



08:45 - 17:15
Monday 12 May 2014

AGENDA

08:45 Registration and Coffee

09:15 Welcome
Tom Bolt, Director, Performance Management Directorate, Lloyd's

09:30 Conference Objectives
Peter Montanaro, Head, Delegated Authorities, Lloyd's

Part 1 The Coverholder Audit Scope

Talking through some of the key components of the new audit scope and emphasising the key challenges. Explaining the reasons behind the questions

09:45 Thinking behind the new scope and work completed to date
Charles Rowley, Group Manager – Delegated Authority and Broker Information Management, Catlin
Sarah Thacker, Senior Market Analyst, Market Operations
The process, consultation and what comes next

10:30 Conduct Risk (including coffee break at 11:00)
Gabriella Barker, Assistant Executive, Delegated Authorities Team, Lloyd's
Mark Smith, Team Leader PAMA, Market Services, Lloyd's
Lloyd's expectations
UK and Overseas Complaints

12:00 Tax and Regulatory Challenges
Giles Taylor, Senior Manager, Lloyd's International Trading Advice
Nick Marman, Senior Manager Indirect Tax, Tax Department, Lloyd's
Andrew Gurney, Senior Manager, International Licences, Lloyd's
Location of risk
International trading advice
Confirming integrity of the data

12:45 Lunch



- 13:45 Financial Crime
Andy Wragg, Senior Manager, International Regulatory Risk, Lloyd's
Jacqueline Sinclair, Policy Manager, International Regulatory Risk, Lloyd's
Evidence of decision making
Available tools
- 14:15 Underwriting
Steve Hart, Senior Underwriting Package Programmes, Ark Underwriting
Underwriting review or audit?
- 14:45 Coffee Break
- 15:00 Company information, IT, Accounts, Claims
Dan Lott, Delegated Authorities Manager, Svalinn.
Charles Rowley, Group Manager – Delegated Authority and Broker Information
Management, Catlin
Deciding what modules to audit
- 15:30 Practical Experiences of the New Scope
- 16:00 Part 2 Audit Coordination**
The Challenges of Coordination
Peter Montanaro, Head of Delegated Authorities, Lloyd's
Lindsey Davies, Deputy Head, Delegated Authorities, Lloyd's
Paul Jeffrey, Delegated Underwriting Manager, Kiln
Leena Ekman, Delegated Authorities Audit Manager, Brit
Auditor accreditation
Audit frequency and costings
Lloyd's role and plans for 2015
Audits on conduct risk
- 16:45 Q&A Peter Montanaro and others
- 17:15 Close