**Confirmation of Status and Exceptions template (5 October submission)**

**Section 1 – evidence templates**

We confirm that:

* the table below properly reflects the current status of our Evidence Templates
* all Evidence Templates reflect the approach currently being taken to meeting the relevant Solvency II tests and standards
* all evidence listed in the templates is in place and immediately available for Lloyd’s review if required

|  |  |  |  |
| --- | --- | --- | --- |
| Workstream | Evidence Template complete *(1)* | Lloyd’s agreed Green Rating *(2)* | Agent Status Report Reference for work outstanding: *(3)* |
| **Internal Model SCR** | *Enter yes or no* | *Enter yes or no* | *(enter IMSCR001 or n/a)* |
| **Valuation & Balance Sheet** | *Enter yes or no* | *Enter yes or no* | *(enter VBS001 or n/a)* |
| **Technical Provisions & Standard Formula** | *Enter yes or no* | *Enter yes or no* | *(enter TPSF001 or n/a)* |
| **Model Validation** | *Enter yes or no* | *Enter yes or no* | *(enter MVAL001 or n/a)* |
| **Governance Risk Management & Use** | *Enter yes or no* | *Enter yes or no* | *(enter GRMU001 or n/a)* |
| **Supervisory Reporting & Disclosure** | *Enter yes or no* | *Enter yes or no* | *(enter SREP001 or n/a)* |
| **Documentation & Final Application** | *Enter yes or no* | *Enter yes or no* | *(enter DFA001 or n/a)* |

**Notes:**

**(1)** Agents should enter yes only if all Lloyd’s feedback has been addressed. This column can be no even for a green rated evidence template.

**(2)** Agents should enter yes only if Lloyd’s has confirmed that the Evidence Template has achieved a green rating.

**(3)** Any Evidence Template not yet rated green should be recorded in the ASR as a gap and the relevant reference provided here. If the template is green this column can show “n/a”.

**Section 2**

We confirm that:

* the table below properly reflects the current status of progress on all workstreams and all feedback received to date and is consistent with the Agent Status Report (ASR) and Self Assessment scores submitted
* we have maintained evidence of compliance with the tests and standards in our Evidence Templates and the associated underlying evidence
* the ASR contains full details of the work remaining and associated timescales for any exceptions noted below

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| workstream | Workstream complete *(1)* | Number of exceptions *(2)* | Source of exceptions *(3)* | Agent Status Report Reference for work outstanding *(4)* |
| **Internal Model SCR** | *Enter yes or no* | *Enter figure or “n/a”* | *Eg – self assessed, Lloyd’s feedback, FSA feedback* | *Include ASR reference for any exceptions noted in (2) e.g. IMSCR002* |
| **Valuation & Balance Sheet** | *Enter yes or no* | *Enter figure or “n/a”* | *Eg – self assessed, Lloyd’s feedback, FSA feedback* | *Include ASR reference for any exceptions noted in (2) e.g. VBS002* |
| **Technical Provisions & Standard Formula** | *Enter yes or no* | *Enter figure or “n/a”* | *Eg – self assessed, Lloyd’s feedback, FSA feedback* | *Include ASR reference for any exceptions noted in (2) e.g. TPSF002* |
| **Model Validation** | *Enter yes or no* | *Enter figure or “n/a”* | *Eg – self assessed, Lloyd’s feedback, FSA feedback* | *Include ASR reference for any exceptions noted in (2) e.g. MVAL002* |
| **Governance Risk Management & Use** | *Enter yes or no* | *Enter figure or “n/a”* | *Eg – self assessed, Lloyd’s feedback, FSA feedback* | *Include ASR reference for any exceptions noted in (2) e.g. GRMU002* |
| **Supervisory Reporting & Disclosure** | Not applicable – Reporting Dry Run due to take place in Q2 2013 | | | |
| **Documentation & Final Application** | *Enter yes or no* | *Enter figure or “n/a”* | *Eg – self assessed, Lloyd’s feedback, FSA feedback* | *Include ASR reference for any exceptions noted in (2) e.g. DFA002* |

**Notes:**

**(1)** Agents should enter yes only if all scoring elements within the workstream are scored at a 10 with sufficient evidence in place to support this and all feedback received to date has been addressed.

**(2)** Agents should enter the number of scoring elements within the workstream which are not scored at a 10 or enter “n/a” if complete as per (1) above.

**(3)** Please indicate the source of any exceptions noted in (2) above – e.g. work identified by self assessment, Lloyd’s feedback, FSA feedback

**(4)** Please provide the ASR reference for any scoring element with exception and ensure that sufficient detail on the work outstanding and timings to address is provided in the ASR.