

**FROM:** Mark Etté  
**LOCATION:** 86/G5  
**EXTENSION:** 6278  
**DATE:** 17 December 2002  
**REFERENCE:** Y2947  
**SUBJECT:** ECONOMIC SANCTIONS – BURMA/MYANMAR  
**SUBJECT AREA(S):** EU Regulation / Freezing of Funds  
**ATTACHMENTS:** Bank of England Circulars re above  
**ACTION POINTS:** **Compliance Officers to note and advise appropriate staff.**  
**DEADLINE:** **Immediate**

This Market Bulletin serves to advise the Market of changes in respect of the economic sanctions imposed upon Burma / Myanmar.

On 22 May 2000 the Council of the European Union adopted Council Regulation (EC) No 1081/2000 which, inter alia, had the effect of freezing the funds of certain individuals connected with the government and other authorities in Burma. Regulation 1081/2000 was published in the Official Journal of the European Communities on 24 May 2000 (reference L122/29).

On 22 October 2002 the Commission of the European Union adopted Commission Regulation (EC) No 1883/2002 which amended Annex II of Regulation 1081/2000 by replacing it with the Annex to Regulation 1883/2002. This had the effect of altering the list of those subject to the sanctions regime imposed by Regulation 1081/2000 and gives new identifying information in relation to some of those already subject to it.

Regulation 1883/2002 was published in the Official Journal of the European Communities on 23 October 2002 (reference L285/17) and was issued by the Bank of England on 9 December 2002.

A copy of Regulation 1883/2002 is attached to this bulletin. It may also be found on the Bank of England's website: <http://www.bankofengland.co.uk/sanctions/>. Further details of Regulation 1081/2000 were given in the Bank of England Notice dated 24 May 2000, which can also be found on the Bank of England's website.

Any queries about the Regulation should in the first instance be addressed to:

The Bank of England Financial Sanctions Unit,  
Tel: 0171 601 3500 / 4768 / 5811  
Fax: 0171 601 4309  
e-mail: Sanctions@bofe.co.uk

Please be advised that a further sanctions regime exists which has been imposed by the US Government. For further details please refer to the web-site of the US Treasury's Office of Foreign Assets Control at <http://www.treas.gov/offices/enforcement/ofac/>

Lloyd's-related enquiries may also be addressed to:

Mark Etté  
Regulatory Liaison  
Lloyd's extension 6278

This Bulletin is being sent to all Lloyd's Active Underwriters, Managing Agents, Members' Agents and Lloyd's Brokers.

Mark Etté  
Regulatory Liaison  
Lloyd's Compliance Department

**COMMISSION REGULATION (EC) No 1883/2002**  
**of 22 October 2002**

**amending Council Regulation (EC) No 1081/2000 prohibiting the sale, supply and export to Burma/Myanmar of equipment which might be used for internal repression or terrorism, and freezing the funds of certain persons related to important governmental functions in that country**

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EC) No 1081/2000 of 22 May 2000 prohibiting the sale, supply and export to Burma/Myanmar of equipment which might be used for internal repression or terrorism, and freezing the funds of certain persons related to important governmental functions in that country<sup>(1)</sup>, and in particular the first indent of Article 4 thereof,

Whereas:

- (1) Annex II to Regulation (EC) No 1081/2000 lists the persons covered by the freezing of funds under that Regulation.
- (2) In Common Position 2002/831/CFSP of 21 October 2002<sup>(2)</sup> the Council decided to update the Annex to Common Position 2000/346/CFSP of 26 April 2000 extending and amending Common Position 96/635/CFSP on Burma/Myanmar<sup>(3)</sup>. That Annex contains the

list of persons subject to the restrictive measures set out in point 5(b)(i) and (iii) of Common Position 96/635/CFSP. Annex II to Regulation (EC) No 1081/2000 should, therefore, be amended accordingly.

- (3) In order to ensure that the measures provided for in this Regulation are effective, this Regulation must enter into force immediately,

HAS ADOPTED THIS REGULATION:

*Article 1*

Annex II to Regulation (EC) No 1081/2000 is replaced by the text in the Annex to this Regulation.

*Article 2*

This Regulation shall enter into force on the day of its publication in the *Official Journal of the European Communities*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 22 October 2002.

*For the Commission*  
Christopher PATTEN  
*Member of the Commission*

<sup>(1)</sup> OJ L 122, 24.5.2000, p. 29.

<sup>(2)</sup> OJ L 285, 23.10.2002, p. 7.

<sup>(3)</sup> OJ L 122, 24.5.2000, p. 1.

## ANNEX

**List of persons referred to in Article 1**1) *State Peace and Development Council (SPDC):*

Senior Gen Than Shwe	Chairman, also Prime Minister and Minister of Defence (2.2.1933, Kyaukse)
Vice Senior Gen Maung Aye	Vice-Chairman (25.12.1937, Kon Balu)
Gen Khin Nyunt	Secretary One and Political Advisor (11.10.1939, Kyauktan)
Lt-Gen Thura Shwe Mann	Chief of Staff and Coordinator of Special Operations
Lt-Gen Ye Myint	Special Operations 1
Lt-Gen Aung Htwe	Special Operations 2
Lt-Gen Khin Maung Than	Special Operations 3
Lt-Gen Maung Bo	Special Operations 4
Lt-Gen Soe Win	Chief of Air Defence
Lt-Gen Kyaw Win	Chief of Armed Forces Training
Lt-Gen Thein Sein	Adjutant General
Lt-Gen (Thiha Thura) Tin Aung Myint Oo	Quartermaster General
Lt-Gen Tin Aye	Chief of Military Procurement and Head of UMEH

2) *Former members of SLORC:*

Lt-Gen Phone Myint (5.1.1931)
Lt-Gen Aung Ye Kyaw (12.12.1930)
Lt-Gen Sein Aung (11.11.1931)
Lt-Gen Chit Swe (18.1.1932)
Lt-Gen Mya Thin (31.12.1931)
Lt-Gen Kyaw Ba (7.6.1932)
Lt-Gen Tun Kyi (1.5.1938)
Lt-Gen Myo Nyunt (30.9.1930)
Lt-Gen Maung Thint (25.8.1932)
Lt-Gen Aye Thoung (13.3.1930)
Lt-Gen Kyaw Min (22.6.1932, Hanzada)
Lt-Gen Maung Hla
Maj-Gen Soe Myint
Lt-Gen Myint Aung

3) *Former Members of SPDC:*

Commodore Nyunt Thein	Former C-in-C Navy
Maj-Gen Kyaw Than	Former C-in-C Air Force (14.6.1941, Bago)

4) *Regional Commanders:*

Maj-Gen Myint Swe	Yangon Command
Brig-Gen Ye Myint	Central Command
Brig-Gen Maung Oo	Western Command
Brig-Gen Maung Maung Swe	Northern Command
Brig-Gen Khin Maung Myint	Eastern Command
Brig-Gen Khin Zaw	Triangle Command
Brig-Gen Thar Aye	Coastal Command
Brig-Gen Htay Oo	South Western Command
Brig-Gen Thura Myint Aung	South Eastern Command

Brig-Gen Myint Hlaing  
 Brig-Gen Soe Naing  
 Brig-Gen Aung Min

North Eastern Command  
 North Western Command  
 Southern Command

5) *Deputy regional commanders:*

Brig-Gen Nay Win  
 Brig-Gen Tin Latt  
 Col Myint Aung  
 Brig-Gen San Thein  
 Brig-Gen San Tun  
 Brig-Gen Soe Myint

Central Command  
 Coastal Command  
 Eastern Command  
 North Eastern Command  
 Northern Command  
 North Western Command, formerly Deputy Regional  
 Commander of Northern Command

Brig-Gen Myo Hla  
 Brig-Gen Tint Swe  
 Brig-Gen Aung Thein  
 Brig-Gen Thura Maung Ni  
 Brig-Gen Hsan Hsint  
 Col Myint Aung

South Eastern Command  
 South Western Command  
 Western Command  
 Southern Command  
 Rangoon Command  
 Triangle Command

6) *Other state/divisional commanders:*

Col Thein Kyaing  
 Col Aung Thwin  
 Col Saw Khin Soe  
 Col Kyaw Win

Magwe Division  
 Chin State  
 Karen State  
 Kayah State

7) *Ministers:*

Maj-Gen Nyunt Tin  
 U Aung Thaung  
 Maj-Gen Saw Lwin  
 Maj-Gen Hla Myint Swe  
 U Win Aung  
 U Soe Tha

Minister for Agriculture and Irrigation  
 Minister for Industry I  
 Minister for Industry II (1939)  
 Minister for Transport  
 Minister for Foreign Affairs (28.2.1944, Dawei)  
 Minister of National Planning and Economic Development

Lt-Gen Tin Ngwe  
 U Than Shwe  
 U Tin Win

Minister for Cooperatives  
 Minister in The Prime Minister's Office  
 Minister of Labour and former Ambassador to the  
 United States of America

U Pan Aung  
 Brig-Gen Lun Thi  
 U Than Aung  
 Maj-Gen Ket Sein  
 Brig-Gen Pyi Sone  
 Maj-Gen Thein Zaw

Minister of Rail Transportation  
 Minister of Energy  
 Minister for Education  
 Minister of Health  
 Minister of Commerce

U Khin Maung Thein

Minister of Finance and Revenue (11.11.1934,  
 Mandalay)

U Aung Khin  
 Maj-Gen Saw Tun  
 U Thaung  
 Maj-Gen Kyi Aung  
 Maj-Gen Sein Htwa

Minister of Religious Affairs  
 Minister of Construction  
 Minister of Science and Technology  
 Minister of Culture  
 Minister of Immigration and Manpower also Minister  
 of Social Welfare, Relief and Resettlement

Brig-Gen Kyaw Hsan	Minister of Information, formerly Deputy Minister of Commerce
Col Thein Nyunt	Minister for Progress of Border Areas, National Races and Development Affairs
Maj-Gen Tin Htut	Minister of Electric Power
Brig-Gen Thura Aye Myint	Minister of Sports
U Aung Phone	Minister of Forestry
Col Tin Hlaing	Minister of Home Affairs
Brig-Gen Ohn Myint	Minister of Mines
Brig-Gen Maung Maung Thein	Ministry of Livestock and Fisheries
Lt-Gen Min Thein	Minister at the Office of the Chairman of the SPDC
Brig-Gen David Abel	Minister at the Office of Chairman of SPDC, 28.2.1935, Maymyo
8) <i>Other tourism-related appointments:</i>	
Brig-Gen Aye Myint Kyu	Deputy Minister of Hotels and Tourism
U Aung Myint	Head of Office of Minister of Hotels and Tourism
Lt-Col Khin Maung Latt	Director-General, Ministry of Hotels and Tourism
U Myo Lwin	Deputy Director-General, Ministry of Hotels and Tourism
9) <i>Other senior Ministry of Defence officers:</i>	
Vice Admiral Kyi Min	C-in-C Navy, wife Daw Aye Aye
Brig-Gen Myat Hein	C-in-C Air Force
Col Khin Aung Myint	Director of Public Relations and Psychological Warfare
Brig-Gen Win Hlaing	Managing Director, Directorate of Defence Procurement
Col Thein Tun	Director of Signals
Col Hla Tun	Director of Ordnance Services
Col Than Htay	Director of Supply and Transport
Brig-Gen Maung Nyo	Vice Adjutant General
Brig-Gen Kyaw Win	Vice Quartermaster General
Maj-Gen Tin Ngwe	Military Appointment General
Col Khin Maung Sann	Military Appointment Colonel
Maj-Gen Thein Soe	Judge Advocate General
Brig-Gen Saw Hla	Provost Marshal
Rear Admiral Soe Thane	Chief of Staff (Navy)
Col Myint Swe	Chief of Staff (Air)
Lt-Col Zin Aye	Colonel, General Air Staff
Capt Kyaw Naing Myint	Directorate of Defence Procurement, Ministry of Defence
Brig-Gen Khi Yi	Director-General of Myanmar (Burma) Police force (formerly commander of MOMC 21 based at Mong Mit)
10) <i>Members of the office of the chief of military intelligence (OCMI):</i>	
Maj-Gen Kyaw Win	
Brig-Gen Myint Zaw	Division Head
Brig-Gen Hla Aung	
Brig-Gen Kyaw Han	Division Head

Col San Pwint	
Major Shwe Moe	
Lt-Col Khin Maung Thein	11.11.1934, Mandalay
Brig-Gen Thein Swe	
Brig-Gen Kyaw Thein	Division Head
Brig-Gen Si Thu	
Lt-Col Than Aye	
Col Than Tun	Division Head
Lt-Col Maung Htay	
Lt-Col Hla Min	
Commander Ngwe Tun	Chief Foreign Liaison, OCMI
Maj Myo Khine	Deputy Chief Foreign Liaison, OCMI
Capt Ko Ko Oo	Foreign Liaison Officer, OCMI
Lieut Htin Aung Kyaw	Foreign Liaison Officer, OCMI
Capt Soe Than	Foreign Liaison Officer, OCMI
11) <i>Former members of government:</i>	
Lt-Gen Thein Win	Former Minister of Transportation (1937)
Brig-Gen Myo Thant	Former Minister in the Prime Minister's Office
U Kyin Maung Yin	Former Minister in the Deputy Prime Minister's Office (9.4.1931)
U Ohn Gyaw	Former Minister for Foreign Affairs (3.3.1932)
Maj-Gen Kyaw Than	Former Minister of Commerce
Brig-Gen Sein Win	Former Minister of Sports
Col Thein Lwin	Former Area Regional Commander
Col Aye Myint Kyu	Former Deputy Regional Commander
Brig-Gen Pyay Sone	Former Regional Commander
12) <i>Former members of government added from 2000:</i>	
Vice Admiral Maung Maung Khin	Deputy Prime Minister — 23.11.1929
Lt-Gen Tin Tun	Deputy Prime Minister — 28.3.1930
Lt-Gen Win Myint	Formerly Third Secretary of SPDC
Lt-Gen Tin Hla	Former Deputy Prime Minister and Minister for Military Affairs and Quartermaster General
U Aung San	Former Minister for Cooperatives
Vice Admiral Tin Aye	Former Minister of Labour
Maj-Gen Saw Lwin	Former Minister of Hotels and Tourism, 1939
Brig-Gen Win Tin	Former Minister of Telecommunications, Posts and Telegraphs
U Win Sein	Former Minister of Culture, 10.10.1940 Kyaukkyi
Maj-Gen Lun Maung	Former Minister in the Prime Minister's Office
Brig-Gen Kyaw Saw	Former Minister of Information
Brig-Gen Maung Maung	Former Deputy Regional Commander Southern Command, and former Minister at the Office of the Chairman of the SPDC
Then Saw	Minister of Hotels and Tourism, 1939
Brig Gen Chit Than	Former Regional Commander, Triangle Command
Brig Gen Aye Kyway	Former Regional Commander, Coastal Command

9 December 2002

## **FINANCIAL SANCTIONS: BURMA/MYANMAR**

With the publication of Commission Regulation (EC) No 1883/2002 in the Official Journal of the European Communities on 23 October 2002 the list of persons related to important governmental functions in Burma/Myanmar whose funds are to be frozen has been amended.

The Bank of England, on behalf of HM Treasury, therefore announces that with effect from 23 October 2002 all funds belonging to the persons listed in Annex II to Council Regulation (EC) No 1081/2000, as substituted by the Annex to Commission Regulation (EC) No 1883/2002, must be frozen. No funds are to be made available directly or indirectly to or for the benefit of the listed persons. Financial institutions must check whether they maintain any account for the individuals named and, if so, they should freeze the accounts and report the accounts and amounts frozen to the Bank of England.

Copies of the Council Regulation, the Commission Regulation and the related Bank Notices are available from the Financial Sanctions Unit at the Bank of England and are on the Bank of England website at: <http://www.bankofengland.co.uk/sanctions>

ENDS

### **Note to Editors**

The European Council imposed sanctions against Burma/Myanmar on 22 May 2000 (Council Regulation (EC) 1081/2000). As well as introducing a freeze of funds of named individuals, the Regulation prohibits the sale, supply and export to Burma/Myanmar of equipment which might be used for internal repression or terrorism.



**COMMISSION REGULATION (EC) NO 1883/2002**

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**BURMA/MYANMAR**

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**INTRODUCTION**

1. On 22 May 2000 the Council of the European Union adopted Council Regulation (EC) No 1081/2000 which, inter alia, had the effect of freezing the funds of certain individuals connected with the government and other authorities in Burma. Regulation 1081/2000 was published in the Official Journal of the European Communities on 24 May 2000 (reference L122/29).
2. On 22 October 2002 the Commission of the European Union adopted Commission Regulation (EC) No 1883/2002 which amended Annex II of Regulation 1081/2000 by replacing it with the Annex to Regulation 1883/2002. This had the effect of altering the list of those subject to the sanctions regime imposed by Regulation 1081/2000 and gives new identifying information in relation to some of those already subject to it. Regulation 1883/2002 was published in the Official Journal of the European Communities on 23 October 2002 (reference L285/17).
3. A copy of Regulation 1883/2002 is attached to this Notice. It may also be found on the Bank of England's website: <http://www.bankofengland.co.uk/sanctions/>. Further details of Regulation

1081/2000 were given in the Bank of England Notice dated 24 May 2000, which can also be found on the Bank of England's website.

### **Targetted Accounts**

4. All funds belonging to the persons listed in Annex II to Regulation 1081/2000, as amended by the Annex to Regulation 1883/2002, held by banks, building societies, stockbrokers, solicitors, accountants, etc. must be frozen. No funds are to be made available directly or indirectly to or for the benefit of the listed persons.

### **Provision of information**

5. All financial institutions and other bodies and persons in the UK are required to inform the Bank of England of all funds that they have frozen in accordance with Regulation 1081/2000. They must also provide the Bank of England with all relevant information necessary for ensuring compliance with Regulation 1081/2000, as amended by Regulation 1883/2002.

### **Enquiries**

6. Enquiries concerning any matter contained in this Notice should be addressed to the Bank of England, Threadneedle Street, London, EC2R 8AH and marked for the attention of the Financial Sanctions Unit (FSU). Enquiries may also be made by facsimile transmission (020 7601 4309), by telephone (020 7601 4768/5811/ 4783/4328) or by email (sanctions.unit@bankofengland.co.uk).

BANK OF ENGLAND

9 December 2002