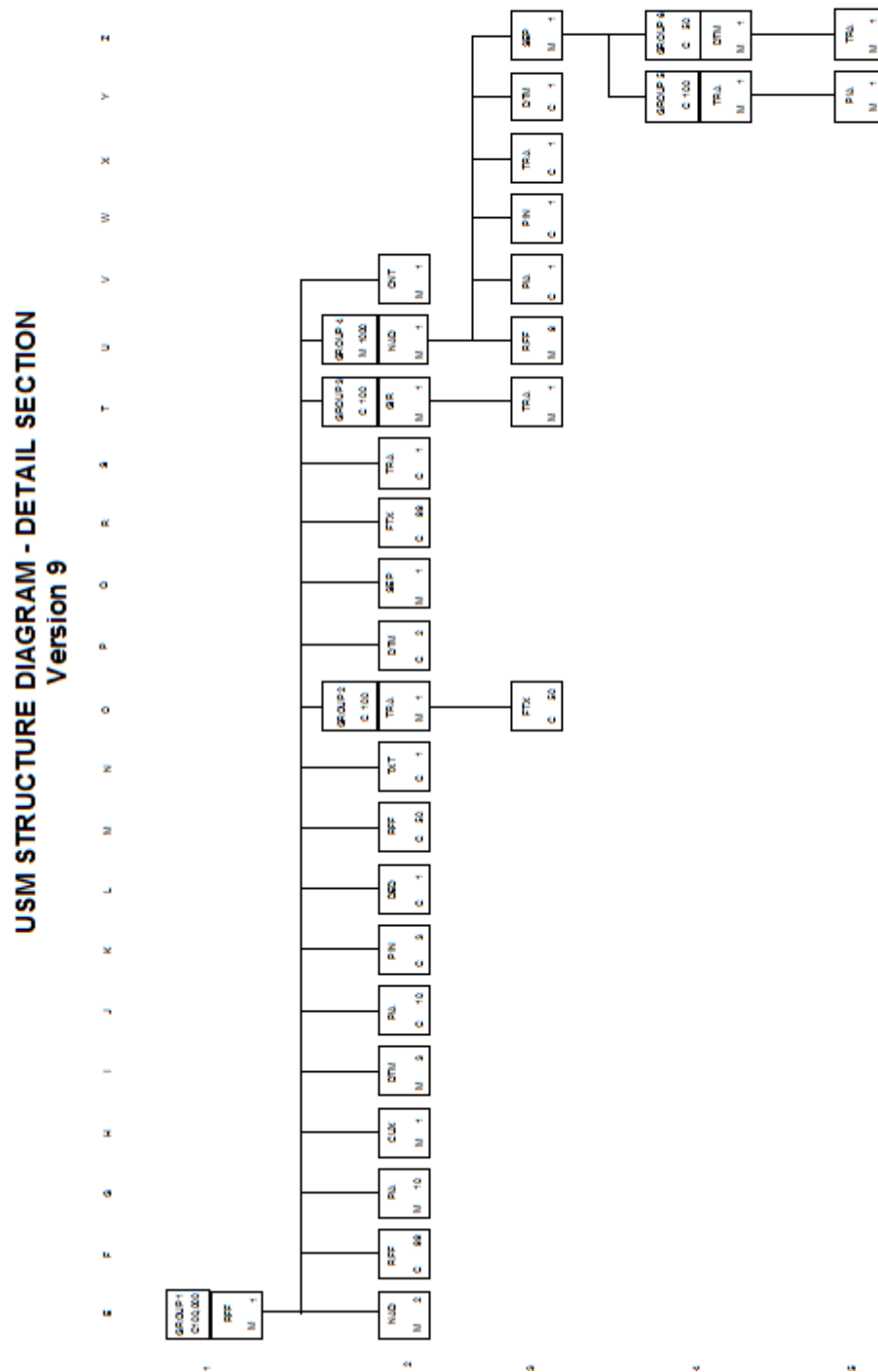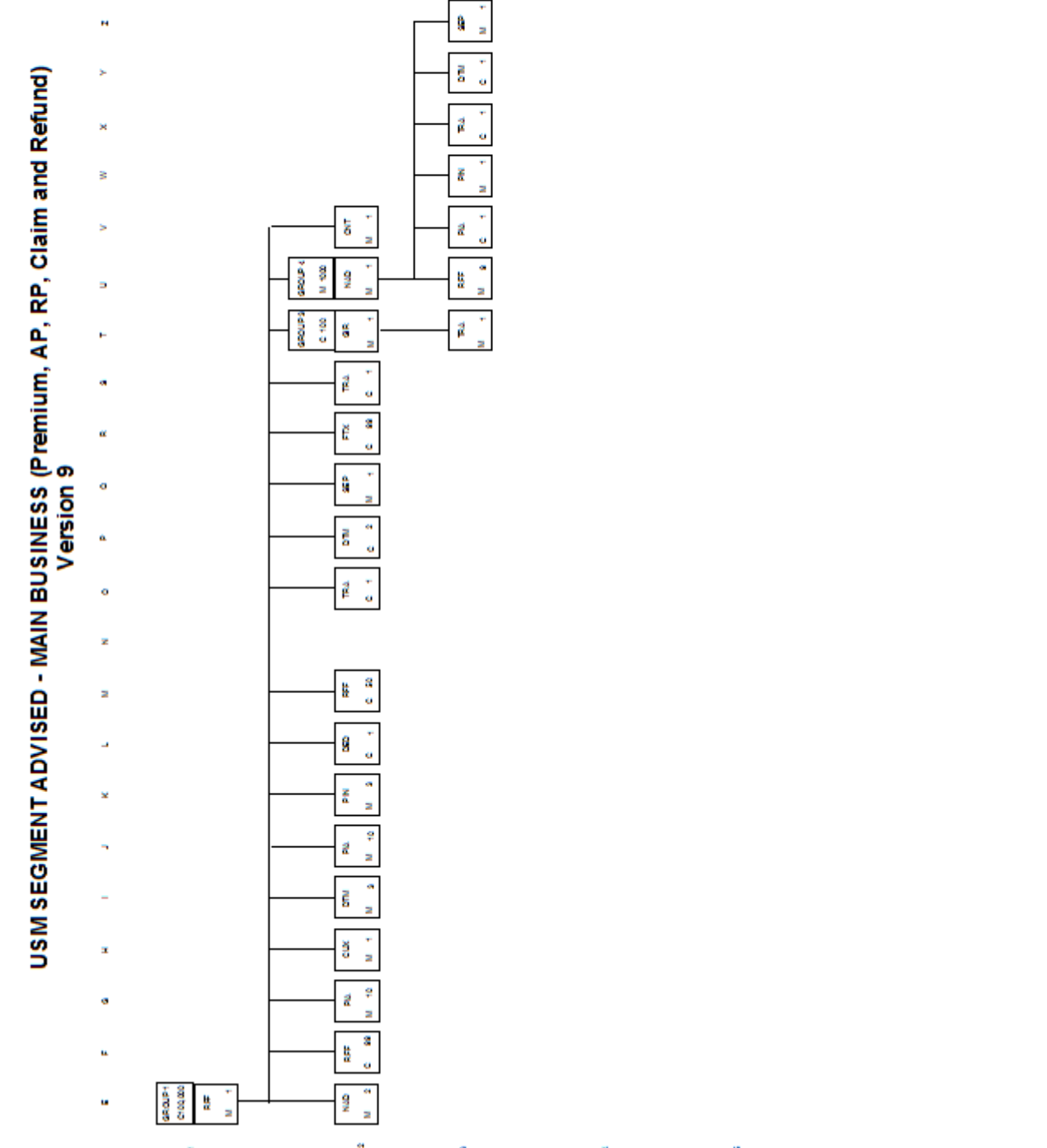
## 5.8    Detail Section - Main Business Deferred Account (Premium, AP & RP)

## 5.9 Detail Section - Outward Syndicate Reinsurance



USM SEGMENTS ADVISED - OUTWARD SYNDICATE REINSURANCE
Version 9

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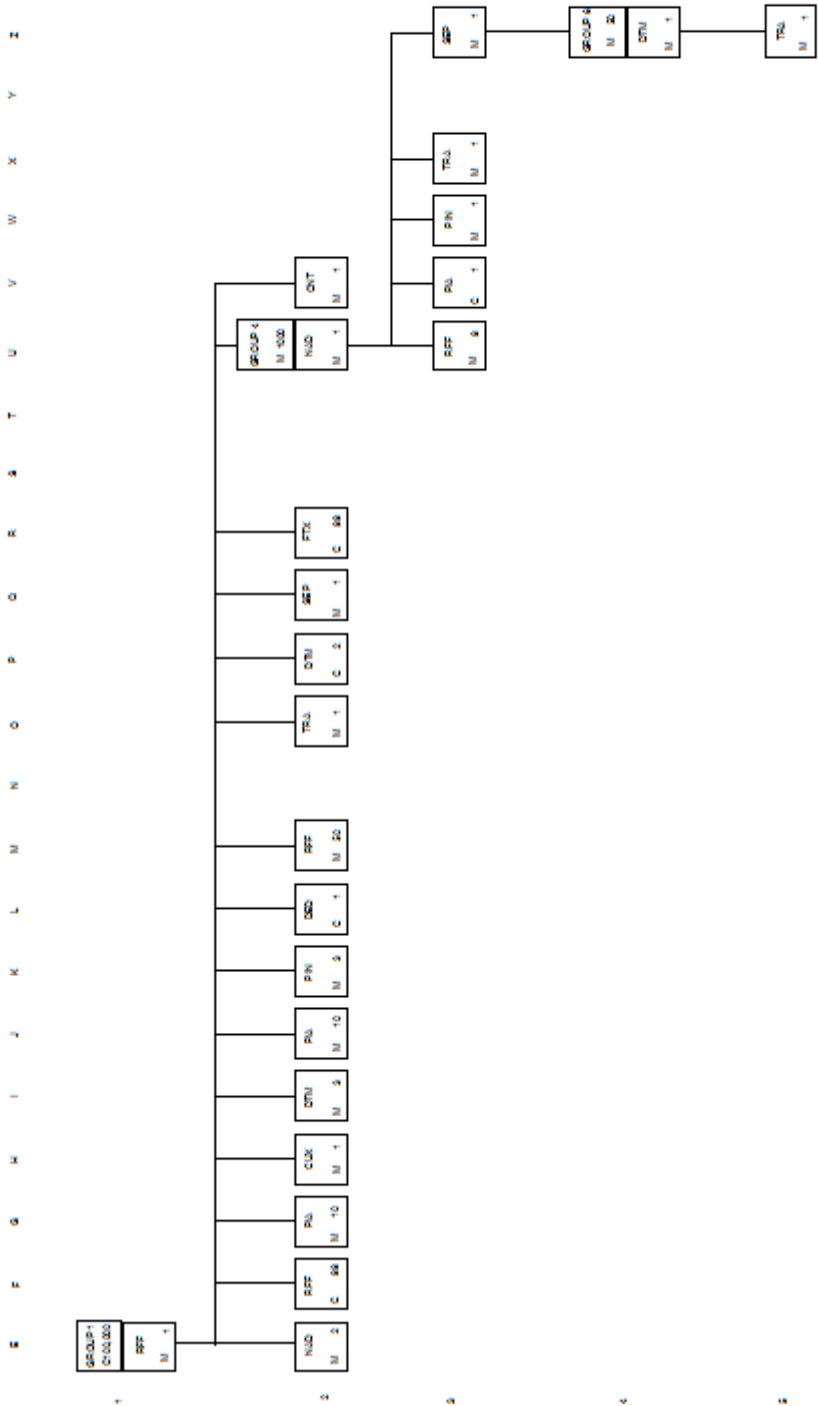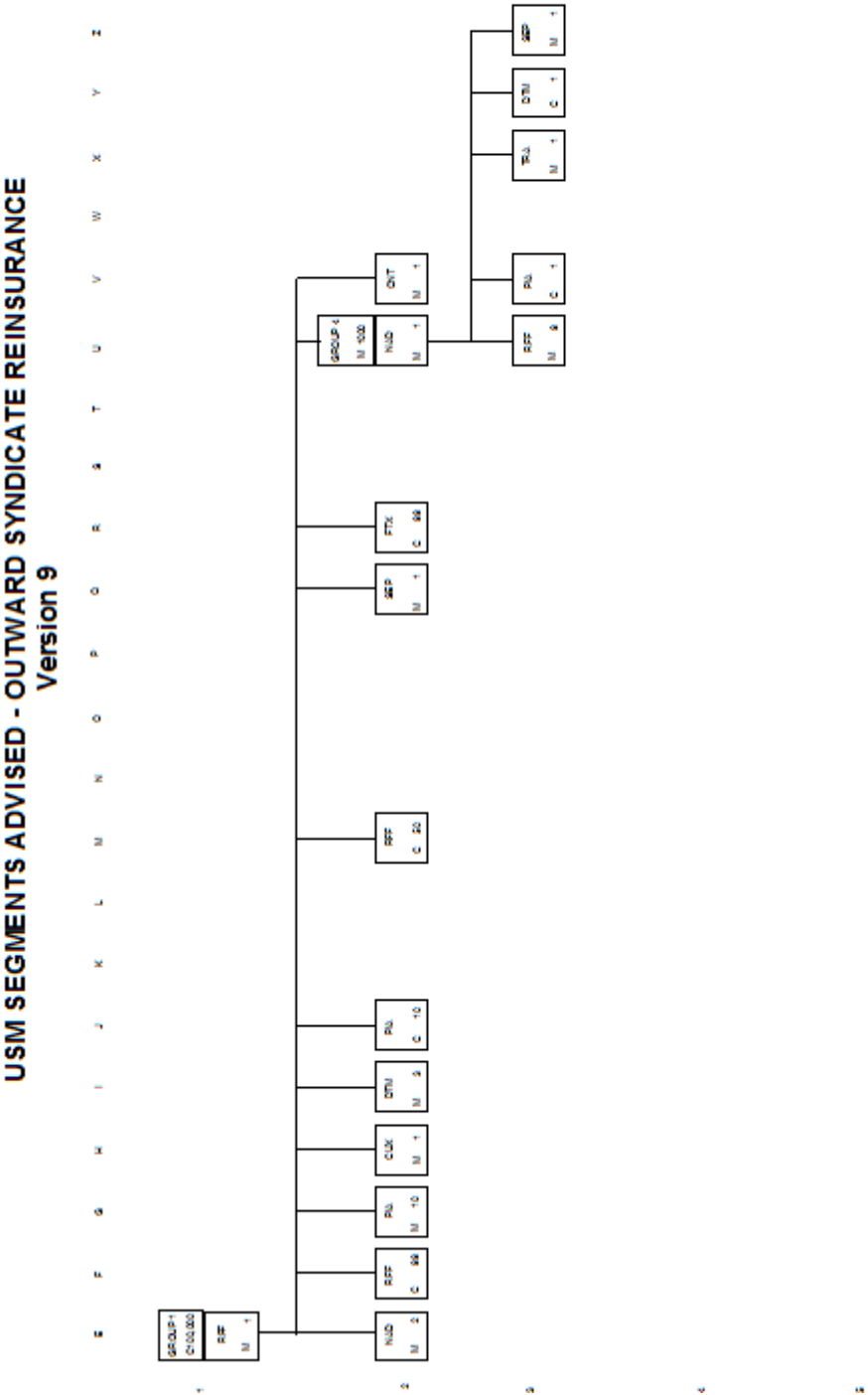
### 5.10    Detail Section - Outward Syndicate Reinsurance - Deferred Account



USM SEGMENTS ADVISED - OUTWARD SYNDICATE REINSURANCE DEFERRED ACCOUNT
Version 9
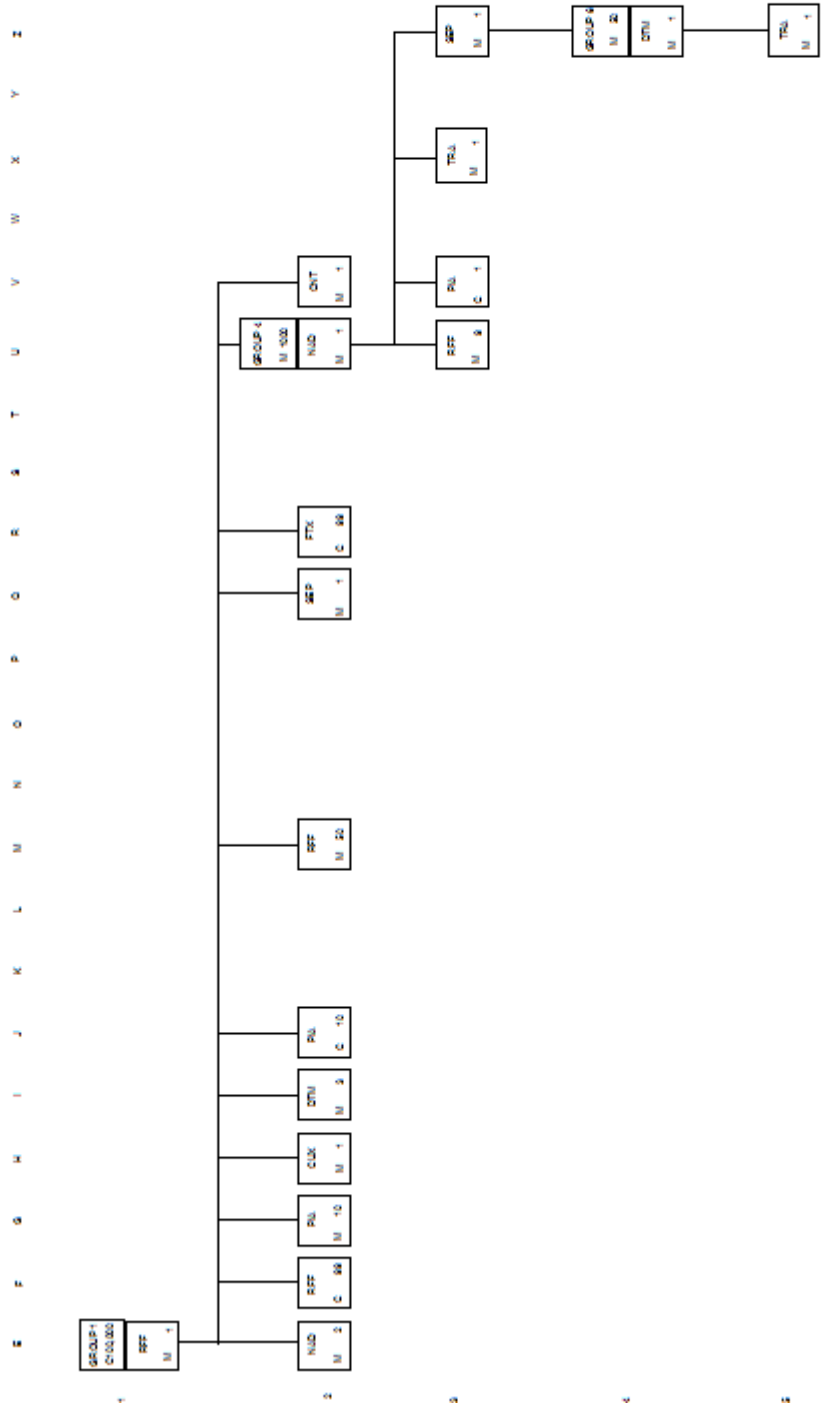
## 5.11  Detail Section - Treaty Balance



USM SEGMENTS ADVISED - TREATY BALANCE
Version 9
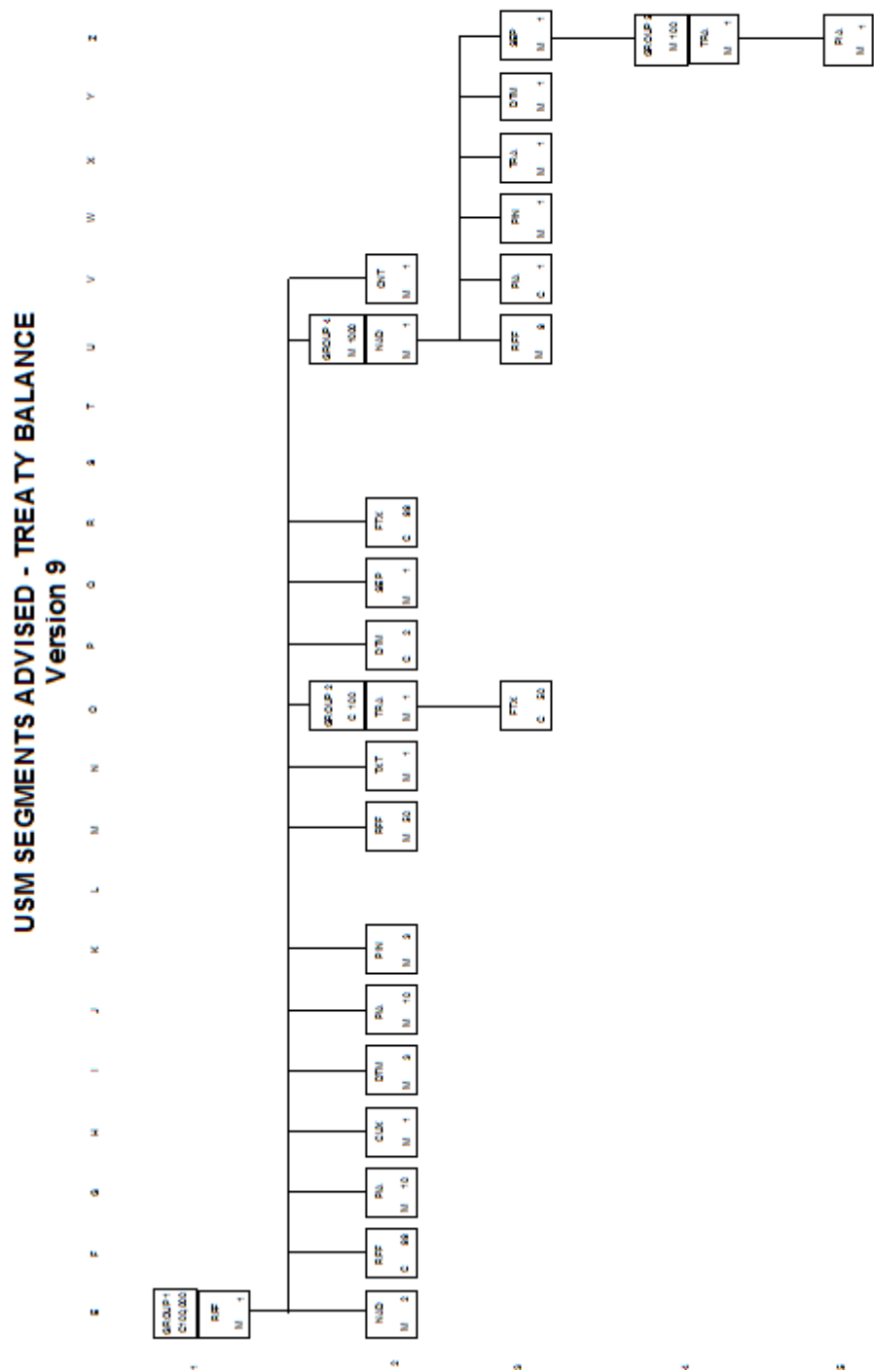
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# 6.0 REPEATING GROUPS OF SEGMENTS

### 6.1 Introduction

The USM has been designed such that several repeating groups of segments may be present in the message for any one transaction.

The repeating groups are detailed below.

### 6.2 Group 1 - A Signing Advice

Repeated for each bureau signing reference, i.e. each transaction.

### 6.3 Group 2 - 100% Amounts

Non-Treaty Business

Group 2 will contain the 100% amount.

Treaty Business

Group 2 will contain the 100% section amount followed by the section narrative. This will be repeated for each treaty section, followed by the 100% cash balance amount.

If non-cash, the reserve/deferred amount brought forward/carried forward will be advised prior to the section amounts.

### 6.4 Group 3 - Claims Settlement Details

This group of segments can only be populated for claim settlement transactions. The group may only be used where more than one claim has been settled by the transaction. This group is nested within Group 1.

### 6.5 Group 4 - Syndicate Details

Repeated for each syndicate per transaction. This group of segments will only be repeated when a user has selected the "multi-syndicates per transaction" option (see section 4.0). This group is nested within group 1.

### 6.6 Group 5 - Treaty Section Amounts

Repeated for each treaty balance section per transaction. This group is nested within group 4.

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**6.7 Group 6 - Deferred Instalment Actual Payment Dates**

Repeated for each instalment per deferred account transaction.  This group is nested within group 4.

Group 5 will only be present for Treaty Balances and group 6 for Deferred Accounts transactions.  They are therefore mutually exclusive within one transaction.

**6.8 Group 7 - Syndicate Details**

Repeated for each syndicate/User Message Controls.

**6.9 Group 8 - Processing Day**

Repeated for each processing day for each individual syndicate (Group 7)

**6.10 Group 9 - Currency Details**

Repeated for each settlement currency for each processing day (Group 8)

**6.11 Group 10 - Actual Payment Date Controls**

Repeated for each business category, within each APD for each settlement currency within a particular Lloyd's processing date.  If controls are requested at syndicate level then this will be repeated for each syndicate.

**6.12 Group 11 - Business Category**

Repeated for each business category for each Actual Payment Date (Group 10)

**6.13 Group 12 - Business Category and Processing Day Controls**

Repeated for each Business Category and Processing Day, advised within the USM. If controls are requested at syndicate level then this will be repeated for each syndicate.

# 7.0 SEGMENT SPECIFICATIONS

## 7.1 Introduction

This section details the data element content of the segments that can be present within the USM, once 'down-loaded' into a user's system, and the sequence in which the data is provided.

The information advised for each segment is detailed below.

## 7.2.1 Segment Position

This is shown in the format xn.i where:

x = the column ID in which the segment appears in the message structure diagrams (see section 5.0)

n = the level (or row) in which the segment appears

i = that particular occurrence of the segment

Example:

E1.2 references the second occurrence of the segment shown in column E, level 1 of the structure diagram.

## 7.2.2 CMTG Standard

The CMTG (Cross Market Technology Group) data element name and reference, previously known as TCG (Technology Co-ordination Group), is shown where applicable. This reference can be used to obtain a full description of each data element from the London Insurance Market Network Information Manual.

## 7.2.3 UN/EDIFACT Standard

The UN/EDIFACT data element reference is shown where applicable.

## 7.2.4 Segment Tag

The 3 character tag that identifies a segment.

## 7.2.5 Data Element Status

The data element status is shown in the column headed S, where 'blank' denotes mandatory (must be present) and 'C' denotes conditional (may be present).

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### 7.2.6 Format and Length

The data element format and length is shown in the column headed F where 'a' denotes alpha/numeric characters and 'n' denotes decimal figures.

The UN/EDIFACT format has been quoted where a UN/EDIFACT data element/segment has been used. Within this format a CMTG format may also apply. There may be a discrepancy between the two standards. USM data will be output to CMTG standards within UN/EDIFACT standards, e.g.

|  | CMTG | UN/EDIFACT |
|---|---|---|
| Bureau Signing Reference | n15 | a18 |

### 7.2.7 Value

The value, or comments, are shown for certain data elements.

### 7.2.8 Example

An example of each segment is shown. The examples are not for any one particular transaction and therefore do not relate to one another.

### 7.3.1 SEGMENT POSITION - AO HEADER SECTION - INTERCHANGE HEADER

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'UNB' |
| SEGMENT ID | | | | a2 | blanks |
| SYNTAX IDENTIFIER | | 0001 | | a4 | 'UNOA' |
| SYNTAX ID. VERSION | | 0002 | | nl | 'l' |
| INTERCHANGE SENDER ID | | 0004 | | a35 | 'GBLLD .LLD010' |
| FILLER-ALPHA | | 0007/<br>0008 | | a18 | blanks |
| INTERCHANGE RECIPIENT ID | | 0010 | | a35 | User name |
| FILLER-ALPHA | | 0007/<br>00014 | | a18 | blanks |
| DESPATCH/PREPARATION DATE | | 0017 | | n6 | format = yymmdd |
| DESPATCH/PREPARATION TIME | | 0019 | | n4 | format = hhmm |
| INTERCHANGE CONTROL SEQUENCE NO. | | 0020 | | n8 | |
| FILLER-ALPHA | | | | n6 | blanks |
| FILLER-ALPHA | | 0022/<br>0025/ | | a16 | blanks |
| APPLICATION REFERENCE | | 0026/<br>0029/ | | a14<br>a1 | 'UWRSGN' |
| FILLER-NUMERIC | | 0031 | | nl | zero |
| FILLER-ALPHA | | 0032 | | a35 | blanks |
| TEST INDICATOR | | 0035 | | nl | '0' for production<br>'1' for test |

**Example Segment**

```
UNB--UNOA1GBLLD---.LLD010-------------------(20 blanks)---------
---------(18 blanks)USERNAMEXXXXXXXXXUNDERWRITINGAGENCY--------
---------(18 blanks)8909012008123456/8-------------------(22
blanks)UWRSGN---------(9 blanks)0------------------------------
---(35 blanks)0
```

### 7.3.2 SEGMENT POSITION - BO HEADER SECTION - MESSAGE HEADER

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'UNH' |
| SEGMENT ID | | | | a2 | blanks |
| MESSAGE REFERENCE NUMBER | | ) )0062 ) | | a8 | |
| FILLER-ALPHA | | ) | | a6 | blanks |
| MESSAGE TYPE | | 0065 | | a6 | 'UWRSGN' |
| MESSAGE VERSION NUMBER | | 0052 | | a3 | |
| MESSAGE RELEASE NUMBER | | | | a3 | |
| CONTROLLING AGENCY | | | | a2 | 'LL' |

**Message Reference Number**

This number will increment by '1' for each transmission sent to a specific user.

This data provides users with the opportunity to ensure that all USM transmissions have been received.  Any 'gaps' in a user's log of message sequence numbers would indicate that particular transmission(s) are missing and should prompt a request for re-transmission(s).

Should a user stop receiving USM for a period of time and at a later date resume, the message reference number for the next transmission will be one greater than that for the previous transmission.

**Example Segment**

```
UNH  87654321      UWRSGN90 2  LL
```

### 7.3.3 SEGMENT POSITION - CO HEADER SECTION - BEGINNING OF MESSAGE

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'BGM' |
| SEGMENT ID | | | | a2 | 'A ' |
| FILLER NUMERIC | | 1001 | | n3 | zeros |
| DOCUMENT NAME | | )1000 )| | a3 | 'USM' |
| REPORT SEQUENCE NUMBER (DB SERIAL NO) | | ) ) ) | | a8 | |
| USM USER NUMBER | | )1004 )| | a5 | |
| END OF MONTH INDICATOR | | ) | | n1 | '0' or '1' |
| PROCESSING YEAR/MONTH | | 2000 | | n8 | 'YYYYMM00' |

**Report Sequence Number**

This number will be incremented by '1' for each 'processing' day.

**End of Month Indicator**

Refer to page 6, section 3.5 for details.

**Example Segment**

```
BGMA000USM1234567809999119890900
```

**THIS PAGE IS INTENTIONALLY LEFT BLANK**

### 7.3.5  SEGMENT POSITION - E1 DETAIL SECTION - REFERENCES

This is the first segment in Group 1 and is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'A ' |
| QUALIFIER | | 1153 | | a3 | 'BSR' |
| BUREAU SIGNING REFERENCE | J001 | ) | | a13 | format:- |
| | | ) | | | |
| | | year/month/day/number | | | |
| | | ) | | | |
| VERSION NUMBER | | )1154 | | a2 | |
| | | ) | | | |
| | | (yyyymmddnnnnnvv) | | | |
| FILLER-ALPHA | | ) | | a3 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Bureau Signing Reference**

This reference uniquely identifies the transaction.  A correction to a transaction will have the same bureau signing reference, but a different transaction version number.

**Version Number**

Every bureau signing reference will have a version number appended to it.  The version number will change each time the transaction is corrected.  There may be gaps in the numerical order of version numbers for any one transaction.  This occurs when a transaction is corrected before being advised to the Market for the first time.  The latest version will always have the highest version number.  Refer to 4.2.1 and 4.2.2 for details of Settle in Currency / Currency Conversion Service (CCS) version  numbers.

**Example Segment**

```
RFFA SR198909012027001 00000000
```

_____

**8.3.5 (cont...) Version Number continued**

The de-linked premium processing has been enabled and delinked signings will be advised to Users of the USM at the time of initial XIS processing for those syndicates that have 'opted in' to receive advice of de-linked transactions. The following paragraphs details how transaction that are advised by brokers as de-linked are received by syndicates 'opting in' or 'opting out' of receiving de-linked transactions. Where a version number is given as 01, 02 or 03, this will be A1, A2 or A3 for CCS associated settlement currencies where the syndicate is receiving pure currency (not CCS).

Transactions Submitted Prior to 1st October 2000 For Both Opted In and Out Syndicates

When the broker triggers settlement, the now de-linked transaction will be advised via the USM. The version number of a previously de-linked transaction will be advised as '03' or greater. The first USM advice of a now de-linked premium transaction will only have a version of greater than '03' if the transaction was corrected prior to settlement.

Example:

A de-linked risk is submitted for closing to XIS in January 2000 which is accepted and processed. The signing number and date is allocated for 25 January 2000. The premium settlement is processed by the broker on 12 March 2000. The transaction will be advised in the USM on 13 March 2000 with signing number and date of January 2000. Note - the transaction will be version 3 (or greater) NEW, to indicate it is the first advice of a now linked transaction submitted as de-linked..

Transactions Submitted After 1st October 2000 For Opted Out Syndicates

Opted out syndicates not receive de-linked transactions on the USM at the time of acceptance and processing by XIS. They will receive these transactions at the time when the broker triggers settlement and these transactions will continue to be version 3 (or greater) NEW. The processing is the same as for transactions submitted prior to 1 October 2000.

Opted In Syndicates

When the broker first submits a de-linked transaction, it will be advised via the USM as soon as it is accepted and processed by XIS. The transaction is advised as version 1 NEW. When the transaction becomes linked, version 2 CONtra and version 3 CORrection will be advised on the USM. (If a de-linked transaction is corrected before it is linked, then the correction will be advised on the USM with versions 2/3, etc. and the first advice of the linked transaction will have a higher version number). De-linked transactions submitted by the broker and processed by XIS prior to a syndicate opting in will only be advised when they are triggered for settlement by the broker. This is as for transactions submitted prior to 1st October 2000.

Example 1: A de-linked risk is submitted for closing to XIS in October 2000 which is accepted and processed. The signing number and date is allocated for 25 October 2000. The premium transaction is advised on the USM at that time as version1 NEW. When the premium settlement is processed by the broker on 12 December 2000, the transaction is advised again with its signing number and date of October 2000 as version 2 CON followed, in the same USM message, by version 3 COR.

Example 2: A syndicate opts in at November 2000. A de-linked risk allocated a 25 October 2000 signing date is only advised on the USM when the premium settlement is processed by the broker on 12 December 2000 and this advice will be version 3 NEW.

Business types included so far within de-linking are initial inward premiums only. Excluded from de-linking are FDO's, items Paid By Cheque, Treaties, Reserves and Outward Reinsurance.

_____

### 7.3.6  SEGMENT POSITION - E2-1 DETAIL SECTION - NAME AND ADDRESS

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'NAD' |
| SEGMENT ID | | | | a2 | 'A ' |
| QUALIFIER | | 3035 | | a3 | 'BKR' |
| BROKER NUMBER | B002 | ) | | a4 | right justified |
| | | ) | | | (leading blank) |
| | | ) | | | |
| BROKER PSEUDONYM | B003 | )3039 | | a4 | right |
| | | ) | | | justified |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a1 | blank |

**Broker Number and Pseudonym**

The Broker number and pseudonym for the transaction is detailed in this segment.

**Example Segment**

```
NADA BKR 999 ABC
```

### 7.3.7 SEGMENT POSITION - E2-2 DETAIL SECTION - NAME AND ADDRESS

This second segment may be advised on Claims transactions

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'NAD' |
| SEGMENT ID | | | | a2 | 'A ' |
| QUALIFIER | | | | a3 | 'ORB' |
| ORGINATING BROKER | B002 | ) | | a4 | right justified (leading blank) |
| | | ) | | | |
| | | ) | | | |
| | | ) | | | |
| BROKER PSEUDONYM | | | | a4 | right-justified |
| FILLER-ALPHA | | ) | | a1 | blanks |

**Originating Broker Details**

The originating Broker Number where the claim settlement has been collected by another broker. This field will not be populated until further notice.

**Example Segment**

```
NADA ORB 998 ABC
```

_____

### 7.3.8  SEGMENT POSITION - F2.1 DETAIL SECTION - REFERENCES

This segment will now occur up to 99 times.

This segment will only appear if the associated risk incepted on or after January 1st 1989.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 1153 | | a3 | 'UMR' |
| UNIQUE MARKET | J010 | ) | | | |
| REFERENCE COMPRISING: | | ) | | | |
| | | ) | | | |
| SOURCE INDICATOR | | ) | | a1 | |
| ORIGINATING BROKER | | )1154 | | a4 | |
| REFERENCE | | ) | | a12 | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a1 | blank |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Unique Market Reference**

This reference is, at present, only unique within Broker number, and may be advised for premium, AP, RP, syndicate reinsurances and treaty balance transactions.  When London Market referencing has been applied, the first five characters will be populated.

For all items closed electronically via Electronic Closing and Accounting (ECA), the Unique Market Reference (UMR), Transaction Reference (TR) and Closing Section Reference (CSR) will be populated. Other ECA references may also be populated.

**Example Segment**

```
RFFB UMR     UNIQUEMKTREF 000000000
```

### 7.3.9 SEGMENT POSITION - F2.2 DETAIL SECTION - REFERENCES

This segment is only advised if Broker Reference 1 has been input for the transaction.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 1153 | | a3 | 'BK1' |
| BROKER REFERENCE 1 | J004 | ) | | a12 | |
| | | )1154 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a6 | blanks |
| FILLER-NUMERIC | | 1156 | | nl | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFB BKlBROKERREFONE         000000000
```

_____

### 7.3.10  SEGMENT POSITION - F2.3 DETAIL SECTION - REFERENCES

This segment is only present if Broker Reference 2 has been input for the transaction.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 1153 | | a3 | 'BK2' |
| BROKER REFERENCE 2 | J005 | ) | | a12 | |
| | | )1154 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a6 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFB BK2BROKERREFTWO        000000000
```

## 7.3.11 SEGMENT POSITION - F2.4 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 1153 | | a3 | 'UCR' |
| UNIQUE CLAIMS REFERENCE COMPRISING: | J010 | ) ) ) | | | |
| SOURCE INDICATOR | | ) | | a1 | |
| ORIGINATING BROKER | | )1154 | | a4 | |
| REFERENCE | | ) | | a12 | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a1 | blank |
| FILLER-NUMERIC | | 1156 | | nl | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Unique Claims Reference**

This field is not yet populated.

**Example Segment**

```
RFFB UCRB999 UNIQUECLMREF 000000000
```

_____

### 7.3.12 SEGMENT POSITION - F2.5 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'OCR' |
| CLAIMS BUREAU | | | | | |
| PRIME REFERENCE COMPRISING: | | ) | | | |
| | | ) | | | |
| SOURCE INDICATOR | | ) | | a1 | |
| | | ) | | | |
| ORIGINATING CLAIMS | | )1154 | | | |
| OFFICE REFERENCE | | ) | | a11 | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a6 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Claims Bureau Prime Reference (Originating claims office reference)**

Reference allocated by the first claim office to sight the claim.

LUCRO OCR (If Source Indicator = M)
* Positions 1 and 2 should equate to a valid year between 85 and current year.
* Positions 3 and 4 should be in the range 01 to 12.
* Positions 5 to 9 should be numeric (in the range 00001 to 99999)
* Positions 10 and 11 should be blank.

LUNCO OCR (If Source Indicator = N)
* Position 1 should be one of the following: 2, 4, 6, or 8
* Position 2 should be one of the following: 0, 4, 5, or 9
* Position 3 to 5 should be numeric in the range 0 - 999
* Positions 6 and 7 should be in the range 41 to 71
* Positions 8 and 9 should be in the range 01 to 12
* Positions 10 and 11 should equate to a valid year between 69 and current year
However, in the case of Scheme Canada items these fields can be blank or contain alpha characters, e.g. "Multi".

LACC OCR (If Source Indicator = A)
As per LUNCO OCR, except that position 2 should be in the range 0 to 9, and positions 10 and 11 should be between 79 and current year.

**Example Segment**

```
RFFB OCRM870912345          000000000
```

### 7.3.13 SEGMENT POSITION - F2.6 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'ORI' |
| OUTWARD REINSURANCE REFERENCE COMPISING: | J010 | ) | | | |
| | | ) | | a1 | |
| SOURCE INDICATOR | | ) 1154 | | a4 | |
| ORIGINATING BROKER REFERENCE | | ) | | a8 | |
| FILLER-ALPHA | | ) | | a5 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Outward Reinsurance Reference**

For syndicate reinsurance items only. This is the reference allocated by the Lloyd's Outward Reinsurance Scheme (LORS). The source indicator and originating broker will be populated.

**Example Segment**

```
RFFB ORIB9999ORIREFER     000000000
```

_____

### 7.3.14 SEGMENT POSITION - F2.7 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 1153 | | a3 | 'RSR' |
| RISK SECTION REFERENCE | J010 | ) | | n2 | |
| | | ) | | | |
| | | )1154 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a16 | blanks |
| FILLER-NUMERIC | | 1156 | | nl | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Risk Section Reference**

For items processed via Electronic Closing and Accounting (ECA) only. Unique within UMR. This reference identifies a risk section at the placing stage.

**Example Segment**

```
RFFB RSR99                   000000000
```

### 7.3.15 SEGMENT POSITION - F2.8 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'CSR' |
| CLOSING SECTION REFERENCE | J010 | ) | | n3 | |
| | | ) | | | |
| | | ) 1154 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a15 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Closing Section Reference**

For items processed via Electronic Closing and Accounting (ECA) only.

This reference is unique within the UMR and identifies a closing section for the purposes of accounting.

**Example Segment**

```
RFFB CSR999                     000000000
```

_____

### 7.3.16 SEGMENT POSITION - F2.9 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'TR' |
| UNIQUE TRANSACTION REFERENCE COMPRISING | J010 | ) | | | |
| | | ) | | | |
| | | ) | | | |
| SOURCE INDICATOR | | ) 1154 | | a1 | |
| ORIGINATING BROKER | | ) | | a4 | |
| REFERENCE | | ) | | a12 | |
| FILLER-ALPHA | | ) | | a1 | blank |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Transaction Reference**

For items processed via Electronic Closing and Accounting (ECA) only. The transaction reference is unique within the UMR and identifies a movement in placing, i.e., original placing, endorsement, CCR update (common core record).

**Example Segment**

```
RFFB TR B9999UNIQUETXNREF 000000000
```

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### 7.3.17 SEGMENT POSITION - F2.10 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'TAR' |
| TECHNICAL ACCOUNT REFERENCE | J010 | ) | | a9 | |
| | | ) | | | |
| | | ) 1154 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a9 | blank |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Technical Account Reference**

For items processed via Electronic Closing and Accounting (ECA) only. This is unique within the UMR and identifies a technical account.

**Example Segment**

```
RFFB TARTECHACREF         000000000
```

_____

### 7.3.18 SEGMENT POSITION - F2.11 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'SAR' |
| SUB ACCOUNT REFERENCE | J010 | ) | | n2 | |
| | | ) | | | |
| | | ) 1154 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a16 | blank |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Sub Account Reference**

For items processed via Electronic Closing and Accounting (ECA) only. The sub account reference is unique within TAR.

**Example Segment**

```
RFFB SAR99                    000000000
```

### 7.3.19 SEGMENT POSITION - F2.12 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | | a3 | 'RFF' |
| SEGMENT ID | | | | | a2 | 'B' |
| QUALIFIER | | | 1153 | | a3 | 'FAR' |
| FINANCIAL ACCOUNT REFERENCE | | J010 | ) ) ) 1154 ) | | a9 | |
| FILLER-ALPHA | | | ) | | a9 | blanks |
| FILLER-NUMERIC | | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | | 2000 | | n8 | zeros |

**Financial Account Reference**

For items processed via Electronic Closing and Accounting (ECA) only. It is broker allocated and unique within the UMR. The financial account item reference identifies an individual amount for settlement. It will not be present on FDO items.

**Example Segment**

```
RFFB FARFINACCREF          000000000
```

_____

### 7.3.20 SEGMENT POSITION - F2.13 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'ATA' |
| ASSOCIATED TECHNICAL ACCOUNT REFERENCE | J010 | ) ) ) ) 1154 ) | | a9 | |
| FILLER-ALPHA | | ) | | a9 | blank |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Associated Technical Account Reference**

For items processed via Electronic Closing and Accounting (ECA) only.

**Example Segment**

```
RFFB ATAASSOCTARF          000000000
```

### 7.3.21 SEGMENT POSITION - F2.14 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'ASA' |
| ASSOCIATED SUB ACCOUNT REFERENCE | J010 | ) ) ) 1154 ) ) | | n2 | |
| FILLER-ALPHA | | | | a16 | blank |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Associated Sub Account Reference**

For items processed via Electronic Closing and Accounting (ECA) only.

**Example Segment**

```
RFFB ASA99                      000000000
```

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_____

### 7.3.22 SEGMENT POSITION - F2.15 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'B' |
| QUALIFIER | | 1153 | | a3 | 'ACS' |
| ASSOCIATED CLOSING SECTION REFERENCE | J010 | ) ) ) 1154 ) | | n3 | |
| FILLER-ALPHA | | ) | | a15 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Associated Closing Section Reference**

For items processed via Electronic Closing and Accounting (ECA) only.

**Example Segment**

```
RFFB ACS999                    000000000
```

_____

## 7.3.23 - 99 SEGMENT POSITION - F2.16 - 99 DETAIL SECTION - REFERENCES

These 'RFF' segments are not advised in this version of the USM. They have been added for future requirements.

_____

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### 7.4.00 SEGMENT POSITION - G2.1 DETAIL SECTION - ADDITIONAL ID

This segment is always advised.

The qualifiers immediately following each code or indicator should be used to determine which have been output.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIA' |
| SEGMENT ID | | | | a2 | 'A ' |
| LLOYD'S PROCESSING CODE | | )<br>)7020<br>) | | a3 | |
| FILLER-ALPHA | | ) | | a1 | blank |
| QUALIFIER | | 7022 | | a3 | 'PRO' |
| ENTRY TYPE | B004 | )<br>)7020 | | a3 | |
| FILLER-ALPHA | | ) | | a1 | blank |
| QUALIFIER | | 7022 | | a3 | 'ENT' |
| LLOYD'S CA CATEGORY CODE | | )<br>)7020<br>) | | a1 | |
| FILLER -ALPHA | | ) | | a3 | blanks |
| QUALIFIER | | 7022 | | a3 | 'CAT' |
| LLOYD'S CA | | ) | C | a1 | |
| QUALIFYING CATEGORY CODE | | )7020<br>) | | | |
| FILLER-ALPHA | | ) | C | a3 | blanks |
| QUALIFIER | | 7022 | | a3 | 'QCT' |

_____

**8.4.00 (....cont)**

## Lloyd's Processing Code

This code indicates the status of a transaction, namely:

|       |   |                       |
|-------|---|-----------------------|
| NEW   | - | New Business          |
| COR   | - | Correction            |
| CON   | - | Correction Contra     |
| ADJ   | - | Adjustment Accounts   |

A new processing code of ADJ will be introduced to identify adjustment accounts. These are new transactions being introduced to correct monetary details only, by allowing for the supply of the monetary difference between what an account should have been and what was submitted. This will occur only on items processed via Electronic Closing and Accounting which will be after 15/7/96.

The processing code LOF was withdrawn at the end of 1995, as XIS no longer process left offs. This means that entries will receive current month signing dates, even if the year of account is earlier than the current year.

Where a syndicate has opted to receive de-linked advices, the following processing codes will be used from initial advice to settlement. The original de-linked transaction will be advised as NEW, and when settlement is triggered it will be advised as a CON followed by COR. Where the COR is used to advise settlement of a de-linked item, there will be no correction narrative.

Where a syndicate has opted not to receive de-linked advices, only NEW will be used.

Refer to section 4.2.2 Multi Syndicate Transaction for recommended message user options.

## Entry Type

This code indicates the type of transaction. A full list is contained in appendix C.

## Lloyd's Central Accounting Qualifying Category Codes

For treaty business this code will not be advised in this position in the message (see 7.4.41). For de-linked transactions a cash combination of CA Qual Cat and CA Cat Code may not mean immediate cash payment.

## Example Segment

```
PIAA NEW PRONPM ENT1   CATA   QCT
```

**7.4.01 SEGMENT POSITION - G2.2-10 DETAIL SECTION - ADDITIONAL ID**

This segment is always advised.

At least one PIA segment will always be present in this position. Listed below are the various fields that can be present in a 'PIA' segment, in this position of the message. A maximum of 4 codes/indicators can be present within each occurrence of a 'PIA' segment, therefore, if more than 4 need to be advised, a second segment will be present, up to a maximum of 10.

The qualifiers immediately following each code or indicator should be used to determine which have been output.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIA' |
| SEGMENT ID | | | | a2 | 'A ' |
| LLOYD'S ACCOUNTING TYPE | | )7020 | | a1 | 'S, L, W, M, A, or T' |
| FILLER-ALPHA | | | | a3 | blanks |
| QUALIFIER | | 7022 | | a3 | 'ACT' |
| YEAR OF ACCOUNT | | 7020 | | a4 | format:-YYYY |
| QUALIFIER | | 7022 | | a3 | 'YAC' |
| INSTALMENT TYPE | B020 | )7020 | C | a1 | 'D' or 'R' |
| FILLER-ALPHA | | | C | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'IST' |
| CATASTROPHE CODE | | )7020 | C | a4 | |
| QUALIFIER | | 7022 | | a3 | 'CAS' |

**8.4.01 (Cont)**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIA' |
| SEGMENT ID | | | | a2 | 'A ' |
| BULK SETTLEMENT INDICATOR | | )7020 )| C | a1 | '1' |
| FILLER-ALPHA | | ) | C | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'BSI' |
| SOURCE SYSTEM INDICATOR | | 7020 | C | a1 | 'L,C,D, X or R' |
| FILLER-ALPHA | | 7020 | C | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'SSI' |
| BUSINESS CATEGORY | | 7020 | C | a3 | e.g. 'PRM' |
| FILLER-ALPHA | | 7020 | C | a1 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'BCT' |
| PAYMENT INDICATOR | | 7020 | C | a1 | C, P or L |
| FILLER-ALPHA | | 7020 | C | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'PYI' |
| TYPE OF PAYMENT INDICATOR | | 7020 | C | a1 | I, F or M |
| FILLER-ALPHA | | 7020 | C | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'FEE' |
| TRUST FUND CODE | | 7020 | C | a2 | e.g.  'NR' |
| FILLER-ALPHA | | 7020 | C | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'TRF' |
| COUNTRY OF RISK | | 7020 | C | a2 | e.g. 'GB' |
| FILLER-ALPHA | | 7020 | C | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'COR' |
| COUNTRY OF ORIGIN | | 7020 | C | a2 | e.g. 'US' |
| FILLER-ALPHA | | 7020 | C | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'CDE' |

_____

## Lloyd's Accounting Type

This code denotes the method of settlement and has the following values:-

| | | | |
|---|---|---|---|
| W - Weekly | M - Monthly | ) Corrections | L - Letter of Credit |
| S - Special | T - Two-monthly | ) Only | A - Any Day Settlement |

If Lloyd's accounting type is 'S' or 'L' and an actual payment date is not advised, and the transaction is not de-linked, then the item has been "paid by cheque".

## Instalment Type

Instalment type is only output when the value is 'D' (deferred account) or 'R' (reserve account). If this data element is not present, it can be assumed that the transaction is 'cash account' or de-linked.

## Catastrophe Code

A code allocated by claims offices to an event that may give rise to many losses. Should more than one code apply the field will be output as VARS (various).

## Bulk Settlement Indicator

Settlement relates to more than one claim on the Claims Office System.

## Source System Indicator

Identifies the original Lloyd's source system on each transaction. Please note that value 'C' will not yet be populated.

| Value | Source System | Business Type(s) |
|---|---|---|
| L | Lloyd's Insurance Data System | Premium/Treaty |
| C | Claims Office System | Claims |
| D | Claims Office System | Claims |
| X | Scheme Canada | Premiums/Claims |
| R | Lloyd's Outward Reinsurance Scheme | Outward Reinsurance |

Value 'C' items originate from Converged Class, and the USM and SCM details will correspond. For value 'D' items the USM and SCM details may differ.

_____

**8.4.01 (Cont...)**

**Business Category**

Identifies the type of business for each transaction

| Description | Business Category | Description | Business Category |
| --- | --- | --- | --- |
| Premium | PRM | Proportional Treaty Statement | TTY |
| De-linked Premium | PRD | Deferred Premium | DPM |
| Reserve Premium | RPM | De-linked Deferred Premium | DPD |
| Claim | CLM | ORI Deferred | DRI |
| ORI Premium | PRI | ORI Reserve Premium | RRI |
| ORI Claim | CRI | | |

Note: Business Category Codes PRD and DPD will change to PRM and DPM when they become triggered for settlement. The signing number and date will remain unchanged.

**Payment Indicator**

This field will be populated for Electronic Closing and Accounting (ECA) items only.

| Value | Meaning |
| --- | --- |
| F | Full payment |
| P | Part payment (not last) |
| L | Last part payment |

**Type of Payment Indicator**

This field will apply to Claims items only and will not yet be populated. Extra codes may be introduced to identify expenses and/or other payment types.

| Value | Meaning |
| --- | --- |
| I | Indemnity |
| F | Fee |
| M | Mixed (Indemnity plus Fee) |

**Trust Fund Code**

Refer to section 7.4.25 (Line U Narrative) for details.

**Country of Risk**

This field may be populated for Premium, AP/RP and Treaty business types.

**Country of Origin**

This code is mandatory for Premium, AP/RP, Treaty items from 1 December 1992 onwards.

**Example Segment**

```
PIAA W   ACT1999YACR   IST87J CAS

PIAA 1   BSID   SSICLM BCTNR   TRF
```

### 7.4.02 SEGMENT POSITION - H2 DETAIL SECTION - CURRENCIES

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'CUX' |
| SEGMENT ID | | | | a2 | 'A ' |
| SETTLEMENT CURRENCY CODE | B017 | 6345 | | a3 | |
| QUALIFIER | | 6343 | | a3 | 'SCC' |
| CLOSING CURRENCY CODE | B016 | 6345 | C | a3 | |
| QUALIFIER | | 6343 | C | a3 | 'CCC' |
| EXCHANGE RATE | H001 | 5402 | C | n15 | 8 implied decimal places |

**Settlement Currency Code**

This is advised as the ISO-alpha standard abbreviation and is the settlement currency code for the syndicate settlement amount.

**Closing Currency Code/Exchange Rate**

The closing currency code is advised as the ISO-alpha standard abbreviation. This (plus qualifier) and rate of exchange is only advised when the closing currency code differs to the settlement currency code.

For FDO items no rate of exchange will be given, even where the closing currency differs from the settlement currency.

For LORS items no closing currency rate of exchange will be given, even where the closing currency differs to the settlement currency code, e.g. where CCS is applied.

**Settle in Currency/Currency Conversion Service (CCS)** USM will advise to CCS Sterling Syndicates the Cross Rate of Exchange (ROE of Original Currency multiplied by the Lloyd's Treasury ROE Settlement Currency to Sterling, calculated to four decimal places and advised in the current data element where 8 decimal places are implied). USM will advise to 'Settle in Currency' Syndicates the Original Currency to Settlement Currency ROE if the Original Currency is different to the Settlement Currency.

**Example Segment**

```
CUXA GBPSCCJPYCCC000022212345678
```

_____

### 7.4.03 SEGMENT POSITION - I2.1 DETAIL SECTION - DATE/TIME REFERENCE

This segment will always be advised with the Lloyd's processing date.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE | |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' | |
| SEGMENT ID | | | | a2 | 'A ' | |
| QUALIFIER | | 2005 | | a3 | 'LPD' | |
| PROCESSING DATE | | 2001 | | n8 | format YYYYMMDD | is:- |

**Example Segment**

DTMA LPD19950105

_____

**7.4.04 SEGMENT POSITION - I2.2 DETAIL SECTION - DATE/TIME REFERENCE**

This segment is only advised for those transactions where a policy 'from' date has been captured as a formatted field on input.  It should be noted that this information is also advised in the free format narrative details. It is applicable to premium AP/RP and treaty business types. It will be populated with zeros if a date is not input.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' |
| SEGMENT ID | | | | a2 | 'A' |
| QUALIFIER | | 2005 | | a3 | 'PPF' |
| POLICY FROM DATE | | | | n8 | format is:- YYYYMMDD |

**Example Segment**

```
DTMA PPF19970101
```

_____

### 7.4.05 SEGMENT POSITION - I2.3 DETAIL SECTION - DATE/TIME REFERENCE

This segment is only advised for those transactions where a policy 'to' date has been captured as a formatted field on input.  It should be noted that this information is also advised in the free format narrative details. It is applicable to premium, AP/RP and treaty business types. It will be populated with zeros if a date is not input.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE | |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' | |
| SEGMENT ID | | | | a2 | 'A' | |
| QUALIFIER | | 2005 | | a3 | 'PPT' | |
| POLICY TO DATE | | | | n8 | format YYYYMMDD | is:- |

**Example Segment**

DTMA PPT19971231

**7.4.06 SEGMENT POSITION - J2.1-10 DETAIL SECTION - ADDITIONAL ID**

At least one 'PIA' segment will always be present in this position.

Listed below are the various conditional fields that can be present in a 'PIA' segment, in this position of the message.  A maximum of 4 codes/indicators can be present within each occurrence of a 'PIA' segment, therefore, if more than 4 need to be advised, a second segment will be present, up to a maximum of 10.

The qualifiers immediately following each code or indicator should be used to determine which have been output.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIA' |
| SEGMENT ID | | | | a2 | 'B ' |
| LLOYD'S FIL MARKET | | ) | C | a2 | |
| CODE | | )7020 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'FIL' |
| LLOYD'S MARINE AUDIT CODE | | ) | C | a2 | |
| | | )7020 | | | |
| | | ) | | | |
| FILLER ALPHA | | ) | | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'AUM' |
| LLOYD'S NON-MARINE AUDIT CODE | | ) | C | a2 | |
| | | )7020 | | | |
| | | ) | | | |
| FILLER ALPHA | | ) | | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'AUN' |
| LLOYD'S AVIATION AUDIT CODE | | ) | C | a2 | |
| | | )7020 | | | |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'AUA' |

**8.4.06 (cont...)**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| Lloyd's MARKET TYPE | | )<br>)7020<br>) | C | a2 | ' P', ' M',<br>'NM'or 'AV' |
| FILLER-ALPHA | | ) | | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'MKT' |
| AUDIT/DTI CODE | B009 | )7020<br>) | C | a2 | trailing blanks |
| FILLER-ALPHA | | ) | | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'DTI' |
| LLOYD'S ADJUSTABLE INDICATOR | | )7020<br>) | C | a1 | 'I' |
| FILLER-ALPHA | | ) | | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'ADJ' |
| Lloyd's OCA CONTRA INDICATOR | | )7020<br>) | C | al | 'I' |
| FILLER-ALPHA | | ) | | a3 | blanks |
| QUALIFIER | | )7022 | C | a3 | 'OCA' |
| CLAIMS PAYABLE ABROAD INDICATOR | | )7020<br>)<br>) | C | al | 'I' |
| FILLER-ALPHA | | ) | | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'CPA' |
| ATTACHMENT INDICATOR | D007 | )7020<br>) | C | a1 | 'I' |
| FILLER-ALPHA | | ) | | a3 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'ATT' |
| RISK CODE | | )7020<br>) | C | a2 | |
| FILLER-ALPHA | | ) | | a2 | blanks |
| QUALIFIER | | 7022 | C | a3 | 'RSK' |
| FIL CODE 1 | | 7020 | C | a4 | e.g. 'USB1' |
| QUALIFIER | | 7022 | C | a3 | 'FFL' |
| FIL CODE 2 | | 7020 | C | a4 | |
| QUALIFIER | | 7022 | C | a3 | 'FL2' |

_____

**8.4.06          (cont...)**

**Lloyd's Audit Code**

Audit codes will not be present for 1991 year of account onwards.  Where single character audit codes are present, the first character will contain the audit code.

**Market Type**

This data element is not advised for outward Syndicate R/I transactions.

The value 'P' can only be present where the year of account is pre 1991.

The value 'AV' can only be advised for 1991 account onwards.  The exception to this is where pre 1991 account items are back dated, which results in a pre 1991 item having a value of "AV" because the transaction is being created through our systems now.

**Audit/DTI Code**

The DTI Code for the transaction is advised.

**Adjustable Indicator**

When advised on premium business the data element will have a value of '1'.  This indicates that the item has been included in the Adjustable Scheme.

When advised on additional and return premiums, this data element will have a value of '1'. This indicates that the original item has been deleted from the Adjustable Scheme.

**OCA Contra Indicator**

When advised, this data element will have a value of '1'.  This indicator is not advised for Treaty Scheme business.  OCA sections are identifiable by a qualifying category of 'M'.

**CPA Indicator**

When advised, this data element will have a value of '1'.  This indicates inclusion within the "Claims Payable Abroad" scheme, and registration at the Settlement of Claims Abroad Office.

_____

**8.4.06 (cont...)**

**Attachment Indicator**

When advised, this data element will have a value of '1'. This indicates that there is insufficient room for narrative information and a paper/electronic copy has been supplied, regardless of whether the syndicate(s) have opted to suppress narrative.

**Risk Code**

This field will only be present for 1991 year of account onwards.

**FIL Code 1**

This is the four character FIL code which is supplied in addition to the 2 character FIL Market Code. It is mandatory for all items except (LORS) GBP outward reinsurance transactions and (LORS) USD transactions that are pre 1969 year of account.

**FIL Code 2**

This will be populated whenever

1. FIL Code 1 is NOT a US code, but the settlement currency is USD. FIL Code 2 will be either a taxable or non-taxable code: For example; FIL Code 1 shows CDW1, FIL Code 2 shows USB1 or NUA1.
2. FIL Code 1 IS a US taxable or non-taxable code and the settlement currency is USD. FIL Code 2 may contain any other positive code. For example; FIL Code 1 shows USB1 or NUA1, FIL Code 2 shows NZA1.
3. FIL Code 1 is NOT a US code and the settlement currency is NOT USD. FIL Code 2 can also be non-US code. For example; FIL Code 1 shows CDA2, FIL Code 2 shows FRB2.

FIL Code 2 will be populated if FIL Code 1 is already populated, i.e. FIL Code 2 will never be populated on its own without FIL Code 1 being present.

For every USD USM item, one of the FIL Codes will be a US Code denoting the tax status of the entry.

For every CAD USM item, one of the FIL Codes will be a Canadian FIL Code for regulatory reporting and trust fund purposes.

NB: 2 FIL Codes are required on Canadian regulated business closed in USD.

**Example Segment**

```
PIAB 0A  FILA2  AUNNM  MKT8   DTI

PIAB USB1FFL
```

_____

**7.4.07 SEGMENT POSITION - K2.1 DETAIL SECTION - PROPORTIONAL INTEREST**

This segment is not advised for outward Syndicate R/I transactions.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIN' |
| SEGMENT ID | | | | a2 | 'A ' |
| QUALIFIER | | 3035 | | a3 | 'LLO' |
| LLOYD'S TOTAL LINE | | | | n12 | |
| LLOYD'S LINE TYPE | | | | n1 | |

**Lloyd's Total Line**

This data element represents Lloyd's total <u>signed</u> line.

This data element should be used in conjunction with the Lloyd's line type to determine whether the field is an integer, or 7 implied decimal places.

**Lloyd's Line Type**

This data element indicates the manner in which Lloyd's syndicates/total signed lines have been expressed, i.e.

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 1 | - | whole figures | 4 | - | shares | 7 | - | ninths |
| 2 | - | retentions | 5 | - | thirds | 8 | - | sixths |
| 3 | - | percentage | 6 | - | lines | | | |

Line types of other than 3 could only appear on pre December 1990 items, as XIS have processed lines only in percentages since 1 December 1990. Consequently, only corrections can have a line type of other than 3.

**Example Segment**

PINA LLO0006712345673

---

### 7.4.08 SEGMENT POSITION - K2.2 DETAIL SECTION - PROPORTIONAL INTEREST

This segment is only advised on premium items.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIN' |
| SEGMENT ID | | | | a2 | 'A' |
| QUALIFIER | | 3035 | | a3 | 'BO1' |
| BROKER ORDER 1 | | | | n12 | 7 implied decimal places |
| FILLER-NUMERIC | | | | n1 | zero |

**Broker Order 1**

This segment will advise the broker order as specified in Line H narrative, field 4 and is expressed as Lloyd's line type of '3'. If the line H narrative shows zeros this segment will also be populated with zeros.

**Example Segment**

```
PINA BO10012345000000
```

_____

### 7.4.09 SEGMENT POSITION - K2.3 DETAIL SECTION - PROPORTIONAL INTEREST

This segment is only advised on premium items.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIN' |
| SEGMENT ID | | | | a2 | 'A' |
| QUALIFILER | | 3035 | | a3 | 'BO2' |
| BROKER ORDER 2 | | | | n12 | 7 implied decimal places |
| FILLER-NUMERIC | | | | n1 | zero |

**Broker Order 2**

This segment will advise the broker order as specified in Line H narrative, field 5 and is expressed as Lloyd's line type of '3'. If the line H narrative shows zeros this segment will also be populated with zeros.

**Example Segment**

PINA BO20012345000000

_____

### 7.4.10 SEGMENT POSITION REFERENCE - L2 DETAIL SECTION - DEDUCTIONS

This segment is only advised if discount is specified for a premium, AP or RP transaction.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DED' |
| SEGMENT ID | | | | a2 | 'A ' |
| TOTAL DISCOUNT | | | | n10 | 7 implied decimal places |

**Total Discount**

This represents the percentage applied when calculating from gross to nett amounts.

**Example Segment**

DEDA 0201234567

_____

### 7.4.11 SEGMENT POSITION - M2.1 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 1153 | | a3 | 'OBR' |
| ORIGINAL BUREAU SIGNING REFERENCE | J007 | )1154 )  ) | | a15 | 2    trailing    blanks |
| FILLER-ALPHA | | ) | | a3 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Original Bureau Signing Reference**

An original premium bureau signing reference may be advised for AP, RP, claim, refund, treaty balance and Rate of Exchange Adjustment Listing Scheme transactions.
As a consequence of the delinking project, a claim payment may be notified by the USM with an original bureau signing reference that had not previously been advised via USM with an original bureau signing reference that had not previously been advised via USM as an original premium.

**Example Segment**

```
RFFC OBR1989112822700        000000000
```

_____

### 7.4.12 SEGMENT POSITION - M2.2 DETAIL SECTION - REFERENCES

This segment may be advised for outward Syndicate R/I transactions only.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE | |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' | |
| SEGMENT ID | | | | a2 | 'C ' | |
| QUALIFIER | | 1153 | | a3 | 'SRI' | |
| SYNDICATE R/I BROKER INFORMATION | | )1154 ) ) | | a12 | (see | below) |
| FILLER-ALPHA | | ) | | a6 | blanks | |
| FILLER-NUMERIC | | 1156 | | nl | zero | |
| FILLER-NUMERIC | | 2000 | | n8 | zeros | |

NB    The syndicate R/I broker information field will contain the original signing number, space, original signing date, as shown in the following example.

**Example Segment**

```
RFFC SRI21400 211188        000000000
```

_____

### 7.4.13 SEGMENT POSITION - M2.3 DETAIL SECTION - REFERENCES

This segment will be advised for Rate of Exchange Adjustment Listing Scheme transactions only.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 1153 | | a3 | 'REX' |
| REXADJ ORIGINAL BUREAU SIGNING REFERENCE | J007 | )<br>)1154<br>) | | a15 | 2    trailing    blanks |
| FILLER-ALPHA | | ) | | a3 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Rate of Exchange Original Bureau Signing Reference**

The bureau signing reference for the item subject to adjustment may be advised for transactions processed in the Rate of Exchange Adjustment Listing Scheme.

**Example Segment**

```
RFFC REX1989030327456     000000000
```

_____

### 7.4.14 SEGMENT POSITION - M2.4 DETAIL SECTION - REFERENCES

This segment is only advised for Letter of Credit claim and treaty balance transactions.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 1153 | | a3 | 'LLR' |
| DCF SIGNING REFERENCE | | )1154 ) | | a15 | 2    trailing    blanks |
| FILLER-ALPHA | | ) | | a3 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFC LLR1980122359929      000000000
```

_____

### 7.4.15 SEGMENT POSITION - M2.5 DETAIL SECTION - REFERENCES

This segment is only advised for treaty balance transactions.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 1153 | | a3 | 'TNO' |
| BUREAU TREATY NUMBER | | )1154 ) | | a9 | |
| FILLER-ALPHA | | ) | | a9 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFC TNO123456789           000000000
```

_____

## 7.4.16 SEGMENT POSITION - M2.6 DETAIL SECTION - REFERENCES

This segment is only advised for treaty balance transactions.  This segment may not always be present.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 1153 | | a3 | 'TSN' |
| LLOYD'S TREATY SERIAL NUMBER | | )1154 ) | | a3 | |
| FILLER-ALPHA | | ) | | a15 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFC TSN123              000000000
```

_____

### 7.4.17 SEGMENT POSITION - M2.7-50 DETAIL SECTION - REFERENCES

This segment is only advised for premium, AP, RP and outward Syndicate R/I transactions, which are being signed on a deferred account basis. It will be repeated for each deferred instalment.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 1153 | | a3 | 'IDD' |
| INSTALMENT NUMBER | | )1154 )  | | a2 | |
| FILLER-ALPHA | | ) | | a16 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| INSTALMENT SETTLEMENT DUE DATE | | 2000 | | n8 | format           is:- YYYYMMDD |

**Instalment Settlement Due Date**

For transactions processed after 1.9.87 the instalment settlement due date will be advised.

For corrections to transactions processed prior to 1.9.87 the client due date will be advised with an '01' day portion.

**Example Segment**

```
RFFC IDD01                019890901
```

_____

### 7.4.18 SEGMENT POSITION - N2 DETAIL SECTION - TEXT

This segment is only advised for treaty balance transactions.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TXT' |
| SEGMENT ID | | | | a2 | 'A ' |
| QUALIFIER | | 0077 | | a3 | 'POT' |
| PERIOD OF TRANSACTION | K007 | 0078 | | a20 | |

**Example Segment**

```
TXTA POT1ST QTR 1989
```

### 7.4.19 SEGMENT POSITION - O2.1 DETAIL SECTION - TRANSACTION AMOUNTS

This is the first segment advised in group 2.  This segment is only advised for treaty balance transactions which contain non-cash reserve and/or deferred sections.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TRA' |
| SEGMENT ID | | | | a2 | 'A ' |
| FILLER-ALPHA | | | | a2 | 'blanks' |
| QUALIFIER | | | C | a3 | 'DBF' |
| DEFERRED BALANCE BROUGHT FORWARD | ) 6.99 ) ) | 5004 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | ) | | C | a1 | '-' if debit |
| QUALIFIER | | | C | a3 | 'DCF' |
| DEFERRED BALANCE CARRIED FORWARD | ) 7.11 ) ) | 5004 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | ) | | C | a1 | '-' if debit |
| QUALIFIER | | | C | a3 | 'RBF' |
| RESERVE BALANCE BROUGHT FORWARD | ) 7.15 ) ) | 5004 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | ) | | C | a1 | '-'if debit |
| QUALIFIER | | | C | a3 | 'RCF' |
| RESERVE BALANCE CARRIED FORWARD | ) 7.31 ) ) | 5004 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | ) | | C | a1 | '-'if debit |

NB   The above amounts represent Lloyd's, rather than an individual syndicate's, proportion.  In the absence of deferred section details, the reserve section amounts will be advised at the 'front' of the segment.  A brought forward, or carried forward, amount of zero will be advised.

**Example Segment**

```
TRAA        DBF000000012345600-DCF000000000000000   RBF000000012345600
RCF000000007890000
```

_____

### 7.4.20 SEGMENT POSITION - O2.2 DETAIL SECTION - TRANSACTION AMOUNTS

This segment is always advised except on outward Syndicate R/I and Rate of Exchange Adjustment Scheme transactions.  On Treaty transactions the 100% amount for each section is advised, followed by the section narrative.  This is repeated for all the sections and is followed by the 100% cash balance amount.  Corrections where only certain syndicate section details are advised will only contain this new group for the amended sections.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TRA' |
| SEGMENT ID | | | | a2 | 'A ' |
| PAYMENT REFERENCE | | | | a2 | Treaty Section (if applicable) |
| QUALIFIER | | | | a3 | 'HUN' |
| 100% AMOUNT | | 5004 | | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | | | a1 | '-'if debit |

NB    100% amount is expressed in original currency (closing currency).

Payment Reference will only contain a value for Treaty Section 100% amounts, in which case the section identifier to which the amount relates will be advised.

**Example Segment**

TRAA A1HUN000000000012345-

_____

### 7.4.21 SEGMENT POSITION - O3. 1-50 DETAIL SECTION - FREE TEXT

These segments are only advised if the syndicate has opted to receive narrative details.  If so, an FTX segment will be output for each treaty section narrative line.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'FTX' |
| SEGMENT ID | | | | a2 | 'A ' |
| TEXT TYPE | | 4451 | | a1 | 'S' |
| TREATY SECTION REFERENCE | | 4453 | | a2 | e.g. 'A', 'A1' etc |
| LLOYD'S NARRATIVE LINE NUMBER | | 4441 | | a1 | |
| FILLER-ALPHA | | 1131 | | a2 | blanks |
| LLOYD'S NARRATIVE LINE | | 4440 | | a64 | |
| LLOYD'S NARRATIVE LINE | | 4440 | | a64 | |
| LLOYD'S NARRATIVE LINE | | 4440 | | a64 | |

**Example Segment**

```
FTXA SA1F  TREATY SECTION FOOTNOTES
```

**Lloyd's Narrative Line Number**

Each section narrative will commence with Line 1, which may repeat if there are more than 3 physical lines of text applicable.  Line F may also be repeated if there is more than one footnote to be advised.

**Settle in Currency / Currency Conversion Service Transactions**
USM will advise to 'CCS Sterling' Syndicates the Cross Rate of Exchange (ROE of Original Currency multiplied by the Lloyd's Treasury ROE for Settlement Currency to Sterling calculated to four decimal places).
USM will advise to 'Settle in Currency' Syndicates the Original Currency to Settlement Currency ROE if the Original Currency is different to the Settlement Currency.

### 7.4.22 SEGMENT POSITION - P2.1 DETAIL SECTION - DATE/TIME REFERENCE

This segment is only advised for those transactions on which a settlement due date or Terms of Credit date has been input.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 2005 | | a3 | 'SDD' or 'TOC' |
| SETTLEMENT DATE | | 2000 | | n8 | format is:- YYYYMMDD for SDD YYYYMM00 for TOC |

**Settlement Date**

The settlement due date, i.e. that date inserted on the slip by the leading Lloyd's underwriter in accordance with Terms of Credit (TOC) or Terms of Trade, will be advised.

A TOC date may be advised for corrected items.

**Example Segment**

```
DTMB SDD19890901
```

_____

### 7.4.23 SEGMENT POSITION - P2.2 DETAIL SECTION - DATE/TIME REFERENCE

This segment is advised on original premium, AP and treaty credit transactions and will be output when the transaction is subject to a Settlement Due Date.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 2005 | | a3 | 'PSD' |
| PLANNED SETTLEMENT DATE | | 2000 | | n8 | format is:- YYYYMMDD |

NB.  If the Planned Settlement Date is after the Settlement Due Date the transaction has been signed "late".  On deferred account transactions the first instalment due date is monitored as above, on second and subsequent instalments compare the later of the Planned Settlement Date and the Instalment Due Date, to the Instalment Due Dates of subsequent instalments to determine lateness.

**Example Segment**

DTMB PSD19920210

_____

### 7.4.24 SEGMENT POSITION - Q2 DETAIL SECTION - SEPARATOR

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'SEP' |
| SEGMENT ID | | | | a2 | 'A ' |
| SEPARATOR IDENTIFICATION | | | | al | 'l' |

**Example Segment**

SEPA 1

### 7.4.25 SEGMENT POSITION - R2.1-99 DETAIL SECTION - FREE TEXT

This segment will now occur up to 99 times.

These segments are only advised if the syndicate(s) have opted to receive narrative details. If this is the case, an FTX segment is output for each line of narrative.

NB    Narrative is not output for small claim or refund transactions, regardless of the narrative option selected.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'FTX' |
| SEGMENT ID | | | | a2 | 'B ' |
| TEXT TYPE | | 4451 | | al | 'T' |
| FILLER-ALPHA | | 4453 | | a2 | blanks |
| LLOYD'S NARRATIVE LINE NUMBER | | 4441 | | a1 | e.g. 'I', 'H', 'U', '3' etc |
| FILLER-ALPHA | | 1131 | | a2 | blanks |
| LLOYD'S NARRATIVE LINE | | 4440 | | a64 | |
| LLOYD'S NARRATIVE LINE | | 4440 | | a64 | |
| LLOYD'S NARRATIVE LINE | | 4440 | | a64 | |

**Example Segment**

```
FTXB T  1  NARRATIVE LINE 1 NAME OF INSURED/VESSEL
```

**Lloyd's Narrative Line Number**

Line number '1' implies the start of a new narrative, except where an extension narrative is provided, in which case line 1 will repeat at the start of the extension narrative. In the case of LMCS items, line 1 narrative will repeat at the end of the other narrative lines.

_____

**8.4.25 (cont...)**

**Line H - Fixed Format**

Line H (which is made up of 64 characters) will appear for premium transactions, and will be formatted in the following manner:

....../................./....../.........../.........../..........

| | | |
|---|---|---|
| CHARACTER 1-6 | (FIELD 1) | = CURRENCY OF LIABILITY |
| CHARACTER 7 | | = SLASH |
| CHARACTER 8-22 | (FIELD 2) | = MAXIMUM LIABILITY |
| CHARACTER 23 | | = SLASH |
| CHARACTER 24-28 | (FIELD 3) | = LOSS QUALIFIER |
| CHARACTER 29 | | = SLASH |
| CHARACTER 30-40 | (FIELD 4) | = BROKER ORDER 1 (3 ch. . 7 ch.) |
| CHARACTER 41 | | = SLASH |
| CHARACTER 42-52 | (FIELD 5) | = BROKER ORDER 2 (3 ch. . 7 ch.) |
| CHARACTER 53 | | = SLASH |
| CHARACTER 54-64 | (FIELD 6) | = BROKER ORDER 3 (3 ch. . 7 ch.) |

**Line H - Completion of the Fields**

**General**

In providing a fixed format sum insured, XIS endeavour to complete the fields in accordance with the information contained in the remaining pages of this section.

**Field 1**

This field shows the currency of the sum insured provided. The ISO abbreviations contained in the Rates of Exchange Tables published by Lloyd's Market Financial Services Department will be used. The abbreviations do not exceed three characters and, therefore, the last three spaces in the allocated field will always be blank.

**8.4.25 (cont...)**

**Field 2**

This field represents the sum insured and is always expressed in whole figures.

a)    The figure entered represents the total sum insured. The percentage on which signed lines are based is established in fields 4-6. Where no total sum insured is available, 'NS', meaning non-standard, is entered. Where a risk has both a total sum insured and a limit applying to a liability section, the total sum insured will be provided, unless there is evidence that the bulk of the premium relates to the liability section in which case the limit of liability is provided. Only one amount is entered in this field.

b)    Where the sum insured relates to a fleet insurance with a schedule of values for individual aircraft or steamers, the sum insured provided in this field should be the maximum value per aircraft or steamer, and not the total of the fleet.

**Field 3**

Where field 2 has been completed with a limit of liability, this field is completed with the appropriate abbreviation that qualifies the limit. The abbreviations used are:-

## GENERIC CODES

**Code Description**

| | |
|---|---|
| AOA | Any one accident or occurrence |
| AGG | In aggregate |
| AOC | Any one claim |
| AOE | Any one event |
| AOI | Any one interest |
| AOL | Any one loss |
| AOP | Any one person, passenger or patient |
| CLL | Combines single limit any one loss |
| CSL | Combined single limit |
| EEL | Each and every loss |
| EEI | Each and every interest |
| SIR | Sum insured/reinsured |

## BUSINESS SPECIFIC CODES

| | |
|---|---|
| AAP | Any one aircraft or engine to be advised as soon practicable |
| ABL | Any one certificate/bill of trading |

---

## BUSINESS SPECIFIC CODES Continued

| | |
|---|---|
| ACA | Any one claim and in all |
| ACL | In aggregate with two round the clock reinstatements |
| ACR | In aggregate with one round the close reinstatement |
| ACV | Any one combined vehicle |
| ADR | In aggregate with one direct reinstatement |
| AFE | In aggregate followed by each and every |
| ALA | Any one location any one assured |
| ALE | Any one loss or series of losses arising out of one event |
| ALN | Any one loss, any one location |
| ARR | In aggregate with 2 direct reinstatements |
| ASH | Any one show |
| AUR | In aggregate with unlimited reinstatements |
| AVA | Any one vessel any one assured |
| AWP | Aggregate over whole policy |
| EAC | Each and every aircraft |
| EAG | Each and every claim with agg cap |
| EAR | Each and every claim with agg capy and residual |
| ECL | Each claim |
| ECT | Each and every claimant |
| EEV | Each and every event |
| ELC | Each and every loss other than C clause etc. |
| EOC | Each occurrence |
| ESE | Each and every loss and/or series of losses arising out of one event |
| LWA | Losses when arising |
| OTH | Other (only if no suitable code provided or complex/multiple) |
| PLA | Any one platform |
| PPF | Per performance |
| RET | Self insured retention |
| WSV | Whole shipment value |
| ACC | Each and every acceptance |

**8.4.25 (cont)**

**BUSINESS SPECIFIC CODES Continued**

ACM        Any one crew member

ACP        Any one acceptance

ACT        Any one aircraft

AHO        Any one hole

AIE        Including automatic investments and eventuelle

ALC        Any one loss any one conveyance

ALI        Any one life

ALL        In all

ANL        Any one animal

AOA        Any one accident

AOB        Any one bottom

AOD        Any one declaration

AOF        Any one fire

AOG        Any one grounding

AOH        Any one hull

AOM        Any one member

AOR        Any one risk

AOS        Any one sending

AOT        Any one time

AOU        Any one unit

AOV        Any one vehicle

ASD        Any one assured

ASO        As original

ASR        Any one structure

AST        Any one satellite

ATK        Any one truck

AYD        Any one yard

AYR        Any one year

BGE        Any one barge

BLG        Any one building

_____

**8.4.25** **(Cont...)**

### BUSINESS SPECIFIC CODES Continued

| | |
|---|---|
| CAT | Any one catastrophe |
| CGO | Any one cargo |
| CLI | Contractual limit of indemnity |
| CON | Any one contract |
| COV | Any one conveyance |
| CTR | Any one container |
| CTY | Any one country |
| DAY | Per day |
| DSI | Daily sum insured |
| EDO | Each director/officer |
| EEA | Each and every accident |
| EEC | Each and every claim |
| EEE | Each and every loss, each location |
| EEO | Each and every occurrence |
| EER | Each and every risk |
| EIN | Each incident |
| ERV | Each round voyage |
| EST | Any one establishment |
| EVI | Each unit each interest |
| EVV | Each single voyage each vessel |
| EXN | Any one exhibition |
| HCT | Any one hovercraft |
| HIS | Highest Sum Insured |
| ITM | Any one item |
| LCH | Any one satellite launch |
| LEL | Combined single limit, each and every loss. |
| LOC | Any one location |
| LOL | Limit of loss |
| MAX | Maximum |
| MIN | Minimum |

**8.4.25**      **(Cont...)**

### BUSINESS SPECIFIC CODES Continued

| | |
|---|---|
| MOP | Maximum any one person |
| MTH | Per month |
| NSL | To pay N% of salary up to |
| NWW | N% of Average Weekly Wage |
| NXS | Any amount X salary |
| OAC | Any one account |
| OCC | Any one occurrence |
| OKA | Any one known accumulation |
| OPA | Any one person, passenger or patient, any one accident |
| OTK | Any one tool kit |
| OTR | Any one trailer |
| PCP | Per covered person |
| PER | Per person |
| PIE | Per insured event |
| PLL | Pro rata on lesser limits/amounts |
| POL | Any one policy |
| PPA | Per person, per accident and/or illness |
| PPD | Per person per day |
| PPW | Per person per week |
| PPY | Per person per year |
| PRM | Any one premises |
| PTY | Any one property |
| SAL | Separate additional list |
| SCH | Any one schedule |
| SHP | Any one shipment |
| STR | Any one steamer |
| SUB | Sublimited |
| TLO | Total loss only |
| TOW | Any one two |
| TRA | Travel and accommodation |

_____

**8.4.25 (Cont...)**

**BUSINESS SPECIFIC CODES Continued**

ULD        Unlimited

VOY        Any one voyage

VSL        Any one vessel

PWK        Per week

WTY        As per warranty

001        Any one debtor

002        Rotors in motion

003        Rotors not in motion

**Field 4**

Where the amount entered in Field 2 represents the '100%' on which underwriters signed lines are based, this field shows '100%'. If the broker's order was, say 40%, of the amount entered in Field 2, this field would show '40%'. Underwriters' signed lines are therefore percentages of 40%. For example, if an underwriter has a signed line of 100%, it will be 100% of 40% of the amount entered in Field 2.

**Fields 5 & 6**

Field 6 will only be populated on corrections.

If the order is 25% of 66.66% of 30% of an amount entered in Field 2, Field 4 would show 25%, Field 5, 66.66% and Field 6, 30%.

However, if the order was 33.33% part of 66.66% of 30%, Field 4 would show 33.33% and field 5, 30%, i.e. the 'part of' percentage would not be provided as it serves no useful purpose. Fields 5 and 6 are only completed when the sum insured cannot otherwise be correctly advised.

If the broker order is an amount, (e.g. £ 1,000,000 part of £ 2,000,000) the amount £ 1000000 is shown as Maximum Liability.

If an order arises similar to the following,

25% of 66.66% of 30% of 90% of an amount entered in Field 2, Field 4 will show 25%, Field 5, 66.66% and Field 6, 27%, which is the equivalent of 30% of 90%.

Corrections can be made to data contained in line H but currency only corrections (field 1) will not be advised.

### 8.4.25 (cont...)

### Line I - Format

Line I (if present) contains the signing numbers of associated transactions that have been signed with the same signing date as this transaction. The line is in the format:

INьCONJьWITHьььNNNNNьNNNNNьNN etc.

or

ADDTLьENTRYьTOьNNNNNьNNNNNьNN etc.

Where the signing date differs between the transaction and its associated item(s) the signing date of the associated item(s) is advised in addition to the signing number, in the format DDMMM:

ADDTLьENTRYьTOьNNNNNьDDMMMь

This line of narrative can only appear on premium, AP/RP and Treaty business.

### Line U - Fixed Format

Line U (which is made up of 25 characters) will be advised on US/Canadian dollar settlement currency and Brexit Part VII items only. It will be advised on premiums, AP/RP, Treaty Balances, in the format detailed over. Details of Line U format for claims and outward RIs can be found under 8.4.25 - Claims Narrative.

## ../..../......../........

The following business categories will contain Line U, as defined below, on USD/CAD settlement currency and Brexit Part VII transactions: **PRM, RPM, DPM and TTY**

| CHARACTER 1-2 | (FIELD 1) | = TRUST FUND CODE |
|---|---|---|
| CHARACTER 3 | | = SLASH |
| CHARACTER 4-7 | (FIELD 2) | = FOUR CHARACTER FIL CODE |
| CHARACTER 8 | | = SLASH |
| CHARACTER 9-16 | (FIELD 3) | = PERIOD OF TRANSACTION FROM DATE |
| CHARACTER 17 | | = SLASH |
| CHARACTER 18-25 | (FIELD 4) | = PERIOD OF TRANSACTION TO DATE |

_____

**8.4.25 (cont...)**

**Line U - Completion of the Fields**

**Field 1**

This contains a code which will determine to which of the 18 US Trust Funds the transaction relates, in accordance with the following values:

| Code | Trust Fund |
|------|------------|
| OL | Old LATF - working trust for risks prior to 1 August 95 |
| NR | Lloyd's Dollar Trust Fund - working trust for post 1 August 1995 risks |
| SL | Surplus Line Trust for post 1 August 1995 risks |
| RI | US Reinsurance Trust for post 1 August 1995 risks |
| LL | Illinois licensed Trust for post 1 August 1995 risks |
| KY | Kentucky licensed Trust for post 1 August 1995 risks |
| VI | US Virgin Islands licensed Trust for post 1 August 1995 risks |
| CR | Canadian regulated business 1993 account or post settled in CAN$ or US$ |
| CN | Canadian Non-Regulated business 1993 account or post settled in CAN$ |
| P7 | Part VII |
| R7 | Part VII RI Situs Regulated |
| S7 | Part VII Surplus Line Regulated |
| N7 | Part VII Non-Regulated Trust |
| V7 | Part VII US Virgin Islands |
| K7 | Part VII Kentucky Direct |
| L7 | Part VII Illinois Direct Trust |
| O7 | Part VII Pre 1 August 1995 Trust |
| 7N | Part VII Non-regulated Canadian business |

Note that the Brexit Part VII Trust Fund Codes will not be advised for Scheme Canada Claims, or for LORS business.

**Field 2**

This contains the four character US/Canadian dollar FIL code which has been allocated to the entry. Full listings of dollar FIL codes are contained in the Lloyd's Policy Signing and Central Accounting Manual.

**8.4.25 (cont...)**

**Field 3**

This contains the Period of Transaction **From** Date in the format YYYYMMDD. On some corrections this date may not be completed, i.e. corrections to items which did not have the date already present, in which case "00000000" will be advised. It will be present for all new business items processed on or after 1 August 1995, except on transactions where actual dates are not known e.g. marine cargo single voyage risks.

**Field 4**

This contains the Period of Transaction **To** Date in the format YYYYMMDD. On some entries this date may not be completed, i.e. corrections to items which did not have the date already present, or on some new business items where the date is not defined, in which case "00000000" will be advised.

**Line 7 - Format**

Line 7 (if present) contains the signing number and date of the Year of Account Scheme registration FDO premium, to which the premium transaction being accounted attaches. The line is in the format:

ATTACHING♭TO♭NNNNN♭DDMMMYY

Line 7 will only appear in this format on premium business.

**Line T – All premium business except for Treaty Statements.**

Contains the amount of Overseas Added Tax and the amount of UK IPT payable on the transaction. Line T narrative will be output if either the Overseas Added Tax or the UK IPT are non zero. If Line T narrative is advised then both the Overseas Added Tax and UK IPT figures will be advised even if one of them is zero. Both of these figures will be expressed in Settlement Currency and will be 100% amounts. Line T will be advised in the following format

OVERSEAS ADDED TAX: NNNNNNNNNNNNN.NN- UK IPT: NNNNNNNNNNNNN.NN-

Note -          The minus sign will only be present for negative amounts.

Note –          Overseas Added Tax will no longer be offset against total deductions, but will
continue to   be included in Net Premium.

_____

**8.4.25   (cont...)**

**Line X – All premium business except for Treaty Statements.**

Contains the Broker Signing Number and Date along with the Original Broker Signing Number and Date in the following format:

BSND CCYYMMDDNNNNN OBSND CCYYMMDDNNNNN.

For all transactions, except for those processed via the eAccounts Splitting Service, the Broker Signing Number and Date will match the Bureau Signing Reference whilst the Original Broker Signing Number and Date will match the Original Bureau Signing Reference.

For Original Premium and FDO transactions the OBSND will contain the same value as the BSND.

For split AP/RP transactions created by XIS that attach to OPs that were split by the broker (known as "legacy splits") the OBSND will be advised as zeroes.

**Other Narrative Lines - General**

The following summary details the content of the narrative lines, although as narrative is in free format, it is not possible to give a completely accurate list.  However, in the majority of cases the following information would be shown in each line.

**Line 1**

Assured/reassured/vessel, as applicable.  The coverholder is shown on binding authorities.

On Letter of Credit transactions the new format Direct Credit facility (DCF) reference will be shown here, and will use a combination of a 4 character alpha/numeric LATF number, and the original premium signing number and date to link movements together.

**Line 2**

Period of the risk (or voyage as applicable)

**Line 3**

Interest, value (as applicable), sum insured/limits of policy or treaty.  If there is an order, it is shown here.

**Line 4**

Location of the risk/trading warranties.

**Line 5**

Type of risk/cover provided.

## 8.4.25 (cont...)

### Line 9

100% amount, deduction, the rate of the risk and rate of exchange (where applicable). Any War premium is shown.

In respect of marine business "NWP" is used to indicate that no separate war premium is involved.

For Currency Conversion Service transactions Sterling Syndicates will be advised of Cross Rate of Exchange (ROE of Original Currency multiplied by the Lloyd's Treasury ROE Settlement Currency to Sterling. 'Settle in Currency' Syndicates will be advised of Original Currency to Settlement Currency ROE if the Original Currency is different to the Settlement Currency.

### Line R - All Business Types (Except Scheme Canada and Letters of Credit) For all settlement currency transactions using the Lloyd's Treasury Currency Conversion Service (CCS) a new narrative 'Line R' will be advised in the following format:
CCS/ROE/ccc/nnnnnnn.nnnnnnnn, where 'ccc' is the currency of settlement from broker to Lloyd's and 'nnnnnnn.nnnnnnnn' is the variable Lloyd's Treasury supplied Settlement Currency to Sterling ROE.
e.g. CCS/ROE/EUR/0000001.51030000.

This line will appear on transactions advised to both CCS syndicates and pure settlement currency syndicates. For FDO items line R exchange rate will be populated with zero's. For deferred account transactions the same ROE will be applied to all instalments.

### Insufficient Information

When insufficient information is available from the slip, lines 2, 3, and 4 may not be advised. Also, on Marine business, line 3 information is sometimes combined with line 5, resulting in line 3 not being advised. Lines 6 and 8 are not used on premium business.

### AP/RP Narrative - General

The following summarises the details of narrative as usually found on additional or return premiums.

### Line 1

In the majority of cases this information is system generated. It contains the narrative lines 1 to 5 of the original premium. The line numbers are not present within the text. Where, for some reason, system generation of the original premium's narrative was not possible lines 1 to 5 will be keyed as on premium item.

### Line 8

This line contains the reason for the adjustment e.g. details of the increased cover which has resulted in the additional premium or details of the restriction of cover that has resulted in the return premium.

### Line 9

This is as per the premium definition.

_____

**8.4.25  (cont...)**

**Claims Narrative - General**

The following summarises the details of narrative lines as usually found applying to claims business, being processed through Converged Class.  Letter of Credit claims, Treaty and Scheme Canada claims are not processed through Converged Class and therefore do not advise the narrative details shown below.  (see details at the end of claims narrative)

Where multiple claim settlements are being processed on one payment, the free format lines of narrative attempt to provide information on each claim. This may begin as early as Line J through to Line N depending on the other free format details provided.

**Line C**

Policy period from, policy period to, cover period from, cover period to, loss location.

**Line D**

Date of loss from, date of loss to, voyage (marine only), limit (marine only), order (marine only).

**Line E**

Location, Highest Estimate, Outstanding Amount, Outstanding Amount Qualifier (as below), Previously Paid Amount, OCR.

Outstanding Amount Qualifiers:

| | | |
|---|---|---|
| S | = | Subrogation |
| R | = | Recovery |
| M | = | Claim Notified awaiting action from lawyer/adjuster |
| L | = | Circumstance of loss notified (long tail only) |
| A | = | Awaiting advice from cedent or coverholder |
| P | = | Precautionary |
| X | = | Erroneous Entry |
| N | = | Nil |

For Bulk Settlements, all fields in line E, including the outstanding amount and qualifier, are advised as blank.

### 8.4.25  (cont...)

For Contras to claim settlements where the Broker settlement currency is one that may undergo CCS, the claim transaction will be allocated the same BSR as the transaction being contra'd, in order to ensure that the correct treasury rates are used. In this situation a Contra transaction will be advised, for the BSR, with the same Line E values as was previously advised. Consequently, the following qualifier values, which are no longer used, may continue to be advised on contras for claims movements created prior to the implementation of the new Qualifier Codes:

F    =    Fees
V    =    Salvage
Z    =    Zero
E    =    Expenses
T    =    TBA

### Line G

This is as per the premium definition for Line 9.

### Line J

Free format

### Line K

Free format

### Line M

Free format

### Line N

LCO reference, LCO cat code, paid this time amount, outstanding amount.  The above will be repeated up to 3 times if applicable to the settlement. In addition, where the settlement applies to more than 2 LCO references an electronic/and or/paper attachment will be supplied and the attachments indicator will be set.

For Marine excess of loss of business or Aviation business, Line N will contain the following:

LCO Reference, date of loss, category code (if present), paid this time, outstanding amount and description of loss

_____

### 8.4.25   (cont...)

### Line V

Property claims services (PCS), leading underwriter, syndicate number, leading underwriter percentage, qualifier (as below), name of insured. coverholder, original insured, reinsured, or vessel.

### Qualifiers

| I | = | Insured |
|---|---|---------|
| C | = | Coverholder |
| O | = | Original insured |
| R | = | Reinsured |
| Z | = | Other |
| V | = | Vessel |

### Line B

Correction narrative

### Line U - Fixed Format

Line U will be advised on all claim entries, including small claims, which have USD/CAD as the settlement currency or are Brexit Part VII claims, and will be formatted in the following manner:

## ../....

The following business categories will contain Line U, as defined below, on USD/CAD settlement currency or Brexit Part VII transactions:     **CLM**

```
CHARACTER 1-2          (FIELD 1)        = TRUST FUND CODE
CHARACTER 3                             = SLASH
CHARACTER 4-7          (FIELD 2)        = FOUR CHARACTER FIL CODE
```

### Line U - Completion of the Fields

### Field 1

This contains a code which will determine to which of the Trust Funds the transaction relates, as defined earlier under premium entries.

### Field 2

This contains the four character FIL code which has been allocated to the entry.  Full listings of   FIL codes are contained in the Lloyd's Policy Signing and Central Accounting Manual.

Note:  It is possible for any of the Trust Fund codes to appear in Line U with the FIL code of NUA1 on small claims.

_____

## 8.4.25   (cont...)

### Claims Narrative - Letter of Credit/Scheme Canada

The following summarises the details of narrative lines as usually found applying to claims not processed through Converged Class.  This information can only be used as a guide.

### Line 1

Name of assured or reassured, name of vessel or steamer.

### Line 2

Overflow of Line 1, the period of risk or the voyage the vessel was on.

### Line 3

Interest and declared value, the nature of cover, interest and declared value, the nature of cover e.g. Hull and War.

### Line 4

Overflow of Line 3, period of insurance.

### Line 5

Perils/risks covered.

### Line 6

Location of loss and loss date

### Line 7

See Line 8.

### Line 8

Details of loss/amount of claim if applicable, the reinsured company is shown here.

NB    Where a large amount of detail is present Line 7 is used in addition to Line 8.

### Line 9

The 100% claim.

### Line U

Details for Line U will be as previously stated under Claims Narrative General.

### Claims Narrative - LMCS Claims

Claims narrative on LMCS claims will be as per the above details for Letter of Credit/Scheme Canada claims with the exception of:

Line 9 will simply contain   a  " - " in the first character.

_____

**8.4.25   (cont...)**

**Other Narrative Types**

**Line B (Correction Narrative)**

This is a free format line of narrative where the correction clerk will supply details of the correction.

On new business outward reinsurance entries, this line may contain market notes.

**Line F**

This line is applicable to treaty and outward reinsurance business only.  For treaty business it is used to provide further narrative details on treaty sections.

For outward reinsurance business it contains free format additional narrative details such as the original claimant, and details of partial settlements. Only the first 10 lines of the input data (Further Narrative Details screen on LORS) will be advised.

**Line O**

This line will be present if a transaction is subject to a designation code which can apply on pre 1971 items.

**Line U – LORS Business**

This will only appear on USD settlement currency outward reinsurance transactions.  It will be in the same format as advised for claims, with the exception of 1968 and prior year of account items which will not contain the four character FIL code.

**8.4.25 (cont...)**

**Direct Credit Facility (primarily Letter of Credit)**

New issues, increases, or extensions to existing LOCs, established after 1994 are now collateralized. The narrative for collateralized LOCs differs from previously advised non-collateralized LOCs as follows:

* \* The Direct Credit Facility (DCF) reference is shown in Line 1.

* \* Extensions in time, which do not affect the outstanding balance, will be advised with an amount of Zero. Line 9 of the narrative will not be advised on these entries.

A collateralized LOC will result in 2 entries being processed, one being a cash movement, the other being 'paid by cheque'. New issues, increases and extensions will attract a cash claim (cat code 4), and a 'paid by cheque' refund (cat code 5), thereby equating a zero amount statistically, but allowing the funds to be transferred into a central LOC account. Reductions will be a reverse of this, i.e. the claim will be 'paid by cheque' whilst the refund will be cash. Drawings will be processed as 'paid by cheque' entries thereby recording the claim amount without moving any funds from the syndicates' accounts.

Examples of how the narrative from the DCF system is advised is shown below;

**New Issue Restyled Narrative**

| | |
|---|---|
| 1 | DCF REFERENCE 1234 34123 31/08/1992 |
| 2 | Narrative entered by LCO from collection form as currently |
| 3 | "          " |
| 4 | "          " |
| 5 | "          " |
| 6 | "          " |
| 7 | "          " |
| 8 | BAL REMAINING USD    20000.00 |
| 9 | 100% = USD 20000.00 |

_____

**8.4.25 (cont...)**

### Movement Restyled Narrative

| | |
|---|---|
| 1 | DCF REFERENCE 1234 34123 31/08/1992 |
| 2 | Data entered by LCO as currently |
| 3 | "          " |
| 4 | "          " |
| 5 | "          " |
| 6 | B/F BAL USD          10000.00     ORIG LOC/DATE 69650 19/09/94 |
| 7 | DRAWING USD          4000.00<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX$_2$ |
| 8 | BAL REMAINING USD     6000.00 |
| 9 | 100% = USD 4000.00 |

1. The word drawing is replaced by INCREASE, REDUCTION, REFUND AS REQUIRED

2. In the case of an increase XXXXXXXXX will be replaced by "COLLATERALISATION OF FULL BAL REQD"

### Extension of Time Restyled Narrative (For DCFs being collateralised)

| | |
|---|---|
| 1 | DCF REFERENCE 1234 34123 31/08/1992 |
| 2 | Data entered by LCO as currently |
| 3 | "          " |
| 4 | "          " |
| 5 | "          " |
| 6 | LOC EXTENDED TO EXPIRE ON 12/08/95 |
| 7 | ORIG LOC/DATE 12345 12/03/93 |
| 8 | BAL REMAINING USD     21212121212.22 |
| 9 | 100% = USD          21212121212.22   EXTENSION   IN   TIME<br>COLLATERALISATION |

1. If there is no original LOC No and Date this line will not be produced.

**8.4.25 (cont...)**

**Extension of Time Restyled Narrative (For DCFs that have been collateralised previously)**

| | |
|---|---|
| 1 | DCF REFERENCE 1234 34123 31/08/1992 |
| 2 | Data entered by LCO as currently |
| 3 | "              " |
| 4 | "              " |
| 5 | "              " |
| 6 | LOC EXTENDED TO EXPIRE 12/08/95 |
| 7 | ORIG LOC/DATE 12345 12/03/93 |
| 8 | BAL REMAINING USD      21212121212.22 |
| 10 | Data entered by LCO as currently |

1.      If there is no original LOC No and Date this line will not be produced.

_____

### 7.4.26 SEGMENT POSITION - S2 DETAIL SECTION - TRANSACTION AMOUNTS

This segment will contain the Loss Reserve  Interest Amount for claims transactions but will not be populated until further notice.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TRA' |
| SEGMENT ID | | | | a2 | 'B ' |
| FILLER-ALPHA | | | | a2 | blanks |
| QUALIFIER | | | | a3 | 'LRA' |
| LOSS RESERVE INTEREST AMOUNT | | | | n15 | |
| SIGN SPECIFIER | | | | a1 | '-' if debit |
| FILLER-ALPHA | | | | a3 | blanks |
| FILLER-NUMERIC | | | | n15 | zeros |
| FILLER-ALPHA | | | | a1 | blank |
| FILLER-ALPHA | | | | a3 | blanks |
| FILLER-NUMERIC | | | | n15 | zeros |
| FILLER-ALPHA | | | | a1 | blank |
| FILLER-ALPHA | | | | a3 | blanks |
| FILLER-NUMERIC | | | | n15 | zeros |
| FILLER-ALPHA | | | | a1 | blank |

### EXAMPLE SEGMENT

```
TRAB    LRA000000123456789     000000000000000     000000000000000
000000000000000
```

### 7.4.27 SEGMENT POSITION - T 2 DETAIL SECTION - RELATED IDENTIFICATION NUMBERS

The first segment advised in group 3 which contains claim settlement details. The group will only be present where more than one claim has been settled by the transaction.

The group will not be populated until further notice.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'GIR' |
| SEGMENT ID | | | | a2 | 'A ' |
| LCO REFERENCE | | | | an12 | |
| FILLER -ALPHA | | | | a23 | |
| QUALIFIER | | | | a3 | 'BPR' |
| MOVEMENT REFERENCE | | | | an6 | |
| FILLER - ALPHA | | | | a29 | |
| QUALIFIER | | | | a3 | 'MOV' |
| CURRENCY KEY | | | | n1 | e.g. '3' |
| FILLER - ALPHA | | | | a34 | |
| QUALIFIER | | | | a3 | 'CKY' |

Currency Key

The Currency key makes the LCO Reference unique for a claim.

For a Marine claim the values are (1, 3, 5, 7), which signify respectively (GBP, Convertible, USD, CAD)

For a Non-Marine or Aviation claim, the corresponding values are (2, 4, 6, 8)

**EXAMPLE SEGMENT**

```
GIRA  REFERENCE123                                          BPRREF123
MOV3                                      CKY
```

### 7.4.28 SEGMENT POSITION - T 3 DETAIL SECTION - TRANSACTION AMOUNTS

This segment will contain the amount of claim paid this time

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TRA' |
| SEGMENT ID | | | | a2 | 'C ' |
| FILLER -ALPHA | | | | a2 | blanks |
| QUALIFIER | | | | a3 | 'APT' |
| AMOUNT PAID THIS TIME | | | | n15 | |
| SIGN SPECIFIER | | | | a1 | '-' if debit |
| FILLER - ALPHA | | | | a3 | blanks |
| FILLER - NUMERIC | | | | n15 | zeros |
| FILLER - ALPHA | | | | a1 | blank |
| FILLER - ALPHA | | | | a3 | blanks |
| FILLER - NUMERIC | | | | n15 | zeros |
| FILLER - ALPHA | | | | a1 | blank |
| FILLER - ALPHA | | | | a3 | blanks |
| FILLER - NUMERIC | | | | n15 | zeros |
| FILLER - ALPHA | | | | a1 | blank |

### EXAMPLE SEGMENT

```
TRAC    APT000000000001245     00000000000000     000000000000000
000000000000000
```

**7.4.29 SEGMENT POSITION - U2 DETAIL SECTION - NAME AND ADDRESS**

This segment is always the first in Group 4.

If the user has opted to receive 'single syndicate' advices (see section 4), Group 4 will appear only once. However, if the user has opted to receive 'multi syndicate' advices (see Section 4), Group 4 will be repeated for each syndicate (associated with that user) who has a share of the transaction.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'NAD' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 3035 | | a3 | 'SYN' |
| COMPANY/SYNDICATE IDENTIFICATION | B001 | )3039 ) ) | | a4 | right justified (leading blank) |
| FILLER-ALPHA | | ) | | a5 | blanks |

**Company/Syndicate Identification**

The Lloyd's syndicate number is advised.

**Example Segment**

```
NADB SYN 123
```

_____

### 7.4.30 SEGMENT POSITION - U3.1 DETAIL SECTION - REFERENCES

This segment is always advised.  (NOTE:  Syndicate Line Number will be unique within signing number and date + version number, but may not be unique within signing number and date due to corrections).

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'D ' |
| QUALIFIER | | 1153 | | a3 | 'SLN' |
| SYNDICATE LINE NUMBER | | )1154 | | n3 | |
| FILLER-ALPHA | | ) | | a15 | blanks |
| FILLER-NUMERIC | | 1156 | | nl | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFD SLN004                 000000000
```

## 7.4.31 SEGMENT POSITION - U3.2 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'D ' |
| QUALIFIER | | 1153 | | a3 | 'SY1' |
| COMPANY/SYNDICATE REFERENCE | J003 | )1154 | | 15 | 3    trailing    blanks |
| | | ) | | | |
| FILLER-ALPHA | | ) | | a3 | blanks |
| FILLER-NUMERIC | | 1156 | | nl | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFD SY1SYNDREFCEONE        000000000
```

### 7.4.32 SEGMENT POSITION - U3.3 DETAIL SECTION - REFERENCES

This segment is only advised if an additional claim reference has been processed for a treaty balance transaction.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'D ' |
| QUALIFIER | | 1153 | | a3 | 'SY2' |
| COMPANY/SYNDICATE SECONDARY REFERENCE | J003 | )1154 )  ) | | a15 | 3 trailing blanks |
| FILLER-ALPHA | | ) | | a3 | blanks |
| FILLER-NUMERIC | | 1156 | | n1 | zero |
| FILLER-NUMERIC | | 2000 | | n8 | zeros |

**Example Segment**

```
RFFD SY2SYNDREFCETWO      000000000
```

**7.4.33 SEGMENT POSITION - U3.4 DETAIL SECTION - REFERENCES**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' |
| SEGMENT ID | | | | a2 | 'D' |
| QUALIFIER | | | | a3 | 'SLR' |
| SYSTEM LINE REFERENCE | | | | an6 | for all ECA items |
| FILLER-ALPHA | | | | a12 | blanks |
| FILLER-NUMERIC | | | | nl | zero |
| FILLER-NUMERIC | | | | n8 | zeros |

**System Line Reference**

This is the reference allocated to a line at the time of placing in EPS.

**Example Segment**

```
RFFD SLRLINREF              000000000
```

### 7.4.34 SEGMENT POSITION - U3.5 DETAIL SECTION - REFERENCES

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE | |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' | |
| SEGMENT ID | | | | a2 | 'D ' | |
| QUALIFIER | | | | a3 | 'CNR' | |
| COLLECTION NOTE REFERENCE | | | | an17 | left | justified |
| FILLER-ALPHA | | | | a1 | blanks | |
| FILLER-NUMERIC | | | | n1 | zero | |
| FILLER-NUMERIC | | | | n8 | zeros | |

**Collection Note Reference**

May be present on LORS transactions.

It will initially be populated with 8 characters

**Example Segment**

```
RFFD CNRCOLLECT1000000000 000000000
```

### 7.4.35 SEGMENT POSITION - V3 DETAIL SECTION - ADDITIONAL ID

This segment will only be advised on transactions that contain audit codes.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIA' |
| SEGMENT ID | | | | a2 | 'C ' |
| SYNDICATE MARKET CODE | | )7020 ) | | a1 | 'M,N or A' |
| FILLER-ALPHA | | ) | | a3 | blanks |
| QUALIFIER | | 7022 | | a3 | 'SMC' |
| FILLER ALPHA | | 7020 | | a4 | blanks |
| FILLER ALPHA | | 7022 | | a3 | blanks |
| FILLER ALPHA | | 7020 | | a4 | blanks |
| FILLER ALPHA | | 7022 | | a3 | blanks |
| FILLER ALPHA | | 7020 | | a4 | blanks |
| FILLER ALPHA | | 7022 | | a3 | blanks |

**Syndicate Market Code**

This will be populated on all transactions that fall within post 1970 and pre 1991 years of account to determine whether the syndicate market was Marine, Non-Marine or Aviation.

**Example Segment**

```
PIAC M   SMC
```

_____

**7.4.36 SEGMENT POSITION - W3 DETAIL SECTION - PROPORTIONAL INTEREST**

This segment is advised for all transactions, except outward Syndicate R/I items.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIN' |
| SEGMENT ID | | | | a2 | 'B ' |
| FILLER-ALPHA | | 3035 | | a3 | blanks |
| LLOYD'S SYNDICATE SIGNED LINE | | | | nl2 | |
| FILLER-NUMERIC | | | | n1 | zero |

**Lloyd's Syndicate Signed Line**

This data element is advised with 7 decimal places implied, unless the Lloyd's line type (see section 7.4.07) is 1, which indicates that the integer value of the nett absolute amount will be advised.

**Example Segment**

```
PINB     000666700000
```

_____

**7.4.37 SEGMENT POSITION - X3 DETAIL SECTION - TRANSACTION AMOUNTS**

This segment is advised for all transactions, except premium/treaty FDO's.

The appearance and sequence, of the various syndicate amounts within this segment, will depend upon the type of the transaction. Each amount can be identified by the qualifier immediately preceding it.

The war amount advised in this segment is a sum of the cash sections only.

For transactions in a de-linked state the NETT amount will be advised as a provisional amount. When the de-linked transaction is released for settlement, the NETT amount in the COR version will only change where the rate of exchange has been adjusted since the submission of the de-linked transaction. Refer to 7.4.00 for details of de-linked processing codes.

A maximum of 4 amounts can be present in a 'TRA' segment.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TRA' |
| SEGMENT ID | | | | a2 | 'D ' |
| FILLER-ALPHA | | | | a2 | blanks |
| QUALIFIER | | | C | a3 | 'NET' |
| COMPANY/SYNDICATE NETT AMOUNT IN SETTLEMENT CURRENCY | )<br>)<br>)A008<br>) | 5004 | C | n15 | 2 decimal implied places |
| SIGN SPECIFIER | ) | | C | a1 | '-' if debit |
| QUALIFIER | | | C | a3 | 'WAR' |
| COMPANY/SYNDICATE NETT WAR AMOUNT IN SETTLEMENT CURRENCY | )<br>)<br>)5.26<br>) | 5004 | C | n15 | 2 decimal implied places |
| SIGN SPECIFIER | ) | | C | a1 | '-' if debit |
| QUALIFIER | | | C | a3 | 'VAT' |
| SYNDICATE VAT AMOUNT | )<br>)5.27<br>) | 5004 | C | n15 | 2 decimal implied places |
| SIGN SPECIFIER | ) | | C | a1 | '-' if debit |

**8.4.37 (cont...)**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| QUALIFIER | | | C | a3 | 'BAL' |
| SYNDICATE'S BALANCE OF CASH ACCOUNT | | 5004 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | | C | a1 | '-' if debit |
| QUALIFIER | | | C | a3 | 'LOC' |
| SYNDICATE LOC OUT-STANDING AMOUNT | | 5004 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | | | al | '-' if debit |
| QUALIFIER | | | C | a3 | 'CED' |
| SYNDICATE R/I CEDED TO LLOYD'S | | 5004 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | | C | al | '-' if debit |

**Company/Syndicate Nett War Premium**

This element is only advised if war premium has been processed for the transaction.

**Syndicate VAT Amount**

This element is only advised if VAT has been processed for the claim, refund or recovery transaction.

**Balance of Cash Account**

This element is only advised for treaty balance transactions.  It is always advised, even if zero.  This represents the amount for settlement and this does not include reserve or deferred section amounts.

**Syndicate Letter of Credit Outstanding Amount**

This element is only advised for treaty Letter of Credit transactions.  It is always advised for such transactions, even if zero.

**Syndicate R/I Ceded to Lloyd's**

This element is only advised for outward Syndicate R/I transactions.  It is always advised, even if zero.

**Example Segment**

```
TRAD   NET000000000123456 WAR000000000009999
```

_____

**7.4.38 SEGMENT POSITION - Y3 DETAIL SECTION - DATE/TIME REFERENCE**

This segment is not advised for Reserve Account or 'Paid by Cheque' Special Settlement transactions or transactions when they are in a de-linked state. When a de-linked transaction is released for settlement, the true APD will be advised in the CORrection version.

Actual payment dates (APD) are advised elsewhere in the USM for Deferred Account transactions (see 7.4.42).

NB    For control purposes USM advices which do not contain an APD are assigned a 'bucket' APD of '99999999'.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 2005 | | a3 | 'APD' |
| ACTUAL PAYMENT DATE | | 2000 | | n8 | format is:- YYYYMMDD |

**Example Segment**

```
DTMC APD19891124
```

### 7.4.39 SEGMENT POSITION - Z3 DETAIL SECTION - SEPARATOR

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'SEP' |
| SEGMENT ID | | | | a2 | 'B ' |
| SEPARATOR IDENTIFICATION | | | | a1 | '2' |

Example Segment

```
SEPB 2
```

_____

### 7.4.40 SEGMENT POSITION - Y4 DETAIL SECTION - TRANSACTION AMOUNTS

This is the first segment advised in group 5, which applies only to treaty balance transactions.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TRA' |
| SEGMENT ID | | | | a2 | 'E ' |
| TREATY SECTION | | | | a2 | e.g. 'A', 'A1', 'P' etc |
| QUALIFIER | | | | a3 | 'NET' |
| COMPANY/SYNDICATE NETT AMOUNT IN SETTLEMENT CURRENCY | 5004 | | | n15 | 2 decimal implied places |
| SIGN SPECIFIER | | | C | a1 | '-' if debit |
| QUALIFIER | | | C | a3 | 'WAR' |
| COMPANY/SYNDICATE NETT WAR PREMIUM IN SETTLEMENT CURRENCY | 5004 | | C | n15 | 2 decimal implied places |
| SIGN SPECIFIER | | | C | a1 | '-' if debit |
| FILLER-ALPHA | | | | a3 | blanks |
| FILLER-NUMERIC | 5004 | | | n15 | zeros |
| FILLER-ALPHA | | | | a1 | blank |
| FILLER-ALPHA | | | | a3 | blanks |
| FILLER-NUMERIC | 5004 | | | n15 | zeros |
| FILLER-ALPHA | | | | al | blank |

NB    The amounts advised in the segment relate to individual sections processed for the treaty balance transaction.

**Example Segment**

```
TRAE A1NET000000000012300-WAR000000000001200-
```

## 7.4.41 SEGMENT POSITION - Y5 DETAIL SECTION - ADDITIONAL ID

This segment is always advised within Group 5.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIA' |
| SEGMENT ID | | | | a2 | 'D ' |
| LLOYD'S CA CATEGORY CODE | | )7020 ) | | a1 | |
| FILLER-ALPHA | | | | a3 | blanks |
| QUALIFIER | | 7022 | | a3 | 'CAT' |
| LLOYD'S CA QUALIFYING CATEGORY CODE | | )7020 ) ) | | a1 | |
| FILLER-ALPHA | | ) | | a3 | blanks |
| QUALIFIER | | 7022 | | a3 | 'QCT' |
| FILLER-ALPHA | | 7020 | | a4 | blanks |
| FILLER-ALPHA | | 7022 | | a3 | blanks |
| FILLER-ALPHA | | 7020 | | a4 | blanks |
| FILLER-ALPHA | | 7022 | | a3 | blanks |

**Lloyd's Central Accounting Category and Qualifying Category Codes**

These elements identify the type of treaty section to which the preceding 'TRA' segment relates.

**Example Segment**

```
PIAD l   CATB   QCT
```

---

### 7.4.42 SEGMENT POSITION - Z4 DETAIL SECTION - DATE/TIME REFERENCE

Group 6 will only be present for Deferred Account transactions.

This is the first segment in Group 6, which is repeated for each instalment.

For deferred transactions in a de-linked state, the Actual Payment Date (APD) will be advised as '99999999' When a deferred de-linked transaction is released for settlement, the true APD will be advised here in the CORrection version.

N.B. In the message controls, the values for deferred de-linked transactions will be included in the 'bucket' APD of '99999999'.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' |
| SEGMENT ID | | | | a2 | 'D ' |
| QUALIFIER | | 2005 | | a3 | 'APD' |
| ACTUAL PAYMENT DATE | | 2000 | | n8 | format is:- YYYYMMDD |

**Example Segment**

```
DTMD APD19891124
```

**7.4.43 SEGMENT POSITION - Z5 DETAIL SECTION - TRANSACTION AMOUNTS**

This is the second and final segment in Group 6, and is always advised for deferred account transactions, or de-linked deferred transactions.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE | |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'TRA' | |
| SEGMENT ID | | | | a2 | 'F ' | |
| INSTALMENT NUMBER | | | | a2 | | |
| QUALIFIER | | | | a3 | 'INA' | |
| COMPANY/SYNDICATE NETT INSTALMENT IN SETTLEMENT CURRENCY | ) )5.53 ) | 5004 | | n15 | 2 decimal | implied places |
| SIGN SPECIFIER | ) | | C | al | '-' if debit | |
| FILLER-ALPHA | | | | a3 | blanks | |
| FILLER-NUMERIC | | 5004 | | n15 | zeros | |
| FILLER-ALPHA | | | | a1 | blank | |
| FILLER-ALPHA | | | | a3 | blanks | |
| FILLER-NUMERIC | | 5004 | | n15 | zeros | |
| FILLER-ALPHA | | | | a1 | blank | |
| FILLER-ALPHA | | | | a3 | blanks | |
| FILLER-NUMERIC | | 5004 | | n15 | zeros | |
| FILLER-ALPHA | | | | a1 | blank | |

**Example Segment**

```
TRAF 01INA000000000123456-    000000000000000    000000000000000
000000000000000
```

_____

### 7.4.44 SEGMENT POSITION - V2 DETAIL SECTION - CONTROL TOTALS

This segment is always advised as the last segment within each occurrence of Group 1.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'CNT' |
| SEGMENT ID | | | | a2 | 'A ' |
| QUALIFIER | | 6069 | | a3 | 'SEG' |
| TRANSACTION SEGMENT COUNT | | 6066 | | n15 | this segment is included in the count |
| FILLER-ALPHA | | 6410 | | a1 | blank |
| FILLER-ALPHA | | 6069 | | a3 | blanks |
| FILLER-NUMERIC | | 6066 | | n15 | zeros |
| FILLER-ALPHA | | 6410 | | a1 | blank |
| FILLER-ALPHA | | 6069 | | a3 | blank |
| FILLER-NUMERIC | | 6066 | | n15 | zeros |
| FILLER-ALPHA | | 6410 | | a1 | blank |
| FILLER-ALPHA | | 6069 | | a3 | blanks |
| FILLER-NUMERIC | | 6066 | | n15 | zeros |
| FILLER-ALPHA | | 6410 | | a1 | blank |

### Example Segment

```
CNTA SEG000000000000034     000000000000000     000000000000000
000000000000000
```

_____

**7.4.45 SEGMENT POSITION - WWO HEADER SECTION - SECTION CONTROL**

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'UNS' |
| SEGMENT ID | | | | a2 | 'A' |
| SEPARATOR IDENTIFICATION | | 0081 | | a1 | 'S' |

**Example Segment**

```
UNSA S
```

_____

## 7.4.46 SEGMENT POSITION - XX1 SUMMARY SECTION - CONTROL TOTALS

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'CNT' |
| SEGMENT ID | | | | a2 | 'B ' |
| QUALIFIER | | 6069 | | a3 | 'TXN' |
| TRANSACTION COUNT | | 6066 | | n15 | a count of the number of Group 1 |
| occurrences | | | | | |
| | | | | | in the message |
| FILLER-ALPHA | | 6410 | | a1 | blank |
| FILLER-ALPHA | | 6069 | | a3 | blanks |
| FILLER-NUMERIC | | 6066 | | n15 | zeros |
| FILLER-ALPHA | | 6410 | | a1 | blank |
| FILLER-ALPHA | | 6069 | | a3 | blanks |
| FILLER-NUMERIC | | 6066 | | n15 | zeros |
| FILLER-ALPHA | | 6410 | | a1 | blank |
| FILLER-ALPHA | | 6069 | | a3 | blanks |
| FILLER-ALPHA | | 6066 | | n15 | zeros |
| FILLER-ALPHA | | 6410 | | a1 | blank |

Example Segment

```
CNTB TXN000000000000104     000000000000000     000000000000000     000000000000000
```

_____

### 7.4.47 OPTIONAL CONTROL TOTALS

The following sections, 7.4.47 to 7.4.52 provide details of the segments that are contained within repeating groups 8, 9, 10,11 and 12 which are located in the summary section of the message.

These groups contain different levels of control totals.  The advice of each is dependent upon a user's USM selected control option.  The currency of the control data elements will be the currency as advised in the USM, for example, a Euro transaction will be included in EUR controls for pure Euro syndicates, but in GBP for CCS syndicates.

**GROUPS 8, 9,10 and 11 (APD Controls)**

|  | Count | Amount |
|---|---|---|
| Non Deferred Non Treaty Business | Number of line and syndicate references (LASRs) advised, i.e. number of Group 4 occurrences | Separate CR & DR net accumulations of LASR NA amounts. Separate daily balance amounts will be included for VAT, War and CEDED to Lloyd's. (Group 4 TRA seg) |
| Deferred Business | Number of SYNDICATE INSTALMENTS advised, i.e. number of Group 6 occurrences | Separate CR & DR net accumulations of Syndicate Instalment NA amounts. (Group 6 TRA seg).  War and ceded amounts are not controlled for deferred accounts. |
| Treaty Business | Number of LASRs advised, i.e. number of Group 4 occurrences | Separate CR & DR net accumulations of <u>SYNDICATE SECTION</u> NA amounts (cash and non-cash).  A separate daily balance WAR amount will also be included.  (Group 5 TRA seg) |

**Group 12    (Business Category & Processing Day Controls)**

|  | Count | Amount |
|---|---|---|
| Non Treaty Business | Number of LASRs advised, i.e. number of Group 4 occurrences | Separate CR & DR net accumulations of LASR NA amounts. Separate daily balance amounts will also be included for VAT, WAR and CEDED to Lloyd's.  (Group 4 TRA seg). |
| Treaty Business | Number of LASRs advised | Separate CR & DR net accumulations of<br><br>1) SYND. SEC. CASH amount<br>2) SYND. SEC. DEF amount<br>3) SYND. SEC. RES amount<br>4) Total daily WAR balance amount. (IRO cash and non-cash sections).  (Group 5 TRA seg). |

_____

**7.4.48 SEGMENT POSITION XX2 1-2000 SUMMARY SECTION**

This segment group 7 is always advised.

The qualifier identifies if the following data comprises either syndicate level totals or user level totals.

If syndicate level controls are requested one NAD segment will precede each occurrence of groups 8, 9, 10, 11 and 12 for each syndicate advised on the USM.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE | |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'NAD' | |
| SEGMENT ID | | | | a2 | 'C ' | |
| QUALIFIER | | 3035 | | a3 | 'SYN' | |
| SYNDICATE NUMBER | | )3039 )| | a4 | right (leading | justified blank) |
| FILLER-ALPHA | | ) | | a5 | blanks | |

**Example Segment**

```
NADC SYN 999
```

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| QUALIFIER | | 3035 | | a3 | 'USR' |
| FILLER-ALPHA | | )3039 )| | a4 | blanks |
| FILLER-ALPHA | | ) | | a5 | blanks |

**Example Segment**

```
NADC USR
```

_____

### 7.4.49 SEGMENT POSITION - GROUPS 8, 9, 10 and 11 SUMMARY SECTION

One occurrence of Groups 8, 9, 10 and 11 contain nett amount totals (debit and/or credit) for each business category, within an actual payment date (APD), for each settlement currency within a particular Lloyd's processing date.

**Position: XX3**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' |
| SEGMENT ID | | | | a2 | 'E ' |
| QUALIFIER | | 2005 | | a3 | 'LPD' |
| LLOYD'S PROCESSING DATE | | 2000 | | n8 | format is:- YYYYMMDD |

**Position: XX4 1-50**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'CUX' |
| SEGMENT ID | | | | a2 | 'B ' |
| SETTLEMENT CURRENCY CODE | B017 | 6345 | | a3 | |
| FILLER-ALPHA | | 6343 | | a3 | blanks |
| FILLER-ALPHA | | 6345 | | a3 | blanks |
| FILLER-ALPHA | | 6343 | | a3 | blanks |
| FILLER-NUMERIC | | 5402 | | n15 | zeros |

**Example Segment**

```
XX3:   DTME LPD19891124

XX4:   CUXB GBP         000000000000000
```

**8.4.49 (cont...)**

**Position: XX5**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'DTM' |
| SEGMENT ID | | | | a2 | 'F ' |
| QUALIFIER | | | | a3 | 'APD' |
| ACTUAL PAYMENT DATE | | | | n8 | Format is:- YYYYMMDD |

**Example Segment**

```
DTMF APD19950101
```

**Position: XX6**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'PIA' |
| SEGMENT ID | | | | a2 | 'E ' |
| BUSINESS CATEGORY | | )7020 ) | | a3 | e.g. 'PRM' |
| FILLER ALPHA | | ) | | a1 | blank |
| QUALIFIER | | 7022 | | a3 | 'BCT' |
| FILLER ALPHA | | 7020 | | a4 | blanks |
| FILLER ALPHA | | 7022 | | a3 | blanks |
| FILLER ALPHA | | 7020 | | a4 | blanks |
| FILLER ALPHA | | 7022 | | a3 | blanks |
| FILLER ALPHA | | 7020 | | a4 | blanks |
| FILLER ALPHA | | 7022 | | a3 | blanks |

**Business Category**

For full details refer to section 7.4.01

**Example Segment**

```
PIAE    PRM    BCT
```

**8.4.49 (cont...)**

**Position: XX7.1 - 3**

At least one 'CNT' segment will always be present in this position.

Listed below are the various fields that can be present in the 'CNT' segment, in this position of the message.  A maximum of 4 values can be present within each occurrence of the 'CNT' segment, therefore, if more than 4 values need to be advised, a second segment will be present.

The qualifiers immediately preceding each value should be used to determine which have been output.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'CNT' |
| SEGMENT ID | | | | a2 | 'C ' |
| QUALIFIER | | 6069 | | a3 | e.g. 'TXN' |
| TRANSACTION COUNT | | 6066 | | n15 | |
| FILLER-ALPHA | | 6410 | C | a1 | blank |
| QUALIFIER | | 6069 | | a3 | 'SUM' |
| NETT AMOUNT | | 6066 | | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |
| QUALIFIER | | 6069 | C | a3 | 'SUM' |
| NETT AMOUNT | | 6066 | C | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | |
| QUALIFIER | | 6069 | | a3 | 'VAT' |
| VAT TOTAL | | 6066 | | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | | a1 | '-' if debit |

**8.4.49 (cont...)**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| QUALIFIER | | 6069 | | a3 | 'WAR' |
| WAR AMOUNT | | 6066 | | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |
| QUALIFIER | | 6069 | C | a3 | 'CED' |
| CEDED AMOUNT | | 6066 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |

NB   If both debit and credit settlement totals are applicable, the debit amount will appear in the first 'nett amount' position.  If only one cash amount is applicable it will appear in the first 'nett amount' position.

Any transactions for which an APD is not advised (or advised as '00000000') are grouped for this control under a dummy APD of 99999999.  These include paid by cheque entries and treaty non-cash amounts and amounts on transactions in a de-linked state.  The qualifiers for the transaction count are as follows;

'TXN' - transaction count, 'PTX' - Paid by Cheque, 'DFR - deferred non-cash treaty balance, and 'RSV' -reserve non-cash treaty balance.

**Example Segment(s)**

```
CNTC TXN000000000000009 SUM000000000000346-SUM000000000012345

VAT000000000000100

CNTC   WAR000000000000047   CED000000000000156
```

## 7.4.50 SEGMENT POSITION - GROUP 8 SUMMARY SECTION - SEPARATOR

**Position YY4**

This segment is always advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'SEP' |
| SEGMENT ID | | | | a2 | 'C ' |
| SEPARATOR IDENTIFICATION | | | | a1 | '3' |

**Example Segment**

```
SEPC 3
```

_____

### 7.4.51 SEGMENT POSITION - GROUP 12 SUMMARY SECTION- REFERENCES

One occurrence of Group 12 will contain nett amount totals for one Business Category and Processing Day.  Group 12 will be repeated for each Business Category and Processing Day advised within the USM transmission.

**Position: ZZ3**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE | |
|---|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'RFF' | |
| SEGMENT ID | | | | a2 | 'E ' | |
| QUALIFIER | | 1153 | | a3 | 'BCD' | |
| BUSINESS CATEGORY & PROCESSING DAY | | )1154 | | a7 | e.g. | 0101PRM |
| | | ) | | | | |
| | | ) | | | | |
| FILLER-ALPHA | | ) | | all | blanks | |
| FILLER-NUMERIC | | 1156 | | n1 | zero | |
| FILLER-NUMERIC | | 2000 | | n8 | zeros | |

**Business Category and Processing Day**

The first two characters represent the day portion of the processing date.  The 3rd and 4th characters indicate the settlement currency, i.e.

01 = Sterling, 02 = US Dollar, 03 = Canadian Dollar, 05 = Euro, 11 = Japanese Yen, 12 = South African Rand, 13 = Swiss Franc, 14 = Australian Dollar, 15 = Norwegian Krone, 16 = Swedish Krona, 17 = Danish Krone, 18 = Hong Kong Dollar, 19 = New Zealand Dollar, 20 = Singapore Dollar

The last three characters represent the business categories, refer to section 7.4.01 for further details.

**Example Segment**

```
RFFE BCD0101PRM            000000000
```

## 7.4.52 SEGMENT POSITION - GROUP 12 SUMMARY SECTION - CONTROL TOTALS

**Position: ZZ4. 1-3**

At least one 'CNT' segment will always be present in this position.

Listed below are the various fields that can be present in the 'CNT' segment in this position of the message.  A maximum of 4 values can be present within each occurrence of the 'CNT' segment, therefore, if more than 4 values need to be advised, a second segment will be present.

The qualifiers immediately preceding each value should be used to determine which have been output.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'CNT' |
| SEGMENT ID | | | | a2 | 'D ' |
| QUALIFIER | | 6069 | | a3 | 'TXN' |
| TRANSACTION COUNT | | 6066 | | n15 | |
| FILLER-ALPHA | | 6410 | | a1 | blank |
| QUALIFIER | | 6069 | | a3 | 'SUM' |
| NETT AMOUNT | | 6066 | | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |
| QUALIFIER | | 6069 | C | a3 | 'SUM' |
| NETT AMOUNT | | 6066 | C | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | |
| QUALIFIER | | 6069 | | a3 | 'VAT' |
| VAT TOTAL | | 6066 | | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | | a1 | '_' if debit |

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**8.4.52 (cont...)**

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| QUALIFIER | | 6069 | | a3 | 'WAR' |
| WAR AMOUNT | | 6066 | | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |
| QUALIFIER | | 6069 | C | a3 | 'CED' |
| CEDED AMOUNT | | 6066 | C | n15 | 2 implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |

NB     If both debit and credit settlement totals are applicable, the debit amount will appear in the first 'nett amount' position.  If only one cash amount is applicable it will appear in the first 'nett amount' position.

**Example Segment(s)**

```
CNTD TXN000000000000009 SUM000000000000346-SUM000000000012345VAT000000000000100


CNTD WAR000000000000047 CED000000000000156
```

**8.4.52 (cont...)**

**Position: ZZ4.2 or ZZ4.3**

This 'CNT' segment is only advised for treaty balance tabulation references.  It contains separate control totals for non-cash treaty sections.

This segment will always be the last CNT segment advised and may therefore be at position ZZ4.2 or ZZ4.3 depending on the number of 'CNT' segments previously advised.

| DATA ELEMENT NAME | CMTG | UN/E | S | F | VALUE |
|---|---|---|---|---|---|
| SEGMENT TAG | | | | a3 | 'CNT' |
| SEGMENT ID | | | | a2 | 'D ' |
| QUALIFIER | | 6069 | C | a3 | 'DEF' |
| NETT AMOUNT | | 6066 | C | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |
| QUALIFIER | | 6069 | C | a3 | 'DEF' |
| NETT AMOUNT | | 6066 | C | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | |
| QUALIFIER | | 6069 | C | a3 | 'RES' |
| NETT AMOUNT | | 6066 | C | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | '-' if debit |
| QUALIFIER | | 6069 | C | a3 | 'RES' |
| NETT AMOUNT | | 6066 | C | n15 | 2          implied decimal places |
| SIGN SPECIFIER | | 6410 | C | a1 | |

NB    If both debit and credit totals are applicable the debit figure will appear in the first 'nett amount' position.  If only one amount is applicable it will be present in the first position.  If both 'DEF' and 'RES' totals are applicable, the 'DEF' figures will appear first.

**Example Segment**

```
CNTD DEF000000001234500 RES000000000054300-RES000000000765400        000000000000000
```

# 8.0 SAMPLE - DETAIL SECTION

## 8.1 Introduction

The example below is that of an additional premium transaction. It does not include the header or summary sections of an USM.

The content and structure assumes that the data has been 'translated' by Interbridge software.

```
RFFA BSR201301048777101        000000000
NADA BKR 123 ABC
RFFB UMRB0123UNIQUEMKTREF       000000000
RFFB BK1871735201   000000000
RFFB BK2AP12        000000000
PIAA NEW PRONAP ENT2   CATA   QCT
PIAA A   ACT2012YACL    SSIPRM BCT
PIAA CA  CDE
CUXA CADSCC        000000000000000
DTMA LPD20130104
DTMA PPF20130101
DTMA PPT20130330
PIAB JC  FIL M  MKT5   DTIO    RSK
PIAB  CNCIFFL
PINA LLO0010000000003
DEDA 0350000000
RFFC OBR2012021981413      000000000
TRAA    HUN000000004165900     000000000000000    000000000000000
 000000000000000
DTMB SDD20130317
DTMB PSD20130111
SEPA 1
FTXB T  1  NAME OF ASSURED CAN$ IM AOA 12MOS AT 1.1.87
FTXB T  8  AP IRO INCR IN S/I WEF 1.6.87
FTXB T  9     100%=CAD    41659 NA
FTXB T  T OVERSEAS ADDED TAX: 0000000001234.56  UK IPT:
0000000000012.45
FTXB T  X BSND 2013010487771 OBSND 2012021981413
NADB SYN9999
RFFD SLN004                 000000000
RFFD SY17203529     000000000
PINB    0000099000000
TRAB    NET000000000041242   000000000000000    000000000000000
 000000000000000
DTMC APD20130113
SEPB 2
```

150

```
CNTA
SEG000000000000034        000000000000000        000000000000000        000000
000000000
```

# 9.0   EDIFACT SEGMENT DIRECTORY

## 9.1    Introduction

This segment directory describes the layout of the segments that are used for the Underwriters Signing Message.  The segments are drawn from either the UN/EDIFACT 91.2 directory or the LIMNET segment directory.  Certain segments are not EDIFACT standard and are annotated as not belonging to any standard directory.

| **BGM** | **Non-Standard** | | |
|---|---|---|---|
| C002 | DOCUMENT/MESSAGE NAME | M | |
| | 1001 Document/message name, coded | C | an..3 |
| | 1000 Document/message name | C | an..35 |
| | | | |
| 1004 | DOCUMENT/MESSAGE NUMBER | M | an..35 |
| | | | |
| C031 | DATE | M | |
| | 2000 Date | C | n8 |
| | 2005 Date qualifier | C | an..3 |
| | | | |
| **CNT** | **UN/EDIFACT 91.2** | | |
| C270 | CONTROL | M | |
| | 6069 Control qualifier | M | an..3 |
| | 6066 Control value | M | n..18 |
| | 6411 Measure unit qualifier | C | an..3 |
| | | | |
| C270 | CONTROL | C | |
| | 6069 Control qualifier | M | an..3 |
| | 6066 Control value | M | n..18 |
| | 6411 Measure unit qualifier | C | an..3 |
| | | | |
| C270 | CONTROL | C | |
| | 6069 Control qualifier | M | an..3 |
| | 6066 Control value | M | n..18 |
| | 6411 Measure unit qualifier | C | an..3 |

| C270 | CONTROL | C | |
|---|---|---|---|
| | 6069 Control qualifier | M | an..3 |
| | 6066 Control value | M | n..18 |
| | 6411 Measure unit qualifier | C | an..3 |

| C270 | CONTROL | C | |
|---|---|---|---|
| | 6069 Control qualifier | M | an..3 |
| | 6066 Control value | M | n..18 |
| | 6411 Measure unit qualifier | C | an..3 |

| **CUX** | Non-Standard | | |
|---|---|---|---|

| C180 | CURRENCY DETAILS | C | |
|---|---|---|---|
| | 6345 Currency, coded | C | an..3 |
| | 6343 Currency qualifier | C | an..3 |

| C180 | CURRENCY DETAILS | C | |
|---|---|---|---|
| | 6345 Currency, coded | C | an..3 |
| | 6343 Currency qualifier | C | an..3 |

| 5402 | RATE OF EXCHANGE | C | n..15 |
|---|---|---|---|

| 6341 | CURRENCY MARKET EXCHANGE, CODED | C | an..3 |
|---|---|---|---|

| C507 | DATE/TIME/PERIOD | C | |
|---|---|---|---|
| | 2005 Date/time/period qualifier | M | an..3 |
| | 2280 Date/time/period | M | an..35 |
| | 2379 Date/time/period format qualifier | M | an..3 |

| **DED** | Non-Standard | | |
|---|---|---|---|
| 5482 | PERCENTAGE | M | n..10 |

| **DTM** | LIMNET | | |
|---|---|---|---|
| 2005 | DATE/TIME QUALIFIER | M | an..3 |

| 2001 | DATE, CODED | C | n8 |
|---|---|---|---|

| 2002 | TIME | C | n4 |
|---|---|---|---|

| 2461 | TIME ZONE SPECIFIER, CODED | C | an3 |
|---|---|---|---|

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**FTX**        **LIMNET**

| | | | |
|---|---|---|---|
| 4451 | TEXT SUBJECT CODE | M | an..3 |
| 4453 | TEST FUNCTION CODE | C | an..2 |
| C107 | TEXT REFERENCE | C | |
| | 4441 Free text coded | M | an..2 |
| | 1131 Code list identifier, coded | C | an2 |
| C108 | TEXT LITERAL | C | |
| | 4440 Free text | M | an..70 |
| | 4440 Free text | C | an..70 |
| | 4440 Free text | C | an..70 |
| | 4440 Free text | C | an..70 |
| | 4440 Free text | C | an..70 |

**GIR**        **UN/EDIFACT 90.1**

| | | | |
|---|---|---|---|
| C206 | RELATED IDENTIFICATION NUMBERS | M | |
| | 7402 Identity Number | M | an..35 |
| | 7405 Identity Number Qualifier | C | an..3 |
| C206 | IDENTIFICATION NUMBER | C | |
| | 7402 Identity Number | M | an..35 |
| | 7405 Identity Number Qualifier | C | an..3 |
| C206 | IDENTIFICATION NUMBER | C | |
| | 7402 Identity Number | M | an..35 |
| | 7405 Identity Number Qualifier | C | an..3 |
| C206 | IDENTIFICATION NUMBER | C | |
| | 7402 Identity Number | M | an..35 |
| | 7405 Identity Number Qualifier | C | an..3 |
| C206 | IDENTIFICATION NUMBER | C | |
| | 7402 Identity Number | M | an..35 |
| | 7405 Identity Number Qualifier | C | an..3 |

**NAD**        **UN/EDIFACT 91.2**

| | | | |
|---|---|---|---|
| 3035 | PARTY QUALIFIER | M | an..3 |
| C082 | PARTY IDENTIFICATION | C | |
| | 3039 Party identification coded | M | an..17 |
| | 1131 Code list identifier, coded | C | an2 |

| C058 | NAME & ADDRESS | C | |
| | 3124 Name and address line | M | an..35 |
| | 3124 Name and address line | C | an..35 |
| | 3124 Name and address line | C | an..35 |
| | 3124 Name and address line | C | an..35 |
| | 3124 Name and address line | C | an..35 |
| | | | |
| C080 | PARTY NAME | C | |
| | 3036 Party Name | M | an..35 |
| | 3036 Party Name | C | an..35 |
| | 3036 Party Name | C | an..35 |
| | | | |
| C059 | STREET | C | |
| | 3042 Street and number/PO box | M | an..35 |
| | 3042 Street and number/PO box | C | an..35 |
| | 3042 Street and number/PO box | C | an..35 |
| | | | |
| 3164 | CITY NAME | C | an..35 |
| | | | |
| 3229 | COUNTRY SUB-ENTITY, CODED | C | an..9 |
| | | | |
| 3251 | POST CODE | C | an..9 |
| | | | |
| 3207 | COUNTRY, CODED | C | a2 |
| | | | |
| **PIA** | **Non-Standard** | | |
| C198 | ARTICLE | M | |
| | 7020 Article number | M | an..35 |
| | 7024 Qualifier | M | an..3 |
| | | | |
| C198 | ARTICLE | C | |
| | 7020 Article number | M | an..35 |
| | 7024 Qualifier | M | an..3 |
| | | | |
| C198 | ARTICLE | C | |
| | 7020 Article number | M | an..35 |
| | 7024 Qualifier | M | an..3 |
| | | | |
| C198 | ARTICLE | C | |
| | 7020 Article number | M | an..35 |
| | 7024 Qualifier | M | an..3 |
| | | | |
| C198 | ARTICLE | C | |
| | 7020 Article number | M | an..35 |
| | 7024 Qualifier | M | an..3 |

| **PIN** | **Non-Standard** | | |
|---|---|---|---|
| 3035 | PARTY QUALIFIER | C | an3 |
| | | | |
| C902 | SHARE | M | |
| | 9021 Share value | M | n..12 |
| | 9022 Line description | C | an..3 |
| | | | |
| **RFF** | **Non-Standard** | | |
| 1153 | REFERENCE QUALIFIER | M | an..3 |
| | | | |
| C274 | REFERENCE | M | |
| | 1154 Reference number | C | an..35 |
| | 1156 Line number | C | an..6 |
| | | | |
| C031 | DATE | C | |
| | 2000 Date | C | n8 |
| | 2005 Date qualifier | C | an..3 |
| | | | |
| **SEP** | **Non-Standard** | | |
| 0081 | SEPARATOR ID | M | anl |
| | | | |
| **TRA** | **Non-Standard** | | |
| 0006 | PAYMENT REFERENCE | C | an..35 |
| | | | |
| C900 | AMOUNT | M | |
| | 9001 Amount qualifier | M | an..3 |
| | 5004 Monetary amount | M | n..15 |
| | 9002 Amount sign qualifier | C | anl |
| | | | |
| C900 | AMOUNT | C | |
| | 9001 Amount qualifier | M | an..3 |
| | 5004 Monetary amount | M | n..15 |
| | 9002 Amount sign qualifier | C | anl |
| | | | |
| C900 | AMOUNT | C | |
| | 9001 Amount qualifier | M | an..3 |
| | 5004 Monetary amount | M | n..15 |
| | 9002 Amount sign qualifier | C | anl |
| | | | |
| C900 | AMOUNT | C | |
| | 9001 Amount qualifier | M | an..3 |
| | 5004 Monetary amount | M | n..15 |
| | 9002 Amount sign qualifier | C | anl |

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| C900 | AMOUNT | C | |
| | 9001 Amount qualifier | M | an..3 |
| | 5004 Monetary amount | M | n..15 |
| | 9002 Amount sign qualifier | C | anl |

**TXT**     **Non-Standard**

| 0077 | TEXT REFERENCE CODE | C | an..3 |
| 0078 | FREE FORM TEXT | M | an..70 |

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# APPENDIX A  SEGMENTS DIRECTORY

**Introduction**

All segments used in the USM are listed below in alphabetical order.

| | Tag | Segment Name |
|---|---|---|
| 1.0 | BGM | Beginning of Message |
| 2.0 | CNT | Control Totals |
| 3.0 | CUX | Currencies |
| 4.0 | DED | Deductions |
| 5.0 | DTM | Date/Time Reference |
| 6.0 | FTX | Free Text |
| 7.0 | GIR | Related Identification Numbers |
| 8.0 | NAD | Name & Address |
| 9.0 | PIA | Additional Product Id |
| 10.0 | PIN | Proportional Interest |
| 11.0 | RFF | References |
| 12.0 | SEP | Separator |
| 13.0 | TRA | Transaction Amounts |
| 14.0 | TXT | Text |
| 15.0 | UNH | Message Header |
| 16.0 | UNS | Section Control |

The function, format and length of each of the above segments are detailed in the following pages.  The length quoted for each data element is that received by the user's application. The format key is as follows:

$$a = \text{alpha/numeric}$$

$$n = \text{numeric}$$

Also, the UN/EDIFACT reference, where applicable, is shown against a data element

_____

### 1.0    BGM - Beginning of Message

Used to indicate the beginning of a message and to transmit an identifying number, type and date of message.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Filler | 1001 | n | 3 |
| Document reference | 1000 | a | 3 |
| Report sequence number | 1004 | a | 14 |
| Date | 2000 | n | 8 |
| | | | |
| Total segment length  = | | | 33 |

_____

## 2.0    CNT - Control Totals

Used to provide message control totals.


| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Qualifier | 6069 | a | 3 |
| Value | 6066 | n | 15 |
| Sign specifier | 6410 | a | 1 |
| Qualifier | 6069 | a | 3 |
| Value | 6066 | n | 15 |
| Sign specifier | 6410 | a | 1 |
| Qualifier | 6069 | a | 3 |
| Value | 6066 | n | 15 |
| Sign specifier | 6410 | a | 1 |
| Qualifier | 6069 | a | 3 |
| Value | 6066 | n | 15 |
| Sign specifier | 6410 | a | 1 |
| | | | |
| Total segment length  = | | | 81 |

_____


### 3.0    CUX - Currencies

Used to specify currencies used in the transaction and the rate of exchange, as applicable.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Currency code | 6345 | a | 3 |
| Qualifier | 6343 | a | 3 |
| Currency code | 6345 | a | 3 |
| Qualifier | 6343 | a | 3 |
| Rate of exchange | 5402 | n | 15 |
| | | | |
| Total segment length  = | | | 32 |

_____

## 4.0    DED - Deductions

Used to output the total discount value, i.e. that used to calculate from gross amount to nett amount.


| | | |
|---|---|---|
| Segment tag | a | 3 |
| Segment ID | a | 2 |
| Deduction percentage | n | 10 |
| | | |
| Total segment length  = | | 15 |

_____

## 5.0    DTM - Date/Time Reference

Used to specify pertinent dates, e.g. actual payment date and settlement due dates.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Qualifier | 2005 | a | 3 |
| Date | 2000 | n | 8 |

| | |
|---|---|
| Total segment length  = | 16 |

_____

### 6.0    FTX - Free Text

Used to provide free format narrative information.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Text type | 4451 | a | 1 |
| Section code | 4453 | a | 2 |
| Line number | 4441 | a | 1 |
| Filler | 1130 | a | 2 |
| Free text | 4440 | a | 64 |
| Free text | 4440 | a | 64 |
| Free text | 4440 | a | 64 |

| | |
|---|---|
| Total segment length  = | 203 |

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## 7.0 GIR - Related Identification Numbers

Used to specify a related set of identification numbers.

| | | |
|---|---|---|
| Segment tag | a | 3 |
| Segment ID | a | 2 |
| Identification number | an | 12 |
| Filler - Alpha | a | 23 |
| Qualifier | a | 3 |
| Identification Number | an | 6 |
| Filler - Alpha | a | 29 |
| Qualifier | a | 3 |
| Identification Number | n | 1 |
| Filler - Alpha | an | 34 |
| Qualifier | a | 3 |
| | | |
| Total segment length = | | 119 |

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**8.0 NAD - Name and Address**

Used to specify a party, (e.g. broker or syndicate).

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Qualifier | 3035 | a | 3 |
| Party identification code | 3039 | a | 9 |
| | | | |
| Total segment length  = | | | 17 |

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## 9.0    PIA - Additional ID

Used to specify codal values and other data specific to the transaction, e.g. entry type, FIL code, year of account etc.


| Segment tag | | a | 3 |
|---|---|---|---|
| Segment ID | | a | 2 |
| Code/indicator value | 7020 | a | 4 |
| Qualifier | 7022 | a | 3 |
| Code/indicator value | 7020 | a | 4 |
| Qualifier | 7022 | a | 3 |
| Code/indicator value | 7020 | a | 4 |
| Qualifier | 7022 | a | 3 |
| Code/indicator value | 7020 | a | 4 |
| Qualifier | 7022 | a | 3 |
| | | | |
| Total segment length  = | | | 33 |

_____

## 10.0   PIN - Proportional Interest

Used to specify a party's interest in a transaction, e.g. syndicate signed line, Lloyd's line, and how that interest has been expressed, e.g. percentages or shares.

| | | |
|---|---|---|
| Segment tag | a | 3 |
| Segment ID | a | 2 |
| Party qualifier | a | 3 |
| Share value | n | 12 |
| Qualifier | n | 1 |
| | | |
| Total segment  = | | 21 |

_____

## 11.0   RFF - References

Used to specify identifying references for a named party or transaction, e.g. bureau signing reference, underwriter reference etc.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Qualifier | 1153 | a | 3 |
| Reference number | 1154 | a | 18 |
| Filler | 1156 | n | 1 |
| Date or filler | 2000 | n | 8 |
| | | | |
| Total segment length  = | | | 35 |

_____

## 12.0   SEP - Separator

Used to separate alike segments within the same section of a message.

| | | |
|---|---|---|
| Segment tag | a | 3 |
| Segment ID | a | 2 |
| Separator Identification | a | 1 |
| | | |
| Total segment length  = | | 6 |

_____

## 13.0   TRA - Transaction Amounts

Used to specify Lloyd's amounts, syndicate amounts or a breakdown of syndicate amounts, e.g. syndicate instalment and syndicate treaty section amounts.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Payment reference | | a | 2 |
| Qualifier | | a | 3 |
| Amount | 5004 | n | 15 |
| Sign | | a | 1 |
| Qualifier | | a | 3 |
| Amount | 5004 | n | 15 |
| Sign | | a | 1 |
| Qualifier | | a | 3 |
| Amount | 5004 | n | 15 |
| Sign | | a | 1 |
| Qualifier | | a | 3 |
| Amount | 5004 | n | 15 |
| Sign | | a | 1 |

| | |
|---|---|
| Total segment length  = | 83 |

_____

## 14.0   TXT - Text

Used to detail 'Period of Transaction' for treaty balance transactions.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Qualifier | 0077 | a | 3 |
| Text | 0078 | a | 20 |
| | | | |
| Total segment length  = | | | 28 |

_____

## 15.0   UNH - Message Header

Used to head, identify and specify a message.

| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Message reference number | 0062 | a | 14 |
| Message type | 0065 | a | 6 |
| Message version number | 0052 | n | 3 |
| | | | |
| Total segment length  = | | | 28 |

_____

### 16.0   UNS - Section Control

Used to separate header, detail and summary sections of a message.


| | | | |
|---|---|---|---|
| Segment tag | | a | 3 |
| Segment ID | | a | 2 |
| Section identification | 0081 | a | 1 |
| | | | |
| Total segment length  = | | | 6 |

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# APPENDIX B  KEY TO DATA ELEMENT QUALIFIERS

**Introduction**

The following data element qualifiers are used within the detail and summary (control) sections of the USM.

**1.0    Reference Qualifiers - RFF Segments**

| | |
|---|---|
| ACS | Associated Closing Section Reference |
| ASA | Associated Sub Account Reference |
| ATA | Associated Technical Account Reference |
| BCD | Business category and processing day |
| BK1 | Broker Reference 1 |
| BK2 | Broker Reference 2 |
| BSR | Bureau Signing Reference |
| CNR | Collection Note Reference |
| CSR | Closing Section Reference |
| LLR | Letter of Credit Signing Reference |
| OBR | Original Bureau Signing Reference |
| OCR | Originating Claims Office Reference |
| ORI | Outward Reinsurance Reference |
| REX | Rate of Exchange Adjustment, Original Bureau Signing Reference |
| RSR | Risk Section Reference |
| SLN | Syndicate Line Number |
| SAR | Sub Account Reference |
| SRI | Syndicate R/I Broker Information |
| SLR | System Line Reference |
| SY1 | Company/Syndicate Reference |
| SY2 | Company/Syndicate Secondary Reference |
| TNO | Bureau Treaty Number |
| TSN | Lloyd's Treaty Serial Number |
| UCR | Unique Claims Reference |
| TAR | Technical Account Reference |
| TR | Transaction Reference |
| UMR | Unique Market Reference |

_____

## 2.0    Party Qualifiers - NAD/PIN Segments

| | |
|---|---|
| BO1 | Broker Order 1 |
| BO2 | Broker Order 2 |
| BKR | Lloyd's Broker |
| LLO | All Lloyd's Syndicates per Transaction |
| ORB | Originating Broker |
| SYN | Lloyd's Syndicate |
| USR | User |

## 3.0    Code Qualifiers - PIA Segments

| | |
|---|---|
| AUA | Lloyd's Aviation Audit Code |
| AUM | Lloyd's Marine Audit Code |
| AUN | Lloyd's Non-Marine Audit Code |
| ACT | Lloyd's Accounting Type |
| ADJ | Lloyd's Adjustable Indicator |
| ATT | Attachment Indicator |
| BCT | Business Category |
| BSI | Bulk Settlement Indicator |
| CAS | Catastrophe Code |
| CAT | Lloyd's Central Accounting Category Code |
| CDE | Country of Origin |
| CPA | Claims Payable Abroad Indicator |
| COR | Country of Risk |
| DTI | (Audit) - DTI Code |
| ENT | Entry Type |
| FEE | Type of Payment Indicator |
| FFL | Fil Code 1 |
| FIL | Lloyd's FIL Market Code |
| FL2 | Fil Code 2 |
| IST | Instalment Type |
| MKT | Lloyd's Market Type |
| OCA | Lloyd's OCA Contra Indicator |

| | |
|---|---|
| PRO | Lloyd's Processing Code |
| PYI | Payment Indicator |
| QCT | Lloyd's Central Accounting Qualifying Category Code |
| RSK | Risk Code |
| SMC | Syndicate Market Code |
| SSI | Source System Indicator |
| TRF | Trust Fund Code |
| YAC | Year of Account |

## 4.0 Currency Qualifiers - CUX Segments

| | |
|---|---|
| CCC | Closing Currency Code |
| SCC | Settlement Currency Code |

## 5.0 Text Qualifiers - TXT Segments

| | |
|---|---|
| POT | Period of Transaction |

## 6.0 Amount Qualifiers - TRA Segments

| | |
|---|---|
| APT | Amount of Claim Paid |
| BAL | Balance of Cash Account - Settlement Currency |
| CED | Syndicate R/I Ceded to Lloyd's |
| DBF | Deferred Brought Forward |
| DCF | Deferred Carried Forward |
| HUN | 100% Amount |
| INA | Company/Syndicate Net Instalment - Settlement Currency |
| LOC | Syndicate Letter of Credit Outstanding Amount |
| LRA | Loss Reserve Interest Amount |
| NET | Company/Syndicate Net Amount - Settlement Currency |
| RBF | Reserve Brought Forward |
| RCF | Reserve Carried Forward |
| VAT | Syndicate VAT Amount |
| WAR | Company/Syndicate Net War Premium - Settlement Currency |

_____

### 7.0   Date Qualifiers - DTM Segments

| | |
|---|---|
| APD | Actual Payment Date |
| IDD | Instalment Due Date |
| LPD | Lloyd's Processing Date |
| PPF | Period of Policy from Date |
| PPT | Period of Policy to Date |
| PSD | Planned Settlement Date |
| SDD | Settlement Due Date |
| TOC | Terms of Credit Date |

### 8.0   Control Qualifiers - CNT Segments

| | |
|---|---|
| CED | Ceded to Lloyd's amount |
| DEF | Debit &/or credit deferred net amount per treaty business category and processing day control |
| DFR | Deferred non-cash treaty balance |
| RES | Debit &/or credit reserve net amount per treaty business category and processing day control |
| RSV | Reserve non-cash treaty balance |
| SEG | Segments per transaction count |
| SUM | Debit &/or credit net amount per control |
| TXN | Transaction count |
| VAT | Total VAT amount |
| WAR | Total War amount |

### 9.0   Identification Qualifiers - GIR Segment

| | |
|---|---|
| BPR | LCO Reference |
| CKY | Claims Currency Key |
| MOV | Movement Reference |

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# APPENDIX C  ENTRY TYPE CODE

**Introduction**

The following tabulation details those Entry Type codes which could be advised in the USM. The codes should be read in conjunction with the Instalment Type code to provide a more precise description of the transaction.

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## Entry Type Codes

| Code | Description |
|------|-------------|
| AAP (a) | ADJUSTMENT AP |
| ARP (a) | ADJUSTMENT RP |
| | |
| BAP | REINSTATMENT AP |
| BRP | REINSTATMENT RP |
| | |
| CAP | PROFIT COMMISSION AP |
| CRP | PROFIT COMMISSION RP |
| | |
| EAP | R/EX ADJUSTMENT LISTING SCHEME AP |
| ERP | R/EX ADJUSTMENT LISTING SCHEME RP |
| ECL | R/EX ADJUSTMENT LISTING SCHEME CLAIM |
| ERC | R/EX ADJUSTMENT LISTING SCHEME REFUND |
| | |
| GAP | TAX RELIEF ON LIFE ASSURANCE |
| GPM | TAX RELIEF ON LIFE ASSURANCE |
| GRP | TAX RELIEF ON LIFE ASSURANCE |
| | |
| IAP | INTEREST AP |
| IPM | INTEREST |
| IRP | INTEREST RP |
| | |
| NAP | AP |
| NRP | RP |
| NPM | PREMIUM |
| NPF | PREMIUM FDO |
| NCP | PAID CPA CLAIM |
| NCA | PAID CPA REFUND |
| NCL | PAID CLAIM |
| NRC | PAID CLAIM REFUND |
| NGC | PAID GAG CLAIM |
| NGP | PAID GAG REFUND |
| NOL | PAID OCA CLAIM |
| NOF | PAID OCA REFUND |
| NTC | TREATY STATEMENT CREDIT |
| NTD | TREATY STATEMENT DEBIT |
| NTF | TREATY FDO |

_____

| Code | Description |
| --- | --- |
| PAP | PORTFOLIO TRANSFER IN |
| PPM | PORTFOLIO TRANSFER IN |
| PRP | PORTFOLIO TRANSFER OUT |
| | |
| RAP | AP RESERVE A/C TO CASH |
| RAU | AP RESERVE - DEFERRED |
| RAV | AP RELEASE OF RESERVE |
| RPU | PREMIUM RESERVE - DEFERRED |
| RPV | PREMIUM RELEASE OF RESERVE |
| RRP | RP RESERVE A/C TO CASH |
| RRU | RP RESERVE - DEFERRED |
| RRV | RP RELEASE OF RESERVE |
| | |
| SCL | SMALL TRANSACTION PAID CLAIM |
| SRC | SMALL TRANSACTION PAID REFUND |
| SCP | SMALL TRANSACTION CPA PAID CLAIM |
| SCA | SMALL TRANSACTION CPA PAID REFUND |
| | |
| UHD | UWR R/I REINSTATEMENT PREMIUM DEBIT |
| UPD | UWR R/I PREMIUM DEBIT |
| UPV (b) | UWR R/I PREM DEBIT REL OR RESERVE |
| UPX (b) | UWR R/I PREM DEB RES A/C TO CASH |
| URC | UWR R/I RETURN CREDIT |
| UHC | UWR R/I REINSTATEMENT RETURN CREDIT |
| URV (b) | UWR R/I RETURN CREDIT REL OF RESERVE |
| URX (b) | UWR R/I RET CRED RES A/C TO CASH |
| URD | UWR R/I REFUND DEBIT |
| UCC | UWR R/I CLAIM CREDIT |
| | |
| QAP | DIVERTED ADDITIONAL PREMIUM |
| QRP | DIVERTED RETURN PREMIUM |
| QTC | DIVERTED TREATY CREDIT |
| QTD | DIVERTED TREATY DEBIT |
| QCL | DIVERTED CLAIM |
| QRC | DIVERTED REFUND |

Notes:

(a)     These entry types will indicate an AP or RP which XIS have processed to remove the original LPSO number and date from the Outstanding Adjustable List;

   e.g.   Final Adjustment
          Cancellation or extension on an adjustable policy

(b)     Current XIS processing methods should not prompt the output of these entry types.  However, their future use has been provided for.

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# APPENDIX D  DETAIL SECTION DATA ELEMENTS

## Introduction

The following tabulation identifies all the data elements which could be advised within the detail section of the USM.  The columns are headed as follows:

LOCN          -          identifies the location, in the master message structure diagram, of the segment in which the data elements are advised.

DESCRIPTION        -          lists the data element(s) advised within the segment at the aforementioned location.

MB          -          this column identifies the data elements which could be advised for MAIN BUSINESS transactions.  These have been defined as premium, AP, RP, claim and refund transactions. This includes Rate of Exchange Adjustment Listing Scheme transactions.

MB DEF        -          this column identifies the data elements which could be advised for MAIN BUSINESS - DEFERRED ACCOUNT transactions.  These have been defined as premium, AP and RP transactions.

SRI          -          this column identifies the data elements which could be advised for OUTWARD SYNDICATE REINSURANCE transactions.

SRI DEF       -          this column identifies the data elements which could be advised for OUTWARD SYNDICATE REINSURANCE - DEFERRED ACCOUNT transactions.

TTY          -          this column identifies the data elements which could be advised for TREATY BALANCE (Marine and Non-Marine) transactions.

M or C        -          this column indicates the MANDATORY OR CONDITIONAL status of a data element.  It relates to the status of that data element for the class(es) of business on which it can be expected, as indicated, e.g.:

                                   Instalment number (I2) is a mandatory element for the deferred account classes of business on which it can be expected, but it is not a mandatory element for all classes of business; unlike Bureau Signing Reference (D1).

                                   The presence of '*' does not infer that a particular data element is mandatory within a segment.

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## USM Structure Diagrams

This appendix can be read in conjunction with the USM structure diagrams, sections 5.5 to 5.11.

| PAGE NO. | LOCN | DESCRIPTION | MB | MB DEF | SRI | SRI DEF | TTY | M C |
|----------|------|-------------|----|--------|-----|---------|-----|-----|
| 29 | E 1 | Bureau Signing Ref-BSR | * | * | * | * | * | M |
| 32 | E 2.1 | Broker Number | * | * | * | * | * | M |
|  |  | Broker Pseudonym | * | * | * | * | * | M |
| 33 | E 2.2 | Original Broker Number | * | * |  |  |  | C |
| 34 | F 2.1 | Unique Market Ref-UMR | * | * | * | * | * | C |
| 35 | F 2.2 | Broker Ref 1 | * | * | * | * | * | C |
| 36 | F 2.3 | Broker Ref 2 | * | * | * | * | * | C |
| 37 | F 2.4 | Unique Claims Ref - UCR | * |  |  |  | * | C |
| 38 | F 2.5 | Claims Bureau Prime Reference | * |  |  |  | * | C |
| 39 | F 2.6 | Outward Reinsurance Ref |  |  | * | * |  | C |
| 40 | F2.7 | Risk Section Reference | * | * |  |  | * | C |
| 41 | F2.8 | Closing Section Reference | * | * |  |  | * | C |
| 42 | F2.9 | Transaction Reference | * | * |  |  | * | C |
| 43 | F2.10 | Technical Account Reference | * | * |  |  | * | C |
| 44 | F2.11 | Sub Account Reference | * | * |  |  | * | C |
| 45 | F2.12 | Financial Account Reference | * | * |  |  | * | C |
| 46 | F2.13 | Associated Technical Account Ref | * | * |  |  | * | C |
| 47 | F2.14 | Associate Sub Account Reference | * | * |  |  | * | C |
| 48 | F2.15 | Associated Closing Section Ref | * | * |  |  | * | C |
| 51 & 52 | G 2.1 | Lloyd's Processing Code Entry Type | * | * | * | * | * | M |
|  |  | Lloyd's CA Cat Code | * | * | * | * | * | M |
|  |  | Lloyd's CA Qual Code | * | * | * | * |  | M |
| 53 | G 2.2 | Lloyd's Accounting Type | * | * | * | * | * | M |

| PAGE NO. | LOCN | DESCRIPTION | MB | MB DEF | SRI | SRI DEF | TTY | MC |
|---|---|---|---|---|---|---|---|---|
| | | Lloyd's CA Cat Code | * | * | * | * | * | M |
| | | Year of Account | * | * | * | * | * | M |
| | | Instalment Type | * | * | * | * | | C |
| | | Catastrophe Code | * | | | | * | C |
| 54 - 56 | G.2.3 | Bulk Settlement Indicator | * | | | | * | C |
| | | Source System Indicator | * | * | * | * | * | M |
| | | Business Category | * | * | * | * | * | M |
| | | Payment Indicator | * | | | | * | C |
| | | Type of Payment Indicator | * | * | | | | C |
| | | Trust Fund Code | * | * | * | * | * | C |
| | | Country of Risk | * | * | | | * | C |
| | | Country of Origin | * | * | | | * | C |
| 57 | H 2 | Sett Currency Code | * | * | * | * | * | M |
| | | Closing Currency Code | * | * | | | * | C |
| | | Exchange Rate | * | * | | | * | C |
| 58 | I 2.1 | Lloyd's Processing Date | * | * | * | * | * | M |
| 59 & 60 | I2.2/3 | Period of Policy - From / To Date | * | * | | | * | C |
| 61 - 64 | J 2.1 | Lloyd's FIL Market Code | * | * | * | * | * | C |
| | | Audit DTI Code | * | * | * | * | * | M |
| | | Audit Codes (M, NM, AVN) | * | * | * | * | * | C |
| | | Lloyd's Market Type | * | * | | | * | M |
| | | Lloyd's Adjustable Ind | * | * | | | | C |
| | | Lloyd's OCA Contra Ind | * | | | | | C |
| | | CPA Indicator | * | * | | | | C |
| | | Attachment Indicator | * | | | | * | C |
| | | Risk Code | * | * | * | * | * | C |
| | | FIL Codes 1 & 2 | * | * | * | * | * | C |
| 65 | K 2.1 | Lloyd's Total Line | * | * | | | * | M |
| | | Lloyd's Line Type | * | * | | | * | M |
| 66 & 67 | K2.2/3 | Broker Order 1 & 2 | * | * | | | | C |
| 68 | L 2 | Total Discount | * | * | | | | C |

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| PAGE NO. | LOCN | DESCRIPTION | MB | MB DEF | SRI | SRI DEF | TTY | M C |
|---|---|---|---|---|---|---|---|---|
| 69 | M 2.1 | Original BSR | * | * | | | * | C |
| 70 | M 2.2 | Synd RI Broker Info | | | * | * | | C |
| 71 | M 2.3 | Original R/Exch BSR | * | | | | * | C |
| 72 | M 2.4 | DCF Signing Ref | * | | | | * | C |
| 73 | M 2.5 | Bureau Treaty Number | | | | | * | M |
| 74 | M 2.6 | Treaty Serial Number | | | | | * | M |
| 75 | M 2.7 | Instalment Number        )<br>Instalment Due Date      ) | | * | | * | | M |
| 76 | N 2 | Period of Transaction | | | | | * | M |
| 77 | O 2.1 | Deferred B/F & C/F | | | | | * | C |
| | | Reserve B/F & C/F | | | | | * | C |
| 78 | O 2.2 | 100% Amount | * | * | | | * | C |
| 79 | O 3 | Treaty Section Ref | | | | | * | C |
| | | Lloyd's Narrative Line No. | | | | | * | C |
| | | Lloyd's Narrative Line | | | | | * | C |
| 80 | P2.1 | Settlement Due Date OR TOC Date | * | * | | | * | C |
| 81 | P 2.2 | Planned Settlement Date | * | * | | | * | C |
| 83 - 103 | R 2 | Narrative Line No. | * | * | * | * | * | C |
| | | Direct Credit Facility | * | * | * | * | * | C |
| 104 | S2 | Loss Reserve Interest Amt | * | * | | | | C |
| 105 | T2 | LCO Reference | * | * | | | | C |
| | | Movement Reference | * | * | | | | C |
| | | Currency Key | * | * | | | | C |
| 106 | T3 | Amount Paid this Time | * | * | | | | C |
| 107 | U 2 | Company/Syndicate ID | * | * | * | * | * | M |
| 108 | U 3.1 | Line/Synd Reference | * | * | * | * | * | M |
| 109 | U 3.2 | Company/Synd Reference | * | * | * | * | * | C |
| 110 | U 3.3 | Company/Synd Secondary Ref | | | | | * | C |
| 111 | U 3.4 | System Line Reference | * | * | | | * | C |
| 112 | U 3.5 | Collection Note Reference | | | * | * | | C |
| 113 | V 3 | Syndicate Market Code | * | * | * | * | * | C |

| PAGE NO. | LOCN | DESCRIPTION | MB | MB DEF | SRI | SRI DEF | TTY | M C |
|---|---|---|---|---|---|---|---|---|
| 114 | W 3 | Lloyd's Syndicate Signed Line | * | * | | | * | M |
| 115&116 | X 3 | Company/Synd NA - Sett Ccy | * | * | * | * | | C |
| | | Company/Synd War NA - Sett Ccy | * | * | | | * | C |
| | | Synd VAT Amount | * | | | | | C |
| | | Synd LOC O/S Amount | | | | | * | C |
| | | Synd Balance of Cash A/c - Sett Ccy | | | | | * | M |
| | | Cash A/c - Sett Ccy | | | | | * | M |
| | | SRI Ceded to Lloyd's | | | * | * | | M |
| 117 | Y 3 | Actual Payment Date - APD | * | | * | | * | C |
| 119 | Y 4 | Treaty Section Ref | | | | | * | M |
| | | Synd NA (Section) - Sett Ccy | | | | | * | M |
| | | Synd War NA (Section) - Sett Ccy | | | | | * | C |
| 120 | Y 5 | Lloyd's CA Cat Code | | | | | * | M |
| | | Lloyd's CA Qual Cat | | | | | * | M |
| 121 | Z 4 | APD (Instalment) | | * | | * | | M |
| 122 | Z 5 | Instalment Number | | * | * | * | | M |
| | | Company/Synd Net Inst - Sett Ccy | | * | | * | | M |
| 123 | V 2 | Transaction Segment Count | * | * | * | * | * | M |

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# APPENDIX E – REQUEST FORM

## USM - VERSION 9

## UNDERWRITERS SIGNING MESSAGE

### - Syndicate request for advices

Syndicate number(s): *(please specify years of account for each syndicate requested)*

Name of Managing Agent:

Address:

Email Address:                                            Telephone Number:

| | | | | | |
|---|---|---|---|---|---|
| Are Delinking Transactions required? | **YES** | | **NO** | |
| Will the USM be processed by a Computer Bureau? | **YES** | | **NO** | |

Recipient ID to be quoted in "UNB" segment, e.g. name of Computer Bureau or Syndicate Computer Department *(maximum 35 characters)*

User Account:
GBLPSO

User ID No:

Effective date:                                            /              /

Name:

Position:

Signature:

Date:

**Please return to:** CSN Applications, Xchanging, Walter Burke Way • Chatham Maritime
Chatham • Kent • ME4 4RQ • UK
**Email:** SM.CSN.Applications@DXC.com
**USM OPTIONS**

_____

**Part A**   To be completed by USM recipient, (e.g. Computer Bureau or Syndicate Computer Department)

**1.0   Message Structure**

(a)   Multiple syndicates on one transaction

*(refer to Section 4.2.2 in the USM Prospectus)*
or

(b)   Single syndicate on one transaction

*(refer to Section 4.2.1 in the USM Prospectus)*

**2.0   Message Controls**
*(refer to Section 4.3 in the USM Prospectus)*
A minimum of one and maximum of two of the following four controls should be selected.

Total debit/credit net amounts for each actual payment date, within settlement currency

(a) For all syndicates, i.e. at user level

Or
(b) For each syndicate

Total debit/credit nett amounts for each Central Accounting tabulation reference

(a) For all syndicates, i.e. at user level

Or
(b) For each syndicate

**Part B**   To be completed by syndicate(s) stated overleaf.

**3.0   Narrative Options**
Select one of the following options *(refer to section 4.4 in the USM Prospectus)*

(a) Advise all narrative information for all transaction types

(b) Suppress all narrative information for all transaction types

(c) Advise all narrative for certain transaction types

(d) Advise 'truncated' narrative