

LIST OF SUCCESSFUL BIDS BY CONNECTED PERSONS

AUCTION	3
DAY	Day 2
AUCTION DATE	7 November 2019

Syndicate Number	Bid Type	Capacity	Member	Member Number	Category	Connected Company	Issuer Name
0033	Subscription	10,000	A J Sparrow	019629V	Director / Manager	Alpha Insurance Analysts Ltd	Alpha Insurance Analysts Ltd
0033	Subscription	10,000	D D Pattinson	042371A	Director / Manager	Alpha Insurance Analysts Ltd	Alpha Insurance Analysts Ltd
0033	Subscription	8,437	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0033	Subscription	11,000	Skye-Whitlock Limited	056435B	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0033	Tender	10,000	Aardvark Underwriting Ltd	054387V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0033	Tender	59,130	M G H Heald	038549D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0033	Tender	30,000	NAMECO (NO. 968) LIMITED	055862G	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
0033	Tender	30,182	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0033	Tender	20,000	Royben Limited	056389K	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0033	Tender	32,979	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	80,858	Jade Underwriting Limited	055989J	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	33,325	Jean Boueri and Sons Limited	056475E	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	68,997	Jennings Underwriting Limited	054205A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	25,000	Kemah Lime Street Capital Limited	056089L	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	34,135	Kimaka Limited	056384J	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd

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0218	Tender	135,000	Kling Investment Limited	054712F	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	259,263	LAF Capital Limited	055539H	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	50,000	Monksfield LLP	055476Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	12,066	Morningside Capital Limited	056444Z	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	121,876	Mottram Underwriting Limited	054230V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	75,000	Munnimore Limited	056513F	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	46,617	Norfolk Underwriting Limited	054500X	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	352,561	Oakbrook Underwriting LLP	055987N	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	19,456	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	25,000	Palm Energy Limited	056482F	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	155,455	Panicault Limited	056094V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	98,715	Piedmont Underwriting LLP	055918A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	150,000	Pitkerro Limited	056355A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0218	Tender	50,000	Rechter Underwriting Limited	054857D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0318	Subscription	10,000	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0318	Tender	28,312	Pitkerro Limited	056355A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0386	Subscription	3,128	Rechter Underwriting Limited	054857D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0386	Subscription	2,668	Winins LLP	055680V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0386	Tender	45,630	M G H Heald	038549D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0386	Tender	5,863	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Subscription	20,000	A J Sparrow	019629V	Director / Manager	Alpha Insurance Analysts Ltd	Alpha Insurance Analysts Ltd
0510	Subscription	13,468	Ancama Limited	056419C	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Subscription	95,500	Munnimore Limited	056513F	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd

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0510	Subscription	9,913	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	10,000	Aardvark Underwriting Ltd	054387V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	30,211	Bertram Underwriting Partners LLP	055273D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	145,047	Carrick Capital (UK) Limited	054651H	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	22,500	d'Ambrumenil No 125 LLP	055198D	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
0510	Tender	500,000	ICP Capital Limited	055027B	Body corporate under common control	ICP General Partner Limited	ICP General Partner Limited
0510	Tender	81,636	Jennings Underwriting Limited	054205A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	130,000	M G H Heald	038549D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	4,739	M R P Doughty	033400Z	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	29,948	NAMECO (NO.811) LIMITED	054916E	Director / Manager	Hampden Agencies Limited	Hampden Agencies Limited
0510	Tender	25,562	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	53,812	Real Alternatives Limited	056076B	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	115,000	Silver Lining 55 Limited	054213J	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	18,500	Skye-Whitelock Limited	056435B	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0510	Tender	24,825	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0557	Subscription	250,000	Carrick Capital (UK) Limited	054651H	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0557	Subscription	100,000	Jennings Underwriting Limited	054205A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0557	Subscription	5,570	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Subscription	13,030	Ancama Limited	056419C	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd

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Syndicate Number	Bid Type	Capacity	Member	Member Number	Category	Connected Company	Issuer Name
0609	Subscription	25,000	Chedid Nameco Limited	056676Z	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Subscription	320,938	ICP Capital Limited	055027B	Body corporate under common control	ICP General Partner Limited	ICP General Partner Limited
0609	Subscription	863	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Tender	10,000	Aardvark Underwriting Ltd	054387V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Tender	93,480	Kemah Lime Street Capital Limited	056089L	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Tender	49,856	M G H Heald	038549D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Tender	20,959	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Tender	8,823	Skye-Whitelock Limited	056435B	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Tender	18,101	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0609	Tender	9,567	Stephen Mark Wilcox	025474G	Director / Manager	Hampden Agencies Limited	Hampden Agencies Limited
0623	Subscription	736,617	ICP Capital Limited	055027B	Body corporate under common control	ICP General Partner Limited	ICP General Partner Limited
0623	Tender	63,549	M G H Heald	038549D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0623	Tender	6,953	M R P Doughty	033400Z	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0623	Tender	13,784	NAMECO (NO.811) LIMITED	054916E	Director / Manager	Hampden Agencies Limited	Hampden Agencies Limited
0623	Tender	25,547	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0623	Tender	10,255	Skye-Whitelock Limited	056435B	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0623	Tender	16,177	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
0727	Subscription	7,000	Stephen Mark Wilcox	025474G	Director / Manager	Hampden Agencies Limited	Hampden Agencies Limited
0727	Tender	5,000	Aardvark Underwriting Ltd	054387V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
1176	Tender	4,368	Stephen Mark Wilcox	025474G	Director / Manager	Hampden Agencies Limited	Hampden Agencies Limited

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Syndicate Number	Bid Type	Capacity	Member	Member Number	Category	Connected Company	Issuer Name
1969	Subscription	20,000	Aardvark Underwriting Ltd	054387V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
1969	Subscription	20,000	Crowe Capital Limited	054065J	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
1969	Subscription	30,000	Munnimore Limited	056513F	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
1969	Subscription	10,000	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
1969	Subscription	250,000	Wiesco Limited	054345V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
1969	Tender	35,892	D D Pattinson	042371A	Director / Manager	Alpha Insurance Analysts Ltd	Alpha Insurance Analysts Ltd
1991	Subscription	50,000	Winins LLP	055680V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2010	Subscription	11,000	G L Underwriting Limited	054744A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2010	Subscription	32,425	Munnimore Limited	056513F	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2010	Subscription	20,000	Palace House International (Two) LLP	055084L	Council, board or committee member	Council, Board or Committee member	Alpha Insurance Analysts Ltd
2010	Subscription	10,000	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2010	Tender	25,000	Bertram Underwriting Partners LLP	055273D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2010	Tender	30,402	d'Ambrumenil No 125 LLP	055198D	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
2010	Tender	10,413	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2010	Tender	7,875	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2121	Subscription	3,883,005	ARGENTA UNDERWRITING NO. 3 LIMITED	055062D	Controlled body corporate	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2121	Subscription	150,000	D J De M Coulthard	021281G	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
2121	Subscription	10,000	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2121	Tender	10,625	Bertram Underwriting Partners LLP	055273D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd

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2121	Tender	6,713	d'Ambrumenil No 125 LLP	055198D	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
2121	Tender	5,250	M R P Doughty	033400Z	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2121	Tender	14,588	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2121	Tender	11,456	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2525	Tender	11,708	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2689	Tender	20,489	NAMECO (NO. 1042) LIMITED	056117G	Body corporate under common control	Hampden Agencies Limited	Hampden Agencies Limited
2689	Tender	78,445	NAMECO (NO.234) LIMITED	054403J	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
2689	Tender	56,756	Nomina No 154 LLP	055214V	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
2791	Subscription	30,000	Phatchance Limited	056382N	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2791	Subscription	22,824	Rechter Underwriting Limited	054857D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2791	Tender	27,720	Carrick Capital (UK) Limited	054651H	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2791	Tender	30,000	Jennings Underwriting Limited	054205A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2791	Tender	1,000,000	Map Capital Limited	054754F	Corporate member / candidate	MAP Capital Limited	MAP Capital Limited
2791	Tender	230,992	Meacock Underwriting Limited	054206H	Corporate member / candidate	S.A. Meacock & Co Limited	S.A. Meacock & Co Limited
2791	Tender	50,000	M G H Heald	038549D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2791	Tender	11,763	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
2791	Tender	10,152	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
4444	Subscription	7,505	Ancama Limited	056419C	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
4444	Subscription	3,000	Winins LLP	055680V	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
4444	Tender	6,972	Springbok Underwriting Limited	054702A	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd

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5886	Subscription	20,000	Chedid Nameco Limited	056676Z	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
5886	Subscription	3,140	Patagonia Ventures Limited	056418Q	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
5886	Tender	9,514	d'Ambrumenil No 125 LLP	055198D	Staff member	Hampden Agencies Limited	Hampden Agencies Limited
5886	Tender	3,000	M R P Doughty	033400Z	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
5886	Tender	11,114	Oakhill Risk Management Limited	056426D	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd
5886	Tender	26,667	Real Alternatives Limited	056076B	Director / Manager	Argenta Private Capital Ltd	Argenta Private Capital Ltd

CONNECTED PERSONS

Member Code	Member Name	Connection Category
019629V	A J Sparrow	Director / Manager
021281G	D J De M Coulthard	Staff member
025474G	Stephen Mark Wilcox	Director / Manager
033400Z	M R P Doughty	Director / Manager
038549D	M G H Heald	Director / Manager
042371A	D D Pattinson	Director / Manager
054065J	Crowe Capital Limited	Director / Manager
054205A	Jennings Underwriting Limited	Director / Manager
054206H	Meacock Underwriting Limited	Corporate member / candidate
054213J	Silver Lining 55 Limited	Director / Manager
054230V	Mottram Underwriting Limited	Director / Manager
054345V	Wiesco Limited	Director / Manager
054387V	Aardvark Underwriting Ltd	Director / Manager
054403J	NAMECO (NO.234) LIMITED	Staff member
054500X	Norfolk Underwriting Limited	Director / Manager
054651H	Carrick Capital (UK) Limited	Director / Manager
054702A	Springbok Underwriting Limited	Director / Manager
054712F	Kling Investment Limited	Director / Manager
054744A	G L Underwriting Limited	Director / Manager
054754F	Map Capital Limited	Corporate member / candidate
054857D	Rechter Underwriting Limited	Director / Manager
054916E	NAMECO (NO.811) LIMITED	Director / Manager
055027B	ICP Capital Limited	Body corporate under common control
055062D	ARGENTA UNDERWRITING NO.3 LIMITED	Controlled body corporate
055084L	Palace House International (Two) LLP	Council, board or committee member
055198D	d'Ambrumenil No 125 LLP	Staff member
055214V	Nomina No 154 LLP	Staff member
055273D	Bertram Underwriting Partners LLP	Director / Manager
055476Q	Monksfield LLP	Director / Manager
055539H	LAF Capital Limited	Director / Manager
055680V	Winins LLP	Director / Manager
055862G	NAMECO (NO. 968) LIMITED	Staff member
055918A	Piedmont Underwriting LLP	Director / Manager
055987N	Oakbrook Underwriting LLP	Director / Manager

CONNECTED PERSONS

Member Code	Member Name	Connection Category
055989J	Jade Underwriting Limited	Director / Manager
056076B	Real Alternatives Limited	Director / Manager
056089L	Kemah Lime Street Capital Limited	Director / Manager
056094V	Panicault Limited	Director / Manager
056117G	NAMECO (NO. 1042) LIMITED	Body corporate under common control
056355A	Pitkerro Limited	Director / Manager
056382N	Phatchance Limited	Director / Manager
056384J	Kimaka Limited	Director / Manager
056389K	Royben Limited	Director / Manager
056418Q	Patagonia Ventures Limited	Director / Manager
056419C	Ancama Limited	Director / Manager
056426D	Oakhill Risk Management Limited	Director / Manager
056435B	Skye-Whitelock Limited	Director / Manager
056444Z	Morningside Capital Limited	Director / Manager
056475E	Jean Boueri and Sons Limited	Director / Manager
056482F	Palm Energy Limited	Director / Manager
056513F	Munnimore Limited	Director / Manager
056676Z	Chedid Nameco Limited	Director / Manager